

UNITED STATES DISTRICT COURT
EASTERN DISTRICT OF MICHIGAN
SOUTHERN DIVISION

LEAGUE OF WOMEN VOTERS OF) Case Number
MICHIGAN, ROGER J. BRDAK,)
FREDERICK C. DURHAL, JR.,) 17-cv-14148
JACK E. ELLIS, DONNA E.)
FARRIS, WILLIAM "BILL" J.)
GRASHA, ROSA L. HOLLIDAY,)
DIANA L. KETOLA, JON "JACK")
G. LASALLE, RICHARD "DICK")
W. LONG, LORENZO RIVERA)
AND RASHIDA H. TLAIB,)
Plaintiffs,)
vs.)
RUTH JOHNSON, in her)
official capacity as)
Michigan Secretary of State,)
Defendant.)

DEPOSITION OF CHRISTOPHER WARSHAW, Ph.D.
Washington, D.C.
Wednesday, August 8, 2018

Reported by: John L. Harmonson, RPR
Job No. 145530

<p style="text-align: right;">Page 2</p> <p>1</p> <p>2</p> <p>3</p> <p>4</p> <p>5 August 8, 2018</p> <p>6 9:25 a.m.</p> <p>7</p> <p>8</p> <p>9 Deposition of CHRISTOPHER WARSHAW, Ph.D.,</p> <p>10 held at the offices of Faegre Baker Daniels LLP,</p> <p>11 1050 K Street, N.W., Washington, D.C., pursuant</p> <p>12 to the Federal Rules of Civil Procedure, subject</p> <p>13 to such stipulations as may be recited herein or</p> <p>14 attached hereto, before John L. Harmonson, a</p> <p>15 Registered Professional Reporter and Notary</p> <p>16 Public of the District of Columbia, who</p> <p>17 officiated in administering the oath to the</p> <p>18 witness.</p> <p>19</p> <p>20</p> <p>21</p> <p>22</p> <p>23</p> <p>24</p> <p>25</p>	<p style="text-align: right;">Page 3</p> <p style="text-align: center;">A P P E A R A N C E S</p> <p>1</p> <p>2</p> <p>3 On behalf of the Plaintiffs:</p> <p>4 FAEGRE BAKER DANIELS</p> <p>5 300 North Meridian Street</p> <p>6 Indianapolis, IN 46204</p> <p>7 BY: JAY YEAGER, JR., ESQ.</p> <p>8</p> <p>9</p> <p>10</p> <p>11 On behalf of the Defendant:</p> <p>12 JONES DAY</p> <p>13 51 Louisiana Avenue, N.W.</p> <p>14 Washington, D.C. 20001</p> <p>15 BY: MICHAEL CARVIN, ESQ.</p> <p>16</p> <p>17</p> <p>18</p> <p>19</p> <p>20</p> <p>21</p> <p>22</p> <p>23</p> <p>24</p> <p>25</p>
<p style="text-align: right;">Page 4</p> <p>1 C. WARSHAW</p> <p>2 -----</p> <p>3 P R O C E E D I N G S</p> <p>4 9:25 a.m.</p> <p>5 -----</p> <p>6 Whereupon,</p> <p>7 CHRISTOPHER WARSHAW, Ph.D.,</p> <p>8 after having been first duly sworn or affirmed,</p> <p>9 was examined and did testify under oath as</p> <p>10 follows:</p> <p>11 EXAMINATION</p> <p>12 BY MR. CARVIN:</p> <p>13 Q. Good morning, Professor. How are you?</p> <p>14 A. Good morning, sir.</p> <p>15 Q. My name is Mike Carvin. I'm</p> <p>16 representing the defendants in this case.</p> <p>17 Have you ever had your deposition</p> <p>18 taken before?</p> <p>19 A. I have not.</p> <p>20 Q. Okay. You were not deposed in the</p> <p>21 Pennsylvania litigation?</p> <p>22 A. I was not.</p> <p>23 Q. Did you provide in-court testimony in</p> <p>24 that case?</p> <p>25 A. I did.</p>	<p style="text-align: right;">Page 5</p> <p>1 C. WARSHAW</p> <p>2 Q. Is there any reason, including</p> <p>3 medications, that you won't be able to testify</p> <p>4 fully and truthfully today?</p> <p>5 A. No.</p> <p>6 Q. Okay. Just so you understand the</p> <p>7 protocol, I'll need a verbal response to my</p> <p>8 questions rather than a nod or something like</p> <p>9 that so the court reporter can get it. Do you</p> <p>10 understand that?</p> <p>11 A. Thank you. I understand.</p> <p>12 Q. Okay. And if there's any confusion</p> <p>13 about the clarity of my questions or whatever,</p> <p>14 please ask me to clarify.</p> <p>15 A. I'll do that.</p> <p>16 Q. Thanks.</p> <p>17 You've been retained as an expert by</p> <p>18 the plaintiffs in this case?</p> <p>19 A. I have.</p> <p>20 Q. And when were you retained?</p> <p>21 A. I don't know exactly. I would have to</p> <p>22 look at my notes. Probably February, maybe March</p> <p>23 of this year. It was sometime in the spring of</p> <p>24 this year.</p> <p>25 Q. Okay. And who retained you?</p>

<p style="text-align: right;">Page 6</p> <p>1 C. WARSHAW</p> <p>2 A. Mr. Yeager.</p> <p>3 Q. And how much are you being paid for</p> <p>4 your services?</p> <p>5 A. \$275 an hour.</p> <p>6 Q. \$275?</p> <p>7 A. Correct.</p> <p>8 Q. And you've put in a report in this</p> <p>9 case?</p> <p>10 A. I have, sir.</p> <p>11 Q. I'm handing you what's been marked</p> <p>12 Deposition Exhibit 1.</p> <p>13 (Exhibit 1 marked for identification</p> <p>14 and attached hereto.)</p> <p>15 BY MR. CARVIN:</p> <p>16 Q. I know you also provided an errata and</p> <p>17 a rebuttal report, but this is your initial</p> <p>18 principal report in this case?</p> <p>19 A. Correct.</p> <p>20 Q. And your CV is at the end of this</p> <p>21 report?</p> <p>22 A. I believe so, yes.</p> <p>23 Q. Okay. If you could turn to that,</p> <p>24 please.</p> <p>25 A. Sure.</p>	<p style="text-align: right;">Page 7</p> <p>1 C. WARSHAW</p> <p>2 Q. And I see that you became an assistant</p> <p>3 professor at George Washington University in</p> <p>4 2017?</p> <p>5 A. Correct.</p> <p>6 Q. Prior to that, you were an associate</p> <p>7 professor at MIT?</p> <p>8 A. Correct. I was an associate professor</p> <p>9 without tenure.</p> <p>10 Q. And is there a higher ranking,</p> <p>11 associate versus assistant?</p> <p>12 A. In general, associate professor means</p> <p>13 with tenure. MIT had an unusual system where</p> <p>14 before tenure you could get promotion to</p> <p>15 associate professor. It's very unusual, and</p> <p>16 George Washington doesn't have that. So at</p> <p>17 George Washington, as at most other universities,</p> <p>18 before tenure you're just called an assistant</p> <p>19 professor.</p> <p>20 Q. Were you up for tenure at MIT?</p> <p>21 A. I would have been up this year at MIT,</p> <p>22 and I'll be up next year at George Washington.</p> <p>23 Q. Did anybody make a decision as to your</p> <p>24 tenure at MIT?</p> <p>25 A. No.</p>
<p style="text-align: right;">Page 8</p> <p>1 C. WARSHAW</p> <p>2 Q. Why did you leave?</p> <p>3 A. My wife has a job with the federal</p> <p>4 government.</p> <p>5 Q. Okay.</p> <p>6 A. So it's personal reasons that brought</p> <p>7 us to D.C.</p> <p>8 Q. Okay.</p> <p>9 A. I was fortunate to find gainful</p> <p>10 employment here.</p> <p>11 Q. In reviewing your articles, I see</p> <p>12 you've done articles like the 2017 Election Law</p> <p>13 Journal about gerrymander's effect on roll call</p> <p>14 voting and the like. But have you ever done an</p> <p>15 article on how to best measure a partisan</p> <p>16 gerrymander or a partisan bias?</p> <p>17 A. I have not.</p> <p>18 Q. Have you ever done an article</p> <p>19 comparing various measures of partisan</p> <p>20 gerrymandering?</p> <p>21 A. I have not.</p> <p>22 Q. Have you done any articles or</p> <p>23 testimony about Democratic concentrations</p> <p>24 throughout a state and the potential effect that</p> <p>25 has on political advantage to Republicans?</p>	<p style="text-align: right;">Page 9</p> <p>1 C. WARSHAW</p> <p>2 A. I don't believe so, but it's possible</p> <p>3 that's a small part of one of my articles.</p> <p>4 Q. And the only other case you list is</p> <p>5 League of Women Voters v. Pennsylvania?</p> <p>6 A. Correct. That's the only other case</p> <p>7 in which I've provided an expert report.</p> <p>8 Q. Okay. Have you been involved in any</p> <p>9 way in any other litigation?</p> <p>10 A. I have not provided any expert reports</p> <p>11 in other litigation.</p> <p>12 Q. Right. But have you been involved in</p> <p>13 ways where you didn't provide an expert report?</p> <p>14 A. No. I may be involved -- I may in the</p> <p>15 future be involved and may provide expert reports</p> <p>16 in other cases but I have not been -- I have</p> <p>17 never been employed and then, like, not provided</p> <p>18 an expert report.</p> <p>19 Q. Okay. What other cases would those</p> <p>20 be?</p> <p>21 MR. YEAGER: Objection. I think we</p> <p>22 have a federal rules issue here because to</p> <p>23 the extent the professor has been engaged as</p> <p>24 a consulting expert in other cases, that</p> <p>25 would be confidential under the rules.</p>

<p style="text-align: right;">Page 10</p> <p>1 C. WARSHAW</p> <p>2 MR. CARVIN: That's perfectly fair.</p> <p>3 BY MR. CARVIN:</p> <p>4 Q. I'm not asking you to reveal that.</p> <p>5 I'll just ask, without revealing the name of the</p> <p>6 case, have you been involved, retained as a</p> <p>7 consulting expert in any other litigation?</p> <p>8 A. Yes.</p> <p>9 Q. And when did that occur?</p> <p>10 MR. YEAGER: Wait, wait, wait. I'm</p> <p>11 going to object to that question as well</p> <p>12 because given the run of the national cases,</p> <p>13 I think if he tells you when out loud you're</p> <p>14 going to be able to draw some inferences</p> <p>15 that would violate not only his obligations</p> <p>16 but the Federal Rule 26 boundaries.</p> <p>17 MR. CARVIN: That's fine.</p> <p>18 BY MR. CARVIN:</p> <p>19 Q. Really what I was getting at, I take</p> <p>20 it none of those have evolved to the situation</p> <p>21 where you have been identified as an expert in</p> <p>22 the litigation --</p> <p>23 A. That's correct.</p> <p>24 Q. -- is that correct?</p> <p>25 A. That's correct, yeah.</p>	<p style="text-align: right;">Page 11</p> <p>1 C. WARSHAW</p> <p>2 Q. And what was the topic or scope of</p> <p>3 your testimony in the Pennsylvania case?</p> <p>4 A. The Pennsylvania case focused on their</p> <p>5 congressional districting plan. So it focused on</p> <p>6 the degree of partisan bias in the plan and the</p> <p>7 consequences for voters in Pennsylvania.</p> <p>8 Q. And that was the topic of your</p> <p>9 testimony, the partisan bias of the plan?</p> <p>10 A. Correct.</p> <p>11 Q. And how did you calculate that?</p> <p>12 A. I calculated that through the</p> <p>13 efficiency gap.</p> <p>14 Q. Did you calculate the efficiency gap</p> <p>15 in the same way that you did in this case?</p> <p>16 A. I did.</p> <p>17 Q. You used endogenous selections?</p> <p>18 A. I did.</p> <p>19 Q. Okay.</p> <p>20 A. Although in both cases I used</p> <p>21 exogenous selections; in other words,</p> <p>22 presidential elections as a robustness check and</p> <p>23 found very similar results, especially in the</p> <p>24 recent election cycles.</p> <p>25 Q. Okay. I'll come back to that. So you</p>
<p style="text-align: right;">Page 12</p> <p>1 C. WARSHAW</p> <p>2 used the presidential elections as a robustness</p> <p>3 check on the results that were produced through</p> <p>4 the endogenous election?</p> <p>5 A. Yes.</p> <p>6 Q. And endogenous in Pennsylvania was</p> <p>7 congressional elections?</p> <p>8 A. Correct, sir.</p> <p>9 Q. And did you supplement that analysis</p> <p>10 with either a mean-median or a declination</p> <p>11 analysis --</p> <p>12 A. I did not.</p> <p>13 Q. -- of partisan bias?</p> <p>14 MR. YEAGER: If I could just --</p> <p>15 MR. CARVIN: It's very --</p> <p>16 MR. YEAGER: Please. you need to let</p> <p>17 him finish, then answer.</p> <p>18 BY MR. CARVIN:</p> <p>19 Q. This is typical. Obviously, you know</p> <p>20 what my question is going to be, but this poor</p> <p>21 court reporter has to do a Q and A. So if you</p> <p>22 could let me finish my question and then answer,</p> <p>23 I would much appreciate it. Okay?</p> <p>24 All right. If you could turn to</p> <p>25 page 6 of your expert report, please. Beginning</p>	<p style="text-align: right;">Page 13</p> <p>1 C. WARSHAW</p> <p>2 on the bottom of page 5 and top of page 6 you</p> <p>3 make the obvious point that the way to accomplish</p> <p>4 a gerrymander is through the classic</p> <p>5 gerrymandering techniques of packing and</p> <p>6 cracking. Is that a fair summary?</p> <p>7 A. Yes.</p> <p>8 Q. And packing is putting one party in</p> <p>9 districts where they constitute an overwhelming</p> <p>10 majority?</p> <p>11 A. Yes.</p> <p>12 Q. What percentage would constitute</p> <p>13 packing, in your mind?</p> <p>14 A. I don't think there is a particular</p> <p>15 percentage that either I would -- there is no</p> <p>16 bright line in my head based on the literature.</p> <p>17 I think it's a little bit of a qualitative</p> <p>18 assessment.</p> <p>19 Q. And would 75 percent constitute</p> <p>20 packing?</p> <p>21 A. Yes. In my view, that would.</p> <p>22 Q. And you say cracking. Would cracking</p> <p>23 constitute putting the disadvantaged party in any</p> <p>24 minority situation -- for example, a 49 percent</p> <p>25 district -- would that constitute cracking the</p>

<p style="text-align: right;">Page 14</p> <p>1 C. WARSHAW</p> <p>2 disadvantaged party?</p> <p>3 A. I think it could. But I think that</p> <p>4 would be obviously a risky cracking procedure.</p> <p>5 So you would be unlikely to see that in the real</p> <p>6 world because there are obviously small swings in</p> <p>7 election results.</p> <p>8 Q. What's a generally accepted definition</p> <p>9 in the academic community for what constitutes a</p> <p>10 competitive district?</p> <p>11 A. I don't think there is a consensus on</p> <p>12 that in the academic literature. But I think to</p> <p>13 the extent -- the modal definition would be one</p> <p>14 between with around a ten point margin.</p> <p>15 Q. Meaning 55 to 45?</p> <p>16 A. Correct.</p> <p>17 Q. And that's not the consensus view?</p> <p>18 A. I don't think there is a single -- I</p> <p>19 don't think -- I haven't looked at this closely.</p> <p>20 But thinking about the literature that I can</p> <p>21 imagine, I think that would be the modal way of</p> <p>22 doing it.</p> <p>23 Q. And I don't understand the word</p> <p>24 "modal."</p> <p>25 A. Sorry. I think that would be the most</p>	<p style="text-align: right;">Page 15</p> <p>1 C. WARSHAW</p> <p>2 likely. That would be the most common way of</p> <p>3 doing it.</p> <p>4 Q. Okay.</p> <p>5 A. But I don't think there's a universal</p> <p>6 consensus in the literature in part because the</p> <p>7 notion of a competitive election is a little bit</p> <p>8 fuzzy by nature. Which I think is fine, but</p> <p>9 there can still be different ways of</p> <p>10 characterizing that that people use.</p> <p>11 Q. So depending on the district or the</p> <p>12 election cycles, the definition of "competitive</p> <p>13 district" could extend beyond 55/45?</p> <p>14 MR. YEAGER: Objection; incomplete</p> <p>15 hypothetical.</p> <p>16 You may answer.</p> <p>17 THE WITNESS: I don't think I have a</p> <p>18 view on that.</p> <p>19 BY MR. CARVIN:</p> <p>20 Q. Okay. Have you looked at whether</p> <p>21 representatives from competitive districts tend</p> <p>22 to be more moderate than representatives from</p> <p>23 safe districts?</p> <p>24 A. I have looked at that, and in general</p> <p>25 they do not.</p>
<p style="text-align: right;">Page 16</p> <p>1 C. WARSHAW</p> <p>2 Q. In general --</p> <p>3 A. They do not. They are not more</p> <p>4 moderate.</p> <p>5 Q. They are not more moderate.</p> <p>6 A. Yes. In general, the literature has</p> <p>7 found that representatives across a range of</p> <p>8 different district compositions take very similar</p> <p>9 ideological positions. That's especially true in</p> <p>10 state legislatures and to a slightly lesser</p> <p>11 degree it's true in Congress.</p> <p>12 Q. And in Congress, for example, is there</p> <p>13 any correlation between being in a competitive</p> <p>14 district and --</p> <p>15 A. It's a very small -- it's a very --</p> <p>16 MR. YEAGER: Wait.</p> <p>17 BY MR. CARVIN:</p> <p>18 Q. -- and how moderate the representative</p> <p>19 is?</p> <p>20 A. I apologize.</p> <p>21 Yes, there is a slight correlation in</p> <p>22 Congress. I believe in state legislatures there</p> <p>23 is essentially -- I don't want to say no</p> <p>24 correlation, but a very small correlation in</p> <p>25 state legislatures. And in Congress there's</p>	<p style="text-align: right;">Page 17</p> <p>1 C. WARSHAW</p> <p>2 certainly a small correlation but the substantive</p> <p>3 size of the effect is very small.</p> <p>4 Q. Okay. And is that something you've</p> <p>5 researched personally or is this a conclusion</p> <p>6 you've drawn from reading the literature?</p> <p>7 A. That is something I have researched</p> <p>8 personally.</p> <p>9 Q. And have you written an article on</p> <p>10 this?</p> <p>11 A. I have. For state legislatures it's</p> <p>12 in my Election Law Journal article. And for</p> <p>13 members of Congress, I've looked -- a working</p> <p>14 paper of mine in the Senate that's not for the</p> <p>15 Senate that's not published. I don't think I</p> <p>16 have a published article that looks at this for</p> <p>17 Congress, but I think this is well known in the</p> <p>18 literature.</p> <p>19 Q. Okay.</p> <p>20 A. There is a very famous article by</p> <p>21 someone Lee which was published in an economics</p> <p>22 journal in 2008 that essentially argued there's</p> <p>23 no relationship between the competitiveness of a</p> <p>24 district and legislators' positions. I think</p> <p>25 that's probably not true. I think there's, as I</p>

<p style="text-align: right;">Page 18</p> <p>1 C. WARSHAW</p> <p>2 said, I think a slight correlation. But the</p> <p>3 consensus in the literature is the relationship</p> <p>4 between the competitiveness of a district and the</p> <p>5 positions that legislators take, particularly in</p> <p>6 the modern era, is very small.</p> <p>7 Q. And Lee is spelled L-e-e?</p> <p>8 A. Yes. I would say that's the most</p> <p>9 prominent article on the subject.</p> <p>10 Q. If you could turn to your footnote 4</p> <p>11 on page 6 of your report, please.</p> <p>12 A. Sure.</p> <p>13 Q. "The efficiency gap calculations here</p> <p>14 focus on wasted votes in legislative elections,"</p> <p>15 italicized, "since these results directly capture</p> <p>16 voters' preferences in these elections."</p> <p>17 Just to be clear, when you say</p> <p>18 "legislative elections," that means when you're</p> <p>19 calculating the efficiency gap for Congress you</p> <p>20 looked at congressional elections; when you were</p> <p>21 looking at elections for the state house you</p> <p>22 looked at state house elections; and when you</p> <p>23 were looking at the state senate you looked at</p> <p>24 state senate elections?</p> <p>25 A. Yes.</p>	<p style="text-align: right;">Page 19</p> <p>1 C. WARSHAW</p> <p>2 Q. Okay. And those are known as</p> <p>3 endogenous elections?</p> <p>4 A. Yes. In the sense that they are</p> <p>5 endogenous to the districting plan. Although</p> <p>6 there is no guarantee that other statewide</p> <p>7 elections aren't also endogenous in some way.</p> <p>8 Any election that's measured after a districting</p> <p>9 plan goes into place is in some way endogenous</p> <p>10 since it's post-treatment.</p> <p>11 Q. Okay. Well, I'm using the word</p> <p>12 "endogenous" to mean elections for the office</p> <p>13 that we're examining. Is that a generally</p> <p>14 accepted definition? I want to avoid any</p> <p>15 ambiguity.</p> <p>16 A. No. A generally accepted definition</p> <p>17 for endogenous is an outcome -- when the outcome</p> <p>18 variable could be affecting the treatment.</p> <p>19 Q. So how would you characterize</p> <p>20 elections for statewide office such as president,</p> <p>21 governor and senator that don't relate directly</p> <p>22 to the offices that you're examining? Would you</p> <p>23 call them --</p> <p>24 A. I would just call them --</p> <p>25 Q. Excuse me. Would you call those</p>
<p style="text-align: right;">Page 20</p> <p>1 C. WARSHAW</p> <p>2 exogenous elections?</p> <p>3 A. I would call them statewide elections</p> <p>4 or non-legislative elections. I'm sorry. I</p> <p>5 think there is nothing necessarily exogenous</p> <p>6 about them if they're measured after the</p> <p>7 redistricting plan. If they're measured before</p> <p>8 the redistricting plan goes into place, then I</p> <p>9 might call them exogenous. But then also</p> <p>10 legislative elections would also be exogenous</p> <p>11 that are measured before the districting plan</p> <p>12 goes into place.</p> <p>13 Q. All right. All I'm trying to do is</p> <p>14 clarify the record on what we're talking about.</p> <p>15 So if it's okay with you, I'm going to refer to</p> <p>16 elections for the particular legislative offices</p> <p>17 at issue as legislative elections and elections</p> <p>18 other than that as statewide elections. Is that</p> <p>19 okay?</p> <p>20 A. Sure.</p> <p>21 Q. Okay. And you cite -- Why did you</p> <p>22 choose legislative elections to measure the</p> <p>23 various measures -- Oh, let me ask you that</p> <p>24 first.</p> <p>25 Did you use legislative elections for</p>	<p style="text-align: right;">Page 21</p> <p>1 C. WARSHAW</p> <p>2 efficiency gap, mean-median, and declination</p> <p>3 analysis?</p> <p>4 A. Yes, sir.</p> <p>5 Q. And why did you do that?</p> <p>6 A. Well, I believe the goal of a</p> <p>7 gerrymander is to maximize a party's seats</p> <p>8 relative to the number of votes they get. So I</p> <p>9 think the target of a gerrymander is the</p> <p>10 legislative elections. So I think in general, if</p> <p>11 you're looking at the actual election results, it</p> <p>12 makes the most sense to use the election that the</p> <p>13 gerrymander is actually about, which is the</p> <p>14 legislative elections.</p> <p>15 Q. Okay. But nonetheless you say: "We</p> <p>16 might also calculate the efficiency gap using</p> <p>17 district-level results from presidential</p> <p>18 elections or other statewide races. These have</p> <p>19 the 'advantage of being mostly unaffected by</p> <p>20 district-level candidate characteristics.'"</p> <p>21 And then you cite Stephanopoulos and</p> <p>22 McGhee's 2015 article in the Chicago Law Review</p> <p>23 for that proposition. Is that right?</p> <p>24 A. Yes.</p> <p>25 Q. Okay. And why is it an advantage to</p>

<p style="text-align: right;">Page 22</p> <p>1 C. WARSHAW</p> <p>2 be mostly unaffected by candidate</p> <p>3 characteristics?</p> <p>4 A. Well, you might argue there's some</p> <p>5 idiosyncratic candidate characteristics that</p> <p>6 affect elections. So for instance, if one party</p> <p>7 nominates somebody who is particularly weak or</p> <p>8 strong, on the margin that could affect elections</p> <p>9 by a small amount.</p> <p>10 Q. Well, if they are affected by those</p> <p>11 district-level candidate characteristics, why</p> <p>12 would you want to ignore them?</p> <p>13 A. Well, I think if you're looking at the</p> <p>14 actual election results, in general you wouldn't</p> <p>15 because those are all a result of the districting</p> <p>16 plan. So for instance, if you have a gerrymander</p> <p>17 that cracks a party's voters across many</p> <p>18 districts where they're likely to lose, then the</p> <p>19 disadvantaged party is likely to nominate weak</p> <p>20 candidates in those districts. The best</p> <p>21 candidates are not going to run in districts</p> <p>22 where they think they're going to lose.</p> <p>23 So I think that it's not accidental,</p> <p>24 then, that you might get weak candidates and that</p> <p>25 the advantaged party would overperform in those</p>	<p style="text-align: right;">Page 23</p> <p>1 C. WARSHAW</p> <p>2 districts and the disadvantaged party would</p> <p>3 underperform. That's actually a direct result of</p> <p>4 the gerrymander.</p> <p>5 Q. Did that occur in Michigan?</p> <p>6 A. I haven't looked explicitly at the</p> <p>7 actual candidates that ran.</p> <p>8 Q. But it's a plausible hypothesis?</p> <p>9 A. Sure.</p> <p>10 Q. Okay. And the district-level</p> <p>11 candidate characteristic that would probably have</p> <p>12 the most profound effect would be incumbency,</p> <p>13 right?</p> <p>14 A. Yes. Although the effect of</p> <p>15 incumbency is much smaller than it used to be.</p> <p>16 Q. How much is it?</p> <p>17 A. There's the general -- I think</p> <p>18 consensus in the literature is that it's around</p> <p>19 three or four percentage points now. There is an</p> <p>20 article by Gary Jacobson that was published in</p> <p>21 the Journal of Politics in I believe 2015 or '16</p> <p>22 that I view as the best recent article on this.</p> <p>23 Q. And what was the conventional wisdom</p> <p>24 prior to the Jacobson article about the effect of</p> <p>25 the incumbency advantage's magnitude --</p>
<p style="text-align: right;">Page 24</p> <p>1 C. WARSHAW</p> <p>2 A. Well, in the 19 -- I'm sorry, I</p> <p>3 interrupted you.</p> <p>4 In the 1970s and '80s the incumbency</p> <p>5 advantage peaked. Maybe the early '90s as well.</p> <p>6 The incumbency advantage peaked at probably</p> <p>7 around eight points. However, in the modern era,</p> <p>8 the incumbency advantage has gradually declined</p> <p>9 so that in recent House elections, you know, as I</p> <p>10 said, it's probably only three or four points.</p> <p>11 Q. And when you use the word "House," you</p> <p>12 mean congressional elections?</p> <p>13 A. Correct.</p> <p>14 Q. Okay. Have you analyzed the</p> <p>15 incumbency advantage in state legislative</p> <p>16 elections?</p> <p>17 A. I have actually in an unpublished</p> <p>18 paper. In general, the incumbency advantage for</p> <p>19 state legislative elections is very similar to</p> <p>20 congressional elections. I don't have an exact</p> <p>21 point percentage in my head for state</p> <p>22 legislatures, but I know both in my work and the</p> <p>23 literature it's very similar. So I would expect</p> <p>24 that what Jacobson found for congressional</p> <p>25 elections to be very similar for state</p>	<p style="text-align: right;">Page 25</p> <p>1 C. WARSHAW</p> <p>2 legislative elections.</p> <p>3 Q. If I could direct your attention to</p> <p>4 the last sentence in footnote 4, please. You</p> <p>5 say: "The data indicate that the correlation</p> <p>6 between efficiency gap estimates based on</p> <p>7 congressional elections and presidential</p> <p>8 elections is approximately 0.8 for elections held</p> <p>9 after 2000 and 0.9 for elections held after the</p> <p>10 2011 redistricting cycle."</p> <p>11 A. Uh-huh.</p> <p>12 Q. Just to break this down into its</p> <p>13 component parts, you're talking about efficiency</p> <p>14 gap estimates that are based strictly on</p> <p>15 presidential elections versus those strictly</p> <p>16 based on congressional elections?</p> <p>17 A. Correct, sir.</p> <p>18 Q. And what does it mean to --</p> <p>19 A. Well, one correction to that. I'm</p> <p>20 sorry. The only -- the only slight way the</p> <p>21 presidential election would influence the</p> <p>22 efficiency gap estimates for congressional</p> <p>23 elections is that the presidential election</p> <p>24 results are used to impute those shares in</p> <p>25 uncontested districts. But, for instance, in</p>

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Michigan there is essentially -- there's almost no uncontested elections in Michigan. Nationwide there are some, but that's certainly a small part of the data.

Q. Okay. But other than the uncontested elections, you're saying that the correlation between efficiency gap estimates based on congressional elections and those based on presidential elections is approximately 0.8 for elections held after 2000, right?

A. That's correct.

Q. What does that mean?

A. Well, it means in -- you know, we can disagree. And as I think I said in my rebuttal report, I think it's reasonable for different scholars to have different views on whether we should use legislative or statewide elections, particularly if we're using, say, simulations versus actual election results.

But I think in reality the choice isn't all that consequential in the modern era because legislative elections are very similar, you know, follow national presidential elections very closely because voters tend to vote based on

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their partisanship, and that leads them to vote very similarly across presidential and congressional elections as these elections have become more nationalized.

Q. But I'm just trying to figure out what does it mean that there is a 0.8 correlation.

A. It means that the efficiency gap estimates are very, very similar whether you use congressional or presidential elections.

Q. It means you'll get it right 80 percent of the time?

A. No, sir, that's not what a correlation means. A correlation means that it explains -- a .8 correlation implies that the variation in the efficiency gap using congressional elections predicts about 64 percent of the variation -- if I do my math right -- in the efficiency gaps in presidential elections. It's a very high correlation.

Q. 64 percent?

A. Correct. And I've also looked -- I think in some of the footnotes in the text I've actually compared efficiency gaps measured using the legislative -- the congressional elections

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with those with presidential elections. You know, the exact numbers you get, particularly after the 2011 plans went into place in recent years, are very, very similar.

Q. And that's 0.9?

A. Correct.

Q. And what would the predictive percentage be for 0.9?

A. 81 percent of the variation.

Q. Okay. And does that include the 2016 presidential elections?

A. I believe it does, but I couldn't say for sure.

Q. What literature are you referring to?

A. Sorry. Literature?

Q. In your thing you say -- oh, I'm sorry. The data indicate.

A. I made all these calculations myself.

Q. And is that published anywhere?

A. It's in my report.

Q. Did you provide us the underlying data?

A. I did.

Q. Where is it in the report other than

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in this footnote?

A. It's in this footnote.

Q. Okay. So you said "the data indicate." This is your own study of relevant data, right?

A. Yes, sir. It's based on the replication data that I provided for my report. However, I think the general assertion that elections have become more nationalized and there's a stronger correlation between congressional elections and presidential elections today than in earlier periods, and indeed today presidential elections are very closely related to congressional elections. It's a consensus view in the literature.

So for instance, you could look at the 2015 Gary Jacobson article, and that's one of the reasons that the incumbency advantage has declined so much. Because people tend to vote with their party, you don't have very many people that are, like, switching back and forth between parties.

Q. What's the percentage of ticket-splitters in Michigan?

<p style="text-align: right;">Page 30</p> <p>1 C. WARSHAW</p> <p>2 A. I don't know the answer to that. I</p> <p>3 haven't looked at that explicitly.</p> <p>4 Q. Do you know what percentage of voters</p> <p>5 in Michigan are registered as independents?</p> <p>6 A. In my view, that's not very relevant</p> <p>7 information.</p> <p>8 Q. You can tell me whether it's relevant</p> <p>9 or not, but if you could answer the question</p> <p>10 before you tell me why it's relevant.</p> <p>11 A. I do not, sir.</p> <p>12 Q. And what do you do in off-year</p> <p>13 elections when there is no presidential election?</p> <p>14 A. I use the previous year's presidential</p> <p>15 election.</p> <p>16 Q. For example, is it not well</p> <p>17 established that in the off-year election</p> <p>18 following the presidential election the</p> <p>19 president's party tends to do worse --</p> <p>20 A. Yes.</p> <p>21 Q. -- in congressional elections?</p> <p>22 A. Yes.</p> <p>23 Q. So in those circumstances there</p> <p>24 wouldn't be a correlation between the preceding</p> <p>25 presidential vote and the subsequent off-year</p>	<p style="text-align: right;">Page 31</p> <p>1 C. WARSHAW</p> <p>2 elections, correct?</p> <p>3 A. There would be a very strong</p> <p>4 correlation, but there would be an intercept</p> <p>5 shift in general against the president's party.</p> <p>6 Q. What is an intercept shift?</p> <p>7 A. Intercept shift would be, in general,</p> <p>8 in all districts, the president's party tends to</p> <p>9 do a little bit worse than they did during the</p> <p>10 presidential election year, particularly in the</p> <p>11 president's first midterm.</p> <p>12 Q. Do you know whether Stephanopoulos and</p> <p>13 McGhee used legislative elections to calculate</p> <p>14 their efficiency gap numbers?</p> <p>15 A. I believe they used legislative</p> <p>16 elections.</p> <p>17 MR. CARVIN: If we could mark this as</p> <p>18 Exhibit 2.</p> <p>19 (Exhibit 2 marked for identification</p> <p>20 and attached hereto.)</p> <p>21 BY MR. CARVIN:</p> <p>22 Q. I've handed you what's a Stanford Law</p> <p>23 Review article by Stephanopoulos and McGhee which</p> <p>24 also discusses the efficiency gap. Have you read</p> <p>25 this article?</p>
<p style="text-align: right;">Page 32</p> <p>1 C. WARSHAW</p> <p>2 A. I have, sir.</p> <p>3 Q. If you could turn to page 1544 of this</p> <p>4 article, please.</p> <p>5 A. Uh-huh.</p> <p>6 Q. I'm going to read you the last -- the</p> <p>7 first two sentences of the last paragraph on</p> <p>8 1544. Stephanopoulos and McGhee state, do they</p> <p>9 not: "To start, it is poor methodological form</p> <p>10 to analyze plans using exogenous election</p> <p>11 results. Voters may well behave differently in</p> <p>12 these elections than when casting their ballots</p> <p>13 for the office actually at issue."</p> <p>14 Do you agree with that statement?</p> <p>15 A. I agree with them that if you're</p> <p>16 looking at the actual election results, in</p> <p>17 general we should look at the target of the</p> <p>18 gerrymander, which is legislative elections.</p> <p>19 However, as I said, I think empirically it's not</p> <p>20 a very consequential decision because the</p> <p>21 estimates you're going to get using presidential</p> <p>22 elections or other statewide elections are very</p> <p>23 similar to what you get with legislative</p> <p>24 elections.</p> <p>25 Q. Have you examined the correlation</p>	<p style="text-align: right;">Page 33</p> <p>1 C. WARSHAW</p> <p>2 between other statewide elections and</p> <p>3 congressional elections besides president?</p> <p>4 A. I have not. I've only looked at</p> <p>5 presidential.</p> <p>6 Q. Have you looked at the correlation</p> <p>7 between other statewide elections and state</p> <p>8 legislative elections?</p> <p>9 A. Yes, I did. For the 1970s and '80s I</p> <p>10 use that in my imputation model. I couldn't tell</p> <p>11 you the exact point estimate of the effect but</p> <p>12 they are closely related.</p> <p>13 Q. Did you do that in this case?</p> <p>14 A. I haven't done that for the 2010s</p> <p>15 because there's not good data available on that,</p> <p>16 on statewide elections at the statewide</p> <p>17 legislative district level.</p> <p>18 Q. So you haven't done it for any state</p> <p>19 and you've not done it for Michigan either?</p> <p>20 A. I haven't for recent years, correct.</p> <p>21 I've done it for the 1970s and '80s. But the</p> <p>22 data is not available to do that calculation</p> <p>23 across -- all of the calculations in my report</p> <p>24 are across all states essentially, or all states</p> <p>25 where I could get data. So data on statewide</p>

<p style="text-align: right;">Page 34</p> <p>1 C. WARSHAW</p> <p>2 elections are unavailable across all 50 states.</p> <p>3 It's possible I could have gotten it for</p> <p>4 Michigan, but I couldn't have gotten it for other</p> <p>5 states.</p> <p>6 MR. CARVIN: If you could mark this as</p> <p>7 Exhibit 3, please.</p> <p>8 (Exhibit 3 marked for identification</p> <p>9 and attached hereto.)</p> <p>10 BY MR. CARVIN:</p> <p>11 Q. Have you seen this draft? Is this one</p> <p>12 of the articles listed in your --</p> <p>13 A. I don't believe so. I don't believe I</p> <p>14 read this article closely. It's possible I've</p> <p>15 seen it at some point, but I certainly have not</p> <p>16 read it closely.</p> <p>17 Q. Okay. If you could turn to page --</p> <p>18 well, let me just ask you before we do that, the</p> <p>19 authors of this article are Krasno, Magleby,</p> <p>20 McDonald, Donahue and Best, correct?</p> <p>21 A. Yes.</p> <p>22 Q. And McDonald and Best are the ones who</p> <p>23 came up with this mean-median differences of</p> <p>24 partisan bias measure?</p> <p>25 A. I believe that's right.</p>	<p style="text-align: right;">Page 35</p> <p>1 C. WARSHAW</p> <p>2 Q. If you could turn to page 5 of this</p> <p>3 article, please.</p> <p>4 A. I'm glad you printed it double-sided.</p> <p>5 Q. If you could look at the middle</p> <p>6 paragraph with me. And I'm going to read it to</p> <p>7 you. "Finally, because the median-mean</p> <p>8 comparison explicitly uses election results to</p> <p>9 measure the partisan complexion in districts its</p> <p>10 promoters insist that jurisdiction-wide elections</p> <p>11 such as presidential, U.S., senatorial or</p> <p>12 statewide constitutional offices, hold the most</p> <p>13 probative value when comparing the median and</p> <p>14 mean district percentages."</p> <p>15 Were you aware that the promoters of</p> <p>16 the mean-median comparison insist that you use</p> <p>17 jurisdiction-wide elections?</p> <p>18 A. I was not.</p> <p>19 Q. And in this case, you did not use</p> <p>20 jurisdiction-wide elections to calculate the</p> <p>21 mean-median difference, right?</p> <p>22 A. I did not. But I think I would</p> <p>23 disagree with this assessment. I think that</p> <p>24 regardless of what metric we're using, the target</p> <p>25 of a gerrymander is legislative elections. So I</p>
<p style="text-align: right;">Page 36</p> <p>1 C. WARSHAW</p> <p>2 think that I would still focus on legislative</p> <p>3 election results.</p> <p>4 Q. Okay. If we could go back to --</p> <p>5 A. Can I answer that more?</p> <p>6 Q. I'm going to ask you more questions.</p> <p>7 MR. YEAGER: I'm sorry. If the</p> <p>8 witness has more to add --</p> <p>9 THE WITNESS: I just want to --</p> <p>10 MR. YEAGER: Wait, wait.</p> <p>11 May I ask that the witness be allowed</p> <p>12 to finish his answer?</p> <p>13 MR. CARVIN: I thought he had given a</p> <p>14 complete answer, but sure.</p> <p>15 THE WITNESS: And the reason for that</p> <p>16 is there's no necessary -- in the modern</p> <p>17 era, the choice is inconsequential whether</p> <p>18 you use statewide or legislative elections.</p> <p>19 But certainly in earlier years this would be</p> <p>20 a consequential choice. For instance, in</p> <p>21 the South in the 1970s and '80s, they would</p> <p>22 vote Republican in presidential elections</p> <p>23 and Democratic in legislative elections.</p> <p>24 In fact, the correlation between</p> <p>25 presidential and legislative elections was</p>	<p style="text-align: right;">Page 37</p> <p>1 C. WARSHAW</p> <p>2 not zero but it was relatively small. So in</p> <p>3 that case it would make no sense for a</p> <p>4 legislator to target presidential elections</p> <p>5 as, like, the target of a gerrymander and</p> <p>6 use that as their main metric when they knew</p> <p>7 that presidential elections didn't reflect</p> <p>8 legislative election results.</p> <p>9 BY MR. CARVIN:</p> <p>10 Q. Well, you say "in earlier years." But</p> <p>11 Stephanopoulos and McGhee said in 2018 it was not</p> <p>12 appropriate to use exogenous elections and</p> <p>13 McDonald and Best said in 2017 or 2018 it was not</p> <p>14 proper to use endogenous elections. So they</p> <p>15 disagree with you even in the modern world,</p> <p>16 correct?</p> <p>17 MR. YEAGER: Objection; argumentative.</p> <p>18 Misstates the record.</p> <p>19 You may answer.</p> <p>20 THE WITNESS: I believe that</p> <p>21 legislative elections are appropriate for</p> <p>22 all of the gerrymandering metrics.</p> <p>23 BY MR. CARVIN:</p> <p>24 Q. So you disagree with McDonald and</p> <p>25 Best?</p>

<p style="text-align: right;">Page 38</p> <p>1 C. WARSHAW</p> <p>2 A. Correct.</p> <p>3 Q. So if we could go back to your report,</p> <p>4 please. If you could turn to page 13. I'm</p> <p>5 looking at Figure 2. And in this figure you look</p> <p>6 at, do you not, the national distribution of</p> <p>7 efficiency gaps for congressional elections with</p> <p>8 states with more than six seats from 1972 to</p> <p>9 2016?</p> <p>10 A. That's correct.</p> <p>11 Q. And when you were computing these</p> <p>12 national efficiency gaps, were you using</p> <p>13 congressional election results only?</p> <p>14 A. Yes.</p> <p>15 Q. If you would turn to page 1 of your</p> <p>16 report. You use -- the data you used for this</p> <p>17 was collected by the Constituency-Level Elections</p> <p>18 Archive?</p> <p>19 A. Yes, sir.</p> <p>20 Q. And those were all congressional</p> <p>21 elections?</p> <p>22 A. Correct.</p> <p>23 Q. And they were adjusted by CLEA you</p> <p>24 say?</p> <p>25 A. Yes. In some cases there's typos in</p>	<p style="text-align: right;">Page 39</p> <p>1 C. WARSHAW</p> <p>2 the raw ICPSR data or incorrect district numbers</p> <p>3 that they fixed.</p> <p>4 Q. But it was just typos and the like</p> <p>5 that they changed?</p> <p>6 A. Correct. They didn't -- Sorry for</p> <p>7 interrupting you. Correct. They didn't change</p> <p>8 any underlying -- any of the underlying data</p> <p>9 except to fix typos and incorrect district</p> <p>10 numbers, things like that.</p> <p>11 Q. And then the other thing you said you</p> <p>12 have here is data on presidential elections and</p> <p>13 incumbency status in congressional elections.</p> <p>14 Why did you need presidential election</p> <p>15 returns?</p> <p>16 A. Well, I needed it for two reasons.</p> <p>17 One was to impute the vote -- to estimate what</p> <p>18 would have happened in uncontested elections, as</p> <p>19 we briefly discussed earlier.</p> <p>20 Then the second reason was to check</p> <p>21 the robustness of the efficiency gap estimates</p> <p>22 and other -- well, the efficiency gap estimates</p> <p>23 that I calculate using legislative elections</p> <p>24 using presidential elections.</p> <p>25 Q. So when you say "calculate the</p>
<p style="text-align: right;">Page 40</p> <p>1 C. WARSHAW</p> <p>2 robustness," when you did that calculation,</p> <p>3 that's the results you put into the footnote we</p> <p>4 had previously discussed about that 0.8</p> <p>5 correlation?</p> <p>6 A. Yes.</p> <p>7 Q. Okay. And why did you need -- why did</p> <p>8 you look at incumbency status?</p> <p>9 A. Incumbency status, as we -- again, as</p> <p>10 we briefly discussed earlier, incumbents tend to</p> <p>11 do a little bit better in elections. In the</p> <p>12 modern era it's only three or four points better,</p> <p>13 but in the earlier eras there was a larger</p> <p>14 incumbency advantage. So certainly if you're</p> <p>15 imputing the vote share, how incumbents would do</p> <p>16 in congressional elections, you would want to</p> <p>17 take that into account.</p> <p>18 Q. How did you adjust the congressional</p> <p>19 election returns to account for incumbency</p> <p>20 status?</p> <p>21 A. Yes, I used that in my imputation</p> <p>22 model for uncontested districts. I used the</p> <p>23 incumbency status in my imputation model for</p> <p>24 uncontested elections. So I took that into</p> <p>25 account when I estimated the Democrats and how --</p>	<p style="text-align: right;">Page 41</p> <p>1 C. WARSHAW</p> <p>2 how -- what percentage of the vote Democrats and</p> <p>3 Republicans would have gotten if the election had</p> <p>4 been contested.</p> <p>5 Q. So you only looked at incumbency</p> <p>6 status for uncontested?</p> <p>7 A. The model I used is based on contested</p> <p>8 elections, obviously, because that's how I</p> <p>9 estimate the incumbency advantage. But I only</p> <p>10 changed -- I used the raw election returns for</p> <p>11 all contested districts. I think -- I don't</p> <p>12 have -- I can't remember exactly, but I may have,</p> <p>13 like, for districts where there was -- you know,</p> <p>14 the loser got, like, less than 3 percent or</p> <p>15 5 percent, I might have used the imputation</p> <p>16 model. But in general, if they got more</p> <p>17 than a -- if -- if candidates from each party</p> <p>18 received more than a de minimis share of the</p> <p>19 vote, I used the actual election returns.</p> <p>20 Q. And I apologize. I may not have</p> <p>21 understand that last answer.</p> <p>22 You not only did this for uncontested</p> <p>23 elections but also elections where one party</p> <p>24 received only a de minimis vote?</p> <p>25 A. Yes, like 1 or 2 percent.</p>

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Q. Okay.

A. Because I assume those are essentially uncontested. In many cases, the data -- I did that -- and again I can't remember exactly what that percentage is, but I counted those as uncontested because in many cases those are actually write-in candidates that might have a -- you know, the data may say they have a party next to them but in fact they're actually write-in candidates. So that's why they only received 1 or 2 percent.

Q. Okay. And so if I'm understanding this correctly, you used those 1 or 2 percent elections, you imputed the same way you did --

A. Exactly.

Q. -- for formally uncontested elections. Is that correct?

A. Yes, sir.

Q. Okay. Do you recall at this point what you used as your cutoff for de minimis?

A. I do not. It's in my replication code, but I do not -- I don't remember.

Q. Okay. Now, with respect to state legislative elections, if you could turn to the

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bottom of page 2, please. You say here that there is "a large canonical dataset on candidacies and results in state legislative elections. I obtained results from the 1972-2016 collected by Carl Klarner and a large team of collaborators." Correct?

A. Yes, sir.

Q. And then it says: "The results from 1972-2012 are based on data maintained by Inter-university Consortium for Political and Social Research."

So I'm a little confused. Is that a different dataset than the one that Klarner and his team put together?

A. No. Essentially what happened is there's a -- there was a series of National Science Foundation grants that a large team of political scientists worked on to put together this canonical data that Klarner in recent years -- this political scientist named Carl Klarner was the lead, the manager -- the lead political scientist on that project.

The data from 1972 to 2012 was funded by the National Science Foundation, and that data

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is available freely for download on the ICPSR website.

The data from 2013 to '16 was collected privately by Klarner, and you have to contact him to obtain it directly. It's not available freely for download.

Q. And you did contact him?

A. Yes.

Q. And that's how you got the 2013 through 2016 data?

A. Correct.

Q. And just to clarify, you're telling me that the 1972 to 2012 data which is maintained by ICPSR was nonetheless compiled by Klarner and his team?

A. Yes, it was compiled and cleaned by Klarner and his team. The earlier -- It was compiled in a series of -- "waves" is not quite the right term, but in a series of efforts. So the earlier -- I think the earliest effort was around 1990. So, obviously, Professor Klarner -- not obviously, but Professor Klarner was not a part of the original team that worked on it in 1990 for elections in the 1970s and '80s. I

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don't know who led that effort. But Professor Klarner has been the lead in recent years.

Q. And I don't see anything here about collecting information on incumbency status with respect to state legislative elections like you reference with respect to congressional elections. Why is that?

A. That data is in the Klarner dataset. It was, I guess, a typographical omission on my part. But it's in the Klarner dataset. It's all part of it. I didn't quite do that for presidential elections because the election results and the incumbency status come from different datasets, whereas here they all come from one integrated dataset. But I should have said that explicitly.

Q. Just so I understand the general gist of your report, you analyzed the efficiency gap and these other measures for the 2012, 2014, and 2016 elections, correct?

A. Yes. However, I also calculated them for all elections between 1972 and 2016.

Q. Fair enough. But what I'm getting at is these are backward-looking calculations. You

<p style="text-align: right;">Page 46</p> <p>1 C. WARSHAW</p> <p>2 haven't made any projections for vote totals in</p> <p>3 the 2018 Michigan congressional or state</p> <p>4 legislative elections, correct?</p> <p>5 A. That's correct.</p> <p>6 Q. You haven't estimated the Democratic</p> <p>7 vote share statewide for any of the three offices</p> <p>8 at issue, right?</p> <p>9 A. No.</p> <p>10 Q. And you're not making any</p> <p>11 district-specific projections?</p> <p>12 A. I am not.</p> <p>13 Q. Okay. Now, if you could turn to</p> <p>14 page 6 of your report, please.</p> <p>15 MR. CARVIN: Off the record.</p> <p>16 (Off the record.)</p> <p>17 BY MR. CARVIN:</p> <p>18 Q. So at various points here you produce</p> <p>19 various estimates of, for example, where the</p> <p>20 Michigan redistricting compares to the</p> <p>21 mean-median difference for prior elections</p> <p>22 throughout the nation and various other things.</p> <p>23 Are you representing to me that all of the data</p> <p>24 underlying this analysis has been provided to the</p> <p>25 defendant?</p>	<p style="text-align: right;">Page 47</p> <p>1 C. WARSHAW</p> <p>2 A. Yes, sir. I believe that all of the</p> <p>3 figures, numbers, calculations in my report can</p> <p>4 be easily generated from the data that I</p> <p>5 provided.</p> <p>6 Q. So they could be calculated. You</p> <p>7 didn't produce the actual results. You produced</p> <p>8 the data from which one could replicate your</p> <p>9 analysis?</p> <p>10 A. I produced the code that I used. The</p> <p>11 person -- readable code that any trained</p> <p>12 political scientist or economist or statistician</p> <p>13 could read to regenerate my results.</p> <p>14 Q. You did at least one supplemental</p> <p>15 report, your rebuttal report, involving the</p> <p>16 connection between degree of voter confidence and</p> <p>17 the efficiency gap where you provided margins of</p> <p>18 error. Did you provide the defendants with that</p> <p>19 data?</p> <p>20 A. I did not provide updated code for</p> <p>21 that. However, the underlying data for that was</p> <p>22 part of my original submission.</p> <p>23 MR. CARVIN: All right. Could we get</p> <p>24 that updated code from you, please?</p> <p>25 MR. YEAGER: Sure.</p>
<p style="text-align: right;">Page 48</p> <p>1 C. WARSHAW</p> <p>2 BY MR. CARVIN:</p> <p>3 Q. I'm not going to stop at each point in</p> <p>4 this analysis and ask you if you provided it</p> <p>5 because I think we've covered the territory. So</p> <p>6 I'll just ask you as generically as I can to save</p> <p>7 us time.</p> <p>8 Have you provided all data in the way</p> <p>9 you just described relative to all the</p> <p>10 conclusions in your initial report and with the</p> <p>11 one exception you mentioned in your rebuttal</p> <p>12 report?</p> <p>13 A. I believe so, yes.</p> <p>14 MR. CARVIN: If not, we have a</p> <p>15 standing request to supplement the data.</p> <p>16 MR. YEAGER: Understood.</p> <p>17 BY MR. CARVIN:</p> <p>18 Q. All right. Back to your report,</p> <p>19 page 6.</p> <p>20 A. Page 6, yes, sir.</p> <p>21 Q. You say that "There are a number of</p> <p>22 approaches that have been proposed to measure</p> <p>23 asymmetries and the efficiency of the vote-seat</p> <p>24 relationships of the two parties." Correct?</p> <p>25 A. Yes, sir.</p>	<p style="text-align: right;">Page 49</p> <p>1 C. WARSHAW</p> <p>2 Q. And in recent years, at least ten</p> <p>3 different approaches have been proposed, correct?</p> <p>4 A. That's what McGhee asserts in this</p> <p>5 article. I think -- I believe that's true,</p> <p>6 although I couldn't name all ten.</p> <p>7 Q. But it's fair to say that the</p> <p>8 profession is striving for some uniform generally</p> <p>9 accepted measure of partisan asymmetry or</p> <p>10 partisan bias?</p> <p>11 A. I think the profession is trying to</p> <p>12 improve our metrics. I think whether we will</p> <p>13 ever have one single metric remains to be seen.</p> <p>14 Q. But we haven't arrived at that point</p> <p>15 yet?</p> <p>16 A. I think there's differences of</p> <p>17 opinions about whether we've arrived at that</p> <p>18 point.</p> <p>19 Q. Now, previously it was my</p> <p>20 understanding that the most accepted consensus</p> <p>21 measure was the partisan symmetry analysis</p> <p>22 championed largely by Gary King and Grofman. Is</p> <p>23 that fair?</p> <p>24 A. Yes.</p> <p>25 Q. Has that fallen out of favor in recent</p>

<p style="text-align: right;">Page 50</p> <p>1 C. WARSHAW</p> <p>2 years?</p> <p>3 A. I think it has, yes.</p> <p>4 Q. Do you subscribe to that method of</p> <p>5 measuring partisan bias or partisan asymmetry?</p> <p>6 A. Well, I didn't use it in my report.</p> <p>7 So in general, I think it's a little bit -- I</p> <p>8 think I tend to agree with people that it's</p> <p>9 fallen out of favor.</p> <p>10 Q. And you say all these measures have --</p> <p>11 are not perfect, I take it, right?</p> <p>12 A. That's correct. I think I can -- I</p> <p>13 think in general that's because the latent</p> <p>14 variable here, the theoretical concept that we're</p> <p>15 trying to measure, which is the partisan bias --</p> <p>16 I know that's one of the terms also that Gary</p> <p>17 King uses, but I'll use in a more -- I use it in</p> <p>18 my report in a more general way.</p> <p>19 In my view, what a gerrymander is</p> <p>20 trying to do is to -- a party is trying to</p> <p>21 maximize the number of seats they get relative to</p> <p>22 the votes. And there's a -- this leads to this</p> <p>23 theoretical concept of partisan bias. And</p> <p>24 because this is a theoretical concept, I think,</p> <p>25 you know, all of the empirical measures are just</p>	<p style="text-align: right;">Page 51</p> <p>1 C. WARSHAW</p> <p>2 proxies for this theoretical concept.</p> <p>3 And indeed, you know, none -- we'll</p> <p>4 never have an approach that is exactly perfect,</p> <p>5 but that's true for most political science</p> <p>6 concepts that we're trying to measure. You know,</p> <p>7 all social science measurements are</p> <p>8 simplifications of some theoretical concept we're</p> <p>9 trying to measure.</p> <p>10 Q. Okay. And you're using the efficiency</p> <p>11 gap as your main measure of this theoretical</p> <p>12 concept?</p> <p>13 A. That's correct.</p> <p>14 Q. And that was first proposed by</p> <p>15 Stephanopoulos and McGhee in the 2015 University</p> <p>16 of Chicago Law Review article?</p> <p>17 A. That's not exactly right. It was</p> <p>18 actually proposed by Eric McGhee in a</p> <p>19 peer-reviewed article in the Journal of</p> <p>20 Legislative Studies Quarterly in 2014.</p> <p>21 Q. Okay. And --</p> <p>22 A. And then the Stephanopoulos and McGhee</p> <p>23 article focused on expanding the description of</p> <p>24 it and then applying it, trying to build a legal</p> <p>25 standard that they advocated. But the</p>
<p style="text-align: right;">Page 52</p> <p>1 C. WARSHAW</p> <p>2 original -- the original measure was actually</p> <p>3 developed by Eric McGhee.</p> <p>4 Q. Okay. And the Chicago Law Review is</p> <p>5 not a peer-reviewed journal, right?</p> <p>6 A. That's correct.</p> <p>7 Q. And during the two and a half years or</p> <p>8 three years since its proposal it's received a</p> <p>9 lot of scholarly criticism in the Political</p> <p>10 Science Academy. Is that correct?</p> <p>11 A. I think there has been a robust</p> <p>12 discussion of the merits of different measures</p> <p>13 with some of that centering around criticism of</p> <p>14 the efficiency gap.</p> <p>15 Q. Okay. If you could turn back to</p> <p>16 Exhibit 2.</p> <p>17 A. Which one is that?</p> <p>18 Q. I'm sorry. The Stanford Law Review</p> <p>19 article.</p> <p>20 A. Great.</p> <p>21 Q. And again, this is the Stanford Law</p> <p>22 Review article by Stephanopoulos and McGhee</p> <p>23 themselves, right? And at the top of 1508 they</p> <p>24 say --</p> <p>25 MR. YEAGER: Hold on just one moment,</p>	<p style="text-align: right;">Page 53</p> <p>1 C. WARSHAW</p> <p>2 please.</p> <p>3 MR. CARVIN: Sure.</p> <p>4 MR. YEAGER: Thank you.</p> <p>5 BY MR. CARVIN:</p> <p>6 Q. Stephanopoulos and McGhee say that</p> <p>7 "The academic discussion of the efficiency gap</p> <p>8 includes a number of criticisms of the measure."</p> <p>9 Is that accurate?</p> <p>10 A. Yes.</p> <p>11 Q. And then they list, I believe, at</p> <p>12 least five scholarly articles criticizing the</p> <p>13 efficiency gap?</p> <p>14 A. Yes, that's correct.</p> <p>15 Q. And they cite an article by Cho, by</p> <p>16 Best, by Krasno and colleagues, by John Nagle.</p> <p>17 Are these critics well-respected</p> <p>18 political scientists?</p> <p>19 A. Yes, sir.</p> <p>20 Q. And then also by Christopher Chambers.</p> <p>21 Is he a well-respected political scientist?</p> <p>22 A. I don't know him, to be honest. I</p> <p>23 couldn't say. I assume -- I'll take it as a</p> <p>24 supposition.</p> <p>25 MR. YEAGER: Could I just clarify. I</p>

<p style="text-align: right;">Page 54</p> <p>1 C. WARSHAW</p> <p>2 don't think the witness was saying he</p> <p>3 doesn't know Mr. Chambers, to be honest.</p> <p>4 MR. CARVIN: I take your point.</p> <p>5 THE WITNESS: Outside of this paper,</p> <p>6 I've never -- outside of this citation, I've</p> <p>7 never heard of Professor Chambers.</p> <p>8 BY MR. CARVIN:</p> <p>9 Q. Okay. So Cover says that one</p> <p>10 criticism is it favors uncompetitive elections.</p> <p>11 Is that a fair criticism?</p> <p>12 A. In my view, it's not.</p> <p>13 Q. And why is that?</p> <p>14 A. What Stephanopoulos and McGhee show</p> <p>15 here is there is no empirical correlation,</p> <p>16 particularly in state legislative elections,</p> <p>17 between the efficiency gap and the</p> <p>18 competitiveness of the elections. Indeed, I</p> <p>19 think thinking about it beyond the actual data,</p> <p>20 thinking about it at a more theoretical level,</p> <p>21 there's many ways in which a state could achieve</p> <p>22 a neutral efficiency gap. There's no reason that</p> <p>23 competitive elections are the only or even the</p> <p>24 best path to achieve it.</p> <p>25 Q. But you say there's no correlation</p>	<p style="text-align: right;">Page 55</p> <p>1 C. WARSHAW</p> <p>2 between competitive election districts and the</p> <p>3 efficiency gap?</p> <p>4 A. Yeah. That's on page 1523 of this</p> <p>5 article.</p> <p>6 Q. Right. And in there they're making</p> <p>7 the point that they're not trying to capture in</p> <p>8 the efficiency gap whether or not election</p> <p>9 districts are competitive, right? That's a</p> <p>10 separate inquiry?</p> <p>11 A. That's correct.</p> <p>12 Q. Okay.</p> <p>13 MR. CARVIN: If you could mark that</p> <p>14 Exhibit 4.</p> <p>15 (Exhibit 4 marked for identification</p> <p>16 and attached hereto.)</p> <p>17 BY MR. CARVIN:</p> <p>18 Q. Now I've handed you Exhibit 4. This</p> <p>19 is an article by Best and others, "Considering</p> <p>20 the Prospects for Establishing a Packing</p> <p>21 Gerrymandering Standard."</p> <p>22 You cite this article in your report,</p> <p>23 do you not?</p> <p>24 A. I can't remember off the top of my</p> <p>25 head.</p>
<p style="text-align: right;">Page 56</p> <p>1 C. WARSHAW</p> <p>2 Q. Why don't you go check your report.</p> <p>3 A. It looks like I do.</p> <p>4 Q. And again, Best and his co-authors are</p> <p>5 well-respected political scientists?</p> <p>6 A. Yes, I believe they are.</p> <p>7 Q. And Best and McDonald came up with the</p> <p>8 median-mean difference that you partially rely on</p> <p>9 in your report?</p> <p>10 A. Yes.</p> <p>11 Q. Okay. If you could turn to page 5 of</p> <p>12 this article. I'm reading now from their middle</p> <p>13 paragraph where they're discussing the efficiency</p> <p>14 gap, are they not?</p> <p>15 A. Yes, I believe so.</p> <p>16 Q. And they say: "It runs into</p> <p>17 manageability difficulties in two regards (1) it</p> <p>18 assumes wasted votes are to be counted in an odd</p> <p>19 way, and (2) it has no secure baseline for</p> <p>20 establishing the degree of wasted votes that</p> <p>21 indicates a gerrymander."</p> <p>22 Do you see that?</p> <p>23 A. Yes.</p> <p>24 Q. Let's start with the second</p> <p>25 proposition. Do you agree that the efficiency</p>	<p style="text-align: right;">Page 57</p> <p>1 C. WARSHAW</p> <p>2 gap has no secure baseline for establishing the</p> <p>3 degree of wasted votes that indicates a</p> <p>4 gerrymander?</p> <p>5 A. In my view, there's no bright line for</p> <p>6 any of the metrics that would establish a</p> <p>7 gerrymander based on one metric alone.</p> <p>8 Q. So as to the efficiency gap, the</p> <p>9 mean-median difference and declination, there's</p> <p>10 no baseline for separating an impermissible</p> <p>11 gerrymander from a tolerable result?</p> <p>12 A. I think you have to look at a number</p> <p>13 of different factors. As in Michigan, I think</p> <p>14 that all of those point in the same direction,</p> <p>15 that this is an extreme partisan gerrymander.</p> <p>16 But there's no single number where I would say</p> <p>17 above 5 percent or something is definitely a</p> <p>18 gerrymander.</p> <p>19 Q. So there is no well-accepted view in</p> <p>20 the profession about what efficiency gap score</p> <p>21 renders a redistricting plan unacceptable or an</p> <p>22 extreme partisan gerrymander?</p> <p>23 A. That's correct.</p> <p>24 Q. And the same is true of both the</p> <p>25 mean-median difference and the declination</p>

<p style="text-align: right;">Page 58</p> <p>1 C. WARSHAW</p> <p>2 scores?</p> <p>3 A. That's correct.</p> <p>4 Q. Then it discusses effectiveness</p> <p>5 difficulties -- I'm now back to the preceding</p> <p>6 paragraph -- arise for three reasons. And the</p> <p>7 first reason they give are votes are wasted for</p> <p>8 reasons other than gerrymandering.</p> <p>9 Do you agree with that?</p> <p>10 A. Yes. Certainly I think that a number</p> <p>11 of factors affect election results, and those can</p> <p>12 influence the efficiency gap estimates as they do</p> <p>13 any of the other metrics to some degree.</p> <p>14 Q. Then it says: "The wasted vote gap</p> <p>15 co-varies with a party's vote percentage."</p> <p>16 Do you agree with that?</p> <p>17 A. I don't necessarily agree. I haven't</p> <p>18 run a regression that tests that statement,</p> <p>19 although I certainly -- we certainly -- I</p> <p>20 certainly could. My -- I know in the</p> <p>21 Stephanopoulos and McGhee, in their article they</p> <p>22 argue that's not necessarily true, in their</p> <p>23 Stanford Law Review article.</p> <p>24 And in my qualitative assessment of</p> <p>25 just spending a lot of time with the data,</p>	<p style="text-align: right;">Page 59</p> <p>1 C. WARSHAW</p> <p>2 there's nothing -- there's nothing in looking at</p> <p>3 the data and building lots of graphs and running</p> <p>4 lots of, you know, different types of analyses</p> <p>5 that would lead me to believe that. But I</p> <p>6 haven't done that analysis explicitly, so I</p> <p>7 couldn't say for sure.</p> <p>8 Q. Okay. And what does that mean in</p> <p>9 English, that it "co-varies with a party's vote</p> <p>10 percentage"?</p> <p>11 A. Well, I think their assertion here is</p> <p>12 that there's some necessary relationship between</p> <p>13 the efficiency gap and the differential and</p> <p>14 wasted votes and the party's percentage of the</p> <p>15 statewide vote. But certainly mathematically</p> <p>16 that's not true. The efficiency gap is not -- is</p> <p>17 not calculating the vote share. It's calculating</p> <p>18 the differential between the number of votes you</p> <p>19 get and the number of seats you get via the</p> <p>20 wasted votes.</p> <p>21 So certainly no mathematical, you</p> <p>22 know, relationship that would presume -- that</p> <p>23 would imply this to be true. And like I said, my</p> <p>24 understanding of the data does not lead me to</p> <p>25 think it's true either, but I couldn't say for</p>
<p style="text-align: right;">Page 60</p> <p>1 C. WARSHAW</p> <p>2 sure.</p> <p>3 Q. And then the third criticism is: "The</p> <p>4 method seeks to cover both cracking and packing</p> <p>5 gerrymanders in one calculation and thereby can</p> <p>6 allow some amount of cracking to disguise an</p> <p>7 undue amount of packing."</p> <p>8 Do you agree with that?</p> <p>9 A. Clearly the method seeks to cover both</p> <p>10 packing and cracking. I would have to think more</p> <p>11 about the second half of that sentence. I don't</p> <p>12 have a view on -- a necessary view on the second</p> <p>13 half of the sentence. But the first half is</p> <p>14 certainly true, that the efficiency gap</p> <p>15 incorporates both packing and cracking.</p> <p>16 Q. All right. To hopefully save some</p> <p>17 time, I would like you to turn to page 6. I'm</p> <p>18 going to give you a chance to read this to</p> <p>19 yourself beginning with the sentence in the</p> <p>20 middle of the paragraph "In a three-district</p> <p>21 state." If you could read the next couple of</p> <p>22 sentences, and then I can ask you some questions</p> <p>23 about that. Okay?</p> <p>24 MR. YEAGER: Just so we're clear,</p> <p>25 you're talking about two sentences or three</p>	<p style="text-align: right;">Page 61</p> <p>1 C. WARSHAW</p> <p>2 that you want us to focus on here?</p> <p>3 MR. CARVIN: Three.</p> <p>4 MR. YEAGER: Okay. Thank you.</p> <p>5 BY MR. CARVIN:</p> <p>6 Q. Professor, have you had an opportunity</p> <p>7 to read that?</p> <p>8 A. I need another minute.</p> <p>9 Q. Sure. Just to be clear, please</p> <p>10 indicate to me when you've had enough time to</p> <p>11 digest that.</p> <p>12 A. Yes, I'm thinking.</p> <p>13 Q. Sure.</p> <p>14 A. Okay.</p> <p>15 Q. In the example they give, do they not,</p> <p>16 is they give three relatively competitive</p> <p>17 districts, and they point out that an 8.3 gap in</p> <p>18 favor of the majority party turns into an 8.3 gap</p> <p>19 against the majority party if the vote shifts</p> <p>20 during the decade four points in one direction.</p> <p>21 Is that correct?</p> <p>22 A. I'm sorry, the four points -- oh,</p> <p>23 turns into -- yes. Although I'm not sure -- I'm</p> <p>24 not sure about the eight-point calculation, if</p> <p>25 that's correct. But trying -- I was having a</p>

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tough time doing the math in my head. But I don't think that's correct.

But putting that aside, yes. I mean, I think the bottom line, their criticism here is that the efficiency gap estimates are sensitive to small variations in who actually wins each seat. But in my view that's a virtue, not a vice. I mean, in our single-member -- in our single-member districts with first-past-the-post elections the winner of an election is the person that gets 50 percent plus one. And that has enormous consequences for the partisan and ideological composition of legislature and therefore the roll call votes that legislators take and the policies that are passed by our government.

So I think taking into account who actually wins the election is not necessarily a bad thing. And yes, if you have uniform swings, that will affect the efficiency gap, but the authors of a gerrymander are aware of the likelihood of different types of uniform swings.

So in -- surely you can have uniform swings across elections, but the most likely

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outcome is the one that the authors of a gerrymander are planning based on. So I think it's a little bit unrelated to the main point here just to point out in various hypothetical scenarios what might happen with different uniform swings.

Q. Well, if you're worried about the durability of a gerrymander, one gerrymandering technique is to have relatively thin majorities for the favored party and then pack the disfavored party into safe districts. If they miscalculate or the electorate shifts during the decade, then what would be viewed as -- in this case we'll use Republican districts, would become Democratic districts, correct?

A. Yes.

Q. And therefore one of the concerns they raise is that if you attribute a very negative efficiency gap score to districts that are, say, 51/49, that will create a false positive where that district could change very rapidly and the efficiency gap score would disappear, indeed shift to the other side, correct?

MR. YEAGER: Objection; incomplete

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hypothetical and misstates the evidence.

THE WITNESS: Well, I'm not sure I completely followed the hypothetical you just said. But I'll just say at a general level, I think that the -- there is going to be variation within cycles in the efficiency gap, particularly if you only have three districts. But to my knowledge, there are no state legislators -- legislatures in the United States that only have three districts.

And my analysis of congressional districts, I believe following other people that have looked at the efficiency gap, focuses on states with more than five or six congressional districts; in my case, more than six. For exactly this very reason, that the efficiency gap estimates are going to be more stable when there's more districts.

Clearly, if you only have three districts, it's going to -- the efficiency gap could be sensitive to idiosyncratic variation in election results, which is why

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in my analysis I didn't actually look at states with only three districts.

BY MR. CARVIN:

Q. Well, that's fair enough. Perhaps the magnitude of the difference will be different. But let's go to the last sentence on the opposite column. All right?

And the authors say, do they not, that the efficiency gap "overreaches when it offers a false positive reading of gerrymandering by indicting a districting plan as a gerrymander because it has many competitive districts that slightly favor one party..."

And since it does indict districts that are competitive, as a gerrymander they may be condemning things as gerrymanders that could shift if these competitive districts shift in the next election. Is that a fair criticism?

MR. YEAGER: Objection; misstates the record.

You may answer.

THE WITNESS: Again, thinking of this at a more abstract level, I think my view is that if a gerrymander were to draw all

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the -- or to draw a large number of districts where they expected to win 54 percent of the vote, those might be termed competitive districts depending on your metric of competitive districts. However, the expected -- the most likely outcome would be that the favored party would win most or all of those districts.

BY MR. CARVIN:

Q. Right.

A. So in fact that would be a gerrymander. Which is why I think that looking -- trying to conflate competitiveness and partisan bias is -- those are two different values. And the goal of a gerrymander in general is to maximize the partisan bias in terms of the translation of votes to seats. And they might do that -- one optimal way to do that is to do that by creating many relatively competitive elections. And on average they're going to be advantaged in the translation of votes to seats.

I wouldn't want to throw out that kind of situation merely because we thought that a 54 percent election was competitive, because

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that's -- that's ignoring the fact that the most likely outcome in most scenarios is the favored party will win that election.

Q. Fair enough. But just to get to the two points we can agree on, a 52/48 district has a very poor efficiency gap score, right? It says that the disadvantaged party has wasted 46 votes in that?

A. I think 48 in the hypothetical you just gave.

Q. 48. And in relative terms, 46 because the majority party is only --

A. I see what you're saying.

Q. -- wasting two points. Is that fair?

A. Yes, sir.

Q. Okay. So we agree that those kinds of districts perform very poorly in terms of the efficiency gap, correct?

A. Sure. The disfavored party is wasting a lot of votes in those districts. Which is one of the reasons why cracking voters across these district is such a good strategy for the favored party.

Q. Right. Well, it could be a good

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strategy in 2012 if an incumbent dies or if there is a relatively minor shift in the state or you have an off-year election following a presidential win. Then those districts that slightly favor Republicans could favor Democrats and Democrats can win those seats, correct?

A. Surely.

Q. I've got two more questions and then would that be a convenient time for a break? I'm going to move on to a new topic.

MR. YEAGER: Is that okay with you, Professor?

THE WITNESS: Yes, sir.

BY MR. CARVIN:

Q. Did you use the full method efficiency gap? Are you familiar with that term?

A. I'm not sure -- I can't remember how Stephanopoulos and McGhee -- the terms have shifted a little bit. But I use what I call the turnout adjusted model that incorporates -- accounts for differential turnout across districts.

Q. So you do account for differential turnout --

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A. Correct.

Q. -- in your analysis?

A. I do that for all three legislative chambers.

Q. And then if you could turn to page 15 of your report, please.

A. I'm happy to talk -- I should say one of the consequences of that decision is that it means that, compared to a non-turnout adjusted estimated efficiency gap, my estimates are a little bit more pro-Democratic. So in other words, it gives you -- it's going to create a little bit less, like, pro-Republican gerrymandering or something than if you didn't account for turnout since Democrats tend to have lower turnout in districts they win.

Q. But you think it's the better analysis?

A. Exactly. I view it -- I view it as the better analysis even though here it certainly cuts against the incumbent conclusions in the report.

Q. At the top of page 15 under the bracket heading you say: "Of course the

<p style="text-align: right;">Page 70</p> <p>1 C. WARSHAW</p> <p>2 efficiency gap can be nonzero and differ across</p> <p>3 states for reasons unrelated to the drawing of</p> <p>4 district lines such as variations in how</p> <p>5 different demographic groups are distributed</p> <p>6 across geographic space."</p> <p>7 Do you see that?</p> <p>8 A. Yes.</p> <p>9 Q. You didn't analyze how Democratic</p> <p>10 groups are distributed across geographic spaces</p> <p>11 in Michigan, did you?</p> <p>12 A. No.</p> <p>13 Q. "The efficiency gap can also be</p> <p>14 affected by the intentional drawing of district</p> <p>15 lines to accomplish goals other than maximizing</p> <p>16 partisan seat share such as ensuring the</p> <p>17 representation of racial minorities."</p> <p>18 Is that right?</p> <p>19 A. Yes.</p> <p>20 Q. And the reason that is is because, as</p> <p>21 we can see from the majority black districts in</p> <p>22 Michigan, all of those are heavily packed</p> <p>23 Democratic districts, right?</p> <p>24 A. I haven't looked at that in my</p> <p>25 analysis. But from my knowledge of other states,</p>	<p style="text-align: right;">Page 71</p> <p>1 C. WARSHAW</p> <p>2 I will take that as probably true.</p> <p>3 Q. Can you identify the counties or</p> <p>4 localities or municipalities in Michigan that</p> <p>5 have the highest Democratic concentrations?</p> <p>6 A. At a general level I could. The city</p> <p>7 of Detroit I'm sure is heavily Democratic, as in</p> <p>8 general are other cities, particularly those with</p> <p>9 many racial minorities or union members and</p> <p>10 things like that.</p> <p>11 Q. Do you know where those are?</p> <p>12 A. I could name cities in Michigan, but</p> <p>13 I'm not -- I'm not -- I don't -- I don't claim to</p> <p>14 be -- to know the distribution of voter groups</p> <p>15 across Michigan in detail.</p> <p>16 Q. Do you know what county Detroit is in?</p> <p>17 A. Wayne County?</p> <p>18 Q. Are you asking?</p> <p>19 A. I think it's Wayne County.</p> <p>20 Q. So if it is true that Democrats are</p> <p>21 clustered naturally either because of demographic</p> <p>22 factors or because of the effects of creating</p> <p>23 majority black districts, the only way to avoid</p> <p>24 an efficiency gap, an anti-Democratic efficiency</p> <p>25 gap, would be to draw districts that break up</p>
<p style="text-align: right;">Page 72</p> <p>1 C. WARSHAW</p> <p>2 those natural clusters, right?</p> <p>3 A. I don't necessarily agree with that.</p> <p>4 I haven't analyzed that in depth. I know other</p> <p>5 reports -- I know the work of other experts in</p> <p>6 this case speak to that. I don't have a view on</p> <p>7 the matter.</p> <p>8 Q. And have you read those reports?</p> <p>9 A. I've read parts of Professor Chen's</p> <p>10 report. I have not read Professor Mayer -- I</p> <p>11 think I read the introduction of Professor</p> <p>12 Mayer's report, but I haven't read his report in</p> <p>13 any depth.</p> <p>14 MR. CARVIN: This would be a</p> <p>15 convenient time for a break.</p> <p>16 (Recess taken.)</p> <p>17 BY MR. CARVIN:</p> <p>18 Q. If you could turn in your report to</p> <p>19 page 18, please, Professor.</p> <p>20 A. Yes.</p> <p>21 Q. And you're discussing here the</p> <p>22 efficiency gaps in Congress, and you say these</p> <p>23 efficiency gaps imply that the Republicans in</p> <p>24 Michigan won two to three more seats in these</p> <p>25 elections than they would have if Michigan had no</p>	<p style="text-align: right;">Page 73</p> <p>1 C. WARSHAW</p> <p>2 partisan bias in its efficiency gap. Then you</p> <p>3 cite Stephanopoulos and McGhee's 2015 article and</p> <p>4 say that has similar estimates. Is that correct?</p> <p>5 A. Yes. I believe both their article and</p> <p>6 The Brennan Center place seats -- estimate the</p> <p>7 number of seats that the efficiency gap -- you</p> <p>8 know, put the efficiency gap in seat terms.</p> <p>9 (Exhibit 5 marked for identification</p> <p>10 and attached hereto.)</p> <p>11 BY MR. CARVIN:</p> <p>12 Q. If you could look at Exhibit 5,</p> <p>13 please, which has just been handed to you.</p> <p>14 A. Sure.</p> <p>15 MR. YEAGER: Did you send me one?</p> <p>16 MR. CARVIN: Sorry.</p> <p>17 BY MR. CARVIN:</p> <p>18 Q. And this is the University of Chicago</p> <p>19 Law Review article you referenced on page 18?</p> <p>20 A. Yes, I believe that's true.</p> <p>21 Q. Okay. Now, it did estimate that the</p> <p>22 efficiency gap in Michigan was more than two</p> <p>23 congressional seats, right? Why don't you turn</p> <p>24 to page 890.</p> <p>25 A. 890? I think 879 is the one where</p>

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they showed the efficiency gap in Michigan is three seats, according to their graph.

Q. Okay. But they nonetheless said that Michigan's congressional map was not crossing their threshold of a presumptively invalid efficiency gap -- and I'm reading from the article -- because "the sensitivity testing shows that plausible shifts in voter sentiment could result in the Michigan, North Carolina, and Texas plan advantaging Democrats instead."

Is that true?

A. That's what they say in this article. I haven't analyzed that.

Q. You haven't analyzed whether or what kind of plausible shifts in voter sentiment will occur in Michigan in future congressional elections, right?

A. Correct.

Q. And on page 889 of the same article it says in the second sentence of the first full paragraph: "We recommend the sensitivity testing because, as we have stressed, a plan's efficiency gap may change substantially from one election to the next." Correct?

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A. Yes, that's true in theory. But the efficiency gap in Michigan has stayed relatively stable over the past three election cycles.

Q. We're going to discuss that.

But the authors of this article stress that a plan's efficiency gap may change substantially from one election to the next. Do you disagree with that as a general proposition?

A. No.

Q. We'll come back to how much it's changed in Michigan.

They recommend the sensitivity test is to take into account whether under realistic voter shifts the efficiency gap could actually favor in this case the Democrats, correct? That's their sensitivity test?

A. I believe that's true.

Q. And you've not done any sensitivity testing to determine whether or not the efficiency gap could be zero or close to zero in the 2018 or 2020 elections, right?

A. I have not. My entire report focuses on actual observed elections, where we now have three elections since the 2011 plan went into

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place.

Q. Right.

A. I don't look at hypothetical elections.

Q. Right. Well, the fact that there's elections in 2018 is not hypothetical; they just haven't occurred yet. Right?

A. Fair. Yes.

Q. And you're not making any projections about what will happen --

A. That's correct.

Q. -- in future elections?

A. That's correct.

Q. If you turn to page 864 of this article. If you read the fourth sentence in the first full paragraph on 864, it says: "Specifically, a plan's efficiency gap in one election is a relatively weak predictor of its gap in the next election (coefficient equals 0.23) in a model that also includes a variety of other factors."

Is that correct?

A. I haven't -- I couldn't say for sure. I haven't looked at -- what I say -- what I show

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in my report is that in recent election cycles, the efficiency gap in 2012 is a very good predictor of the efficiency gap in 2016, and that's what I've -- that's what I've looked at.

Q. In Michigan?

A. Not just in Michigan but across the country.

Q. Okay. So you disagree with the creators and authors of the efficiency gap about whether or not a plan's efficiency gap in one election is a relatively weak predictor of its gap in the next election?

A. Their analysis may be based on all of the elections over the past, you know, 45 years, which I haven't looked at.

Q. Do you know whether or not they were looking at it for the past 45 years?

A. I don't know. I'd have to -- I assume so.

Q. This article was written in --

A. I think their -- their analysis, like mine, looks at plans, I think over the course of the 1970s, '80s, '90s, 2000 and 2012. And I did some -- it's not shown in my report, but I did

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some, you know, just exploratory analysis that suggests that the relationship is a little bit weaker in earlier decades. I couldn't say exactly what it is. But certainly in recent elections the relationship is quite strong between the efficiency gap after a plan -- immediately after a plan goes into place and mid-decade.

Q. And you say you didn't put that in your report?

A. I didn't. I didn't make -- I thought the most relevant piece was what happens after the 2011 plans went into place since those are the plans that we're focused on.

Q. And the analysis in your report is of the relationship between the 2012 and 2016 election results, right?

A. Exactly.

Q. Which you characterize as similar?

A. Yes.

Q. Okay.

A. I believe that's true.

Q. And they went from 19.7 efficiency gap in 2012 to 13.2 in 2016? We come back to this if

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you want.

A. I would have to look at my report for the precise numbers.

Q. But that's what you considered a very strong correlation?

A. Yes.

Q. 6 percent change in efficiency gap?

A. Yeah. Those are very both very -- both very pro-Republican efficiency gaps. I mean, to be clear, what they talk about in this article is the odds that a pro-Republican efficiency gap would become a pro-Democratic efficiency gap.

Q. Right.

A. And, of course, in Michigan we see nothing like that. The efficiency gap has fluctuated a little bit, as of course any of these measures will do in real-world election results. But on balance it shows a very pro-Republican map now as it did in 2012.

Q. But you consider a --

A. I don't have a different view, I should say. If it had gone -- if the efficiency gap had gone from negative 20 percent to positive

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10 percent or something, I mean, I might have a different view. But, you know, despite many different election results, 2012 was a very good year for Democrats. 2014 was a very bad year for Democrats. 2016 was somewhere in the middle in terms of their vote share. Across all of those different scenarios the efficiency gap in Michigan has looked relatively similar.

Q. Relatively similar. I just want to make sure that you consider 6.5 percent change similar.

A. Yeah.

Q. So 13.2 percent would be similar to 6 percent? That's a 6 percent change, 6.5 percent change?

A. I think that -- I don't have a view on that. But in thinking about it, a couple percentage point change I think is a relatively small change. I wouldn't want to put a precise number on what I viewed as the small change. Within a couple percentage points is --

Q. What about 6.5 percent?

A. I think -- I think I would view that as relatively similar.

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Q. Not similar?

A. No, I said I would view them as relatively similar.

Q. But not similar?

MR. YEAGER: Objection; misstates the testimony. Asked and answered.

BY MR. CARVIN:

Q. Would you view them as similar?

MR. YEAGER: Asked and answered.

THE WITNESS: I believe the word "similar" is in my report. I don't...

BY MR. CARVIN:

Q. And you're standing by that?

A. I stand by that.

Q. Okay. So I'm going to ask you again. Have you analyzed whether a plan's efficiency gap in one year is a weak predictor of gaps in the next election year? Have you assigned a coefficient from one election to another?

A. No. What I show in my report, though, is that there is a .78 correlation, I believe, in congressional plans and a .68 correlation in state legislative plans between the efficiency gaps in 2012 and '16, which suggests that the --

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certainly for the congressional plans the majority -- the vast majority -- I don't want to say vast, but the majority of the variation in 2016 efficiency gaps can be predicted based on the 2012 gaps.

Q. And you looked at three election cycles?

A. Correct.

Q. Do you disagree with the authors' conclusion with respect to efficiency gaps in general that as a practical matter it tends to fluctuate?

A. Yes. Because, as we talked about earlier, the efficiency gap is sensitive to, you know, the actual winner of a seat. So, you know, as the winner of a seat can fluctuate due to election, you know, fluctuation -- uniform swings or idiosyncratic factors the efficiency gap is going to change. That variation will get smaller, in general, as the number of seats increase.

Q. So you agree with them?

A. Yes. And that's why -- and that's why I stated in my report that I think no -- no

C. WARSHAW

metric is perfect, including the efficiency gap.

Q. Right.

A. I think all of these metrics have weaknesses, and that's one of the weakness, perhaps the most important weakness of the efficiency gap.

Q. Okay. So you agree with the efficiency gap's potentially more important limitation is instability as the authors state at page 864?

A. I do think that's a weakness. But I think one -- one area where I would disagree with the authors is that elections have consequences. Even if a measure is -- even if -- even if future elections can't be predicted precisely -- and I also think, you know, going back to even the Gelman and King 1994 article, it's longstanding -- it's long been known that the consequences of a gerrymander decay somewhat over time due to changing election circumstances. No one can predict the future precisely. So I don't think that's necessarily a new point.

So, for instance, in Michigan the efficiency gap decreases a little bit. And

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that's been a longstanding finding in the literature. But I think that each election has consequences.

Q. Right.

A. So I don't think we should focus -- I don't think our burden of proof should be that the 2020 -- the efficiency gap in 2020 has to be exactly the same as 2012.

Q. You don't think so?

A. To evaluate a gerrymander. I think that's not -- when parties are trying to maximize their seats, I think that's not what they're trying to do. They're trying to -- they can only see so far into the future, and they're trying to maximize their seat share knowing -- with the information they have.

Q. Fair enough. The court can't do anything about the 2016 elections, right?

MR. YEAGER: Objection; calls for a legal conclusion.

BY MR. CARVIN:

Q. You're not advocating or you're not understanding anybody to advocate that we throw out the winners of the 2016 election, right?

C. WARSHAW

A. That's correct.

Q. And you don't understand this case to involve enjoining the 2018 elections, right?

A. I don't know.

Q. You don't know? It's August of 2018. I'm telling you that no one is seeking to stop the 2018 elections in this litigation. Okay?

If that's true, then the only election that matters relative to this litigation is the 2020 election, right?

A. (Nodding head.)

Q. I need a verbal response.

A. Yes.

Q. Okay. So if the efficiency gap has decayed to the point where it is no longer significant or crosses your threshold as an extreme partisan gerrymander in 2020, then there would be no point in enjoining the 2020 elections, right?

A. I think that calls for a legal view that I don't have. But I think that -- What I can say is that the 2016 -- empirically, the 2016 efficiency gaps have decayed a little bit but they're similar to the 2012 efficiency gaps.

<p style="text-align: right;">Page 86</p> <p>1 C. WARSHAW</p> <p>2 Q. And you're making no prediction about</p> <p>3 how much they're going to decay in 2018 or 2020,</p> <p>4 correct?</p> <p>5 A. I'm not, except to say that they are</p> <p>6 relatively similar -- they're similar in 2012 and</p> <p>7 2016.</p> <p>8 Q. Right. But you haven't made any --</p> <p>9 MR. YEAGER: Objection. Let him</p> <p>10 finish, please.</p> <p>11 BY MR. CARVIN:</p> <p>12 Q. Go ahead.</p> <p>13 A. I think as a -- that's correct. I'm</p> <p>14 not making -- There's no forecast in my report</p> <p>15 for what's going to happen in 2020.</p> <p>16 MR. CARVIN: Could you mark this as</p> <p>17 Exhibit 6, please.</p> <p>18 (Exhibit 6 marked for identification</p> <p>19 and attached hereto.)</p> <p>20 BY MR. CARVIN:</p> <p>21 Q. All right. This is the rebuttal</p> <p>22 report you submitted in this case. Is that</p> <p>23 correct?</p> <p>24 A. Yes, it is.</p> <p>25 Q. If you would turn to page 4, please.</p>	<p style="text-align: right;">Page 87</p> <p>1 C. WARSHAW</p> <p>2 You have a heading of (b). And you state on</p> <p>3 page 9: "Dr. Johnson argues that we should not</p> <p>4 be concerned that Michigan's districts are</p> <p>5 gerrymandered because it is possible to imagine a</p> <p>6 3 to 4 percent uniform swing in the two-party</p> <p>7 vote shares which would give Democrats a majority</p> <p>8 of Michigan's congressional seats."</p> <p>9 Do you see that?</p> <p>10 A. Yes.</p> <p>11 Q. Do you disagree that a 3 to 4 percent</p> <p>12 swing would give Democrats a majority of seats?</p> <p>13 A. I can't remember, to be honest. I</p> <p>14 neither agree nor disagree. I just don't</p> <p>15 remember.</p> <p>16 Q. Have you made any projections for</p> <p>17 potential swings in 2018?</p> <p>18 A. I have not.</p> <p>19 Q. You say that there have been</p> <p>20 relatively large swings in statewide votes since</p> <p>21 2012 in Michigan, right?</p> <p>22 A. Where do I say that?</p> <p>23 Q. Do you see the last sentence? You</p> <p>24 say: "There have been regionally large swings in</p> <p>25 the statewide vote." Second-to-last sentence.</p>
<p style="text-align: right;">Page 88</p> <p>1 C. WARSHAW</p> <p>2 A. Yes.</p> <p>3 MR. YEAGER: Hold on one second. If I</p> <p>4 might just read.</p> <p>5 THE WITNESS: I say despite --</p> <p>6 MR. YEAGER: Stop. Stop. Just wait.</p> <p>7 Okay, no objection.</p> <p>8 BY MR. CARVIN:</p> <p>9 Q. Can you tell me what those reasonably</p> <p>10 large swings were in 2012, 2014, and 2016?</p> <p>11 A. I believe -- I don't have -- Nowhere</p> <p>12 in my report do I state exact numbers. I don't</p> <p>13 have them exact precisely in my head. But from</p> <p>14 thinking about the data, I think the Democratic</p> <p>15 vote share goes from maybe 51 or 52 percent in</p> <p>16 2012, I think is in what is in my report, to 47</p> <p>17 percent-ish -- somewhere around 47 percent in</p> <p>18 2010. So it goes -- it swings a couple of</p> <p>19 percentage points.</p> <p>20 Q. In whose favor?</p> <p>21 A. In the Republicans' favor. Sorry, in</p> <p>22 2014. I might have said 2010. In 2014 it swung</p> <p>23 in Republicans' favor.</p> <p>24 Q. And how about 2016?</p> <p>25 A. It swung a little -- it swung a little</p>	<p style="text-align: right;">Page 89</p> <p>1 C. WARSHAW</p> <p>2 bit back to the Democrats. I think Democrats had</p> <p>3 a majority, had a bare majority of the statewide</p> <p>4 vote, but I can't remember for sure.</p> <p>5 Q. So just so I understand it, you think</p> <p>6 Republicans had a bare majority of the statewide</p> <p>7 vote in congressional elections in 2014 and</p> <p>8 Democrats had a bare majority in 2016? That's</p> <p>9 your testimony?</p> <p>10 A. I think that's true, but I would have</p> <p>11 to look for -- for precise numbers.</p> <p>12 Q. It's well established, is it not, that</p> <p>13 most congressional plans swing up to 7.5 percent</p> <p>14 during an election cycle?</p> <p>15 A. Well, Stephanopoulos and McGhee assert</p> <p>16 that in their article. I wouldn't say it's well</p> <p>17 established. I mean, I think -- I couldn't say</p> <p>18 that for sure.</p> <p>19 Q. Do you have contrary analyses?</p> <p>20 A. I don't.</p> <p>21 Q. So you can't dispute it?</p> <p>22 A. I can't dispute it, no.</p> <p>23 Q. Back to your rebuttal report. You</p> <p>24 say -- you state in your initial report:</p> <p>25 "Democrats would have needed about 57.5 percent</p>

<p style="text-align: right;">Page 90</p> <p>1 C. WARSHAW</p> <p>2 of the vote to win a majority of the seats in the</p> <p>3 Michigan congressional delegation." Right?</p> <p>4 A. Yes.</p> <p>5 MR. YEAGER: Objection. Incomplete</p> <p>6 reading of that sentence.</p> <p>7 BY MR. CARVIN:</p> <p>8 Q. You said that, right?</p> <p>9 A. As I stated in my initial report:</p> <p>10 "Democrats would have needed about 57.5 percent</p> <p>11 of the vote to win a majority of the seats in the</p> <p>12 Michigan congressional delegation."</p> <p>13 Q. Right. And you're not suggesting that</p> <p>14 they would need 57.5 percent to win a majority of</p> <p>15 the seats in 2018 or 2020, are you?</p> <p>16 A. I have not analyzed that, but I have</p> <p>17 no reason to believe that that wouldn't be true,</p> <p>18 that it would change dramatically.</p> <p>19 Q. So you haven't made any projections</p> <p>20 about what percentage of the statewide vote would</p> <p>21 be necessary for Democrats to win a majority of</p> <p>22 the seats under the current redistricting plan in</p> <p>23 2018 or 2020, correct?</p> <p>24 A. No. But I don't -- my -- in my -- in</p> <p>25 my view, the number that was required in 2012</p>	<p style="text-align: right;">Page 91</p> <p>1 C. WARSHAW</p> <p>2 wouldn't change dramatically over time.</p> <p>3 Q. And that's not based on any analysis</p> <p>4 or conclusion suggested in your report, correct?</p> <p>5 A. That's correct.</p> <p>6 Q. Let's figure out how you came to this</p> <p>7 57.5 percent. If you could turn to footnote 9,</p> <p>8 page 9 of your initial report.</p> <p>9 A. I have to find my report.</p> <p>10 Q. The first report.</p> <p>11 A. Okay. What page number are you on?</p> <p>12 Q. Page 9, footnote 9 at the bottom.</p> <p>13 A. Yes.</p> <p>14 Q. So the way you came up with this 57.5</p> <p>15 was you just added -- you just figured out they</p> <p>16 needed to win an additional 4.5 percent of the</p> <p>17 vote in the 3rd District, which would have been</p> <p>18 the majority district, in that year to get a</p> <p>19 majority of the seats, right?</p> <p>20 A. Correct.</p> <p>21 Q. Okay. And so that wasn't any kind of</p> <p>22 swing analysis based on election results. That</p> <p>23 was just looking at the particular result in</p> <p>24 District 3 for 2012, right?</p> <p>25 A. Yes. But in this case District 7, 3</p>
<p style="text-align: right;">Page 92</p> <p>1 C. WARSHAW</p> <p>2 and 11 all have very similar vote shares. So</p> <p>3 even if District 3 had changed a little bit, that</p> <p>4 wouldn't affect this dramatically, this</p> <p>5 calculation.</p> <p>6 Q. Well, let's look --</p> <p>7 A. District 7 was the median -- was the</p> <p>8 district to get the majority rather than District</p> <p>9 3.</p> <p>10 Q. Well, let's look at that. What</p> <p>11 increase would it take to give Democrats half the</p> <p>12 seats even in 2012?</p> <p>13 A. About 3.5 percent.</p> <p>14 Q. 3.5 percent?</p> <p>15 A. They would have had to have gone to --</p> <p>16 they would have had to have gotten 56.5 percent</p> <p>17 of the statewide vote to get half the seats.</p> <p>18 Q. They would have needed a 0.31 percent</p> <p>19 increase to win District 1, which is hardly</p> <p>20 anything, right?</p> <p>21 A. Correct.</p> <p>22 Q. And then they would just need to win</p> <p>23 District 11 to win half the seats, right?</p> <p>24 A. That's correct.</p> <p>25 Q. And do you know what the vote share</p>	<p style="text-align: right;">Page 93</p> <p>1 C. WARSHAW</p> <p>2 was in District 11 in 2014 and 2016?</p> <p>3 A. I don't off the top of my head.</p> <p>4 Q. Do you know who the incumbent is in</p> <p>5 District 11?</p> <p>6 A. I don't.</p> <p>7 Q. Have you made any projections about</p> <p>8 what the likely result is in District 11 in 2018?</p> <p>9 A. I have not.</p> <p>10 Q. So in this very close race, if they</p> <p>11 win that, then they'll win half the seats, right?</p> <p>12 A. Sure, if they also win District 1.</p> <p>13 Q. Right. And just so I'm clear, would</p> <p>14 you think it would be an extreme partisan</p> <p>15 gerrymander if Democrats won seven of the 14</p> <p>16 seats in 2018?</p> <p>17 A. I would obviously have to --</p> <p>18 MR. YEAGER: Objection. Wait, wait,</p> <p>19 wait. Objection; vague and ambiguous.</p> <p>20 You may answer.</p> <p>21 THE WITNESS: You would have to give</p> <p>22 me more information in order to evaluate</p> <p>23 that statement.</p> <p>24 BY MR. CARVIN:</p> <p>25 Q. Well, in terms of seats-votes</p>

1 C. WARSHAW
2 proportionality, that's pretty proportionate,
3 isn't it?

4 MR. YEAGER: Objection; vague and
5 ambiguous.

6 You may answer.

7 THE WITNESS: If the Democrats
8 received 53 percent of the statewide vote
9 and they received half the seats, then I
10 would not view that as necessarily a
11 gerrymander. I think that would imply -- I
12 think that would imply a pro-Republican
13 efficiency gap of six points, which I think
14 could be due to a number of factors.

15 MR. CARVIN: If you could mark this.
16 (Exhibit 7 marked for identification
17 and attached hereto.)

18 BY MR. CARVIN:

19 Q. This is an article by McGhee in 2014
20 in Legislative Studies Quarterly. I believe this
21 is one of the articles you cited in your report.

22 A. Yes, that's correct.

23 Q. And McGhee is one of the co-creators
24 of the efficiency gap?

25 A. He's the original creator of it. This

1 C. WARSHAW
2 article I believe is the article that creates the
3 efficiency gap, and then the article with
4 Stephanopoulos expanded upon it and applied --
5 developed their proposed legal standard.

6 Q. If you could turn to page 75 of this
7 article. If you look at the third full
8 paragraph, McGhee states, does he not: "These
9 theoretical insights lead to the key empirical
10 finding of the article. Because efficiency is a
11 function of both vote share and seat share, it is
12 sensitive to changes in party performance. Thus,
13 the effects of partisan gerrymanders, though
14 real, are easily undone. The partisan legacy of
15 the last plan is usually gone by the following
16 redistricting..."

17 Do you agree that the partisan legacy
18 of the last plan is usually undone -- is usually
19 gone by the following redistricting?

20 A. I haven't -- I couldn't evaluate that
21 statement specifically.

22 Q. Okay.

23 A. I don't have a view either way.

24 Q. All right. If you could turn to your
25 report at the bottom of 17, please. Just to go

1 C. WARSHAW
2 over what I think we've chatted about already, if
3 you look at the bottom of 17, you point out that
4 the efficiency gap in 2012 was a huge Republican
5 efficiency gap of approximately 19.7 percent.
6 Right?

7 A. Yes.

8 Q. Okay. And you say the results in the
9 next two elections were similar to those in 2012.
10 Correct?

11 A. Yes.

12 Q. In 2014 and 2016, the efficiency gap
13 was approximately minus 16 in 2014 and minus
14 13.2 percent in 2016. Correct?

15 A. Correct.

16 Q. And you're saying that this
17 6.5 percent difference between 13.2 and 19.7 is
18 similar, correct?

19 A. Yes. I think those are both very
20 pro-Republican efficiency gaps.

21 Q. Would a 6.7 percent efficiency gap be
22 similar to a 13.2 percent efficiency gap?

23 MR. YEAGER: Objection; incomplete
24 hypothetical.

25 You may answer.

1 C. WARSHAW
2 THE WITNESS: I think quantitatively
3 those are not wholly dissimilar, but I think
4 the context is very different. Whereas 13.2
5 indicates to me a very clear -- clear
6 evidence of partisan bias, once you go down
7 to 5 or 6 percent then I think that would
8 be -- I would need -- the efficiency gap by
9 itself probably wouldn't be dispositive. It
10 wouldn't be like -- no piece of evidence is
11 dispositive, but I think it would be less
12 significant evidence in favor of a partisan
13 bias.

14 BY MR. CARVIN:

15 Q. So if this trend continues of the
16 efficiency gap decreasing by 6.5 percent, then it
17 would be roughly 6.7 percent in 2020, correct, if
18 this trend continues?

19 A. Yes. But I think there is no evidence
20 either way that the trend is going to continue,
21 and certainly not that it's going to be linear.
22 In fact, what we've seen in prior decades in
23 Michigan is the efficiency gap -- you know, there
24 hasn't been a linear trend in the efficiency gap.

25 So for instance in the 1990s, in

C. WARSHAW

Figure 5, the efficiency gap bounced around but it was always pro-Democratic, whereas in the 2000s, for instance, the efficiency gap trended toward Republicans and it trended a little bit toward Democrats.

So I think the historical evidence in Michigan does not provide any reason to believe the efficiency gap is going to trend linearly in a particular direction over time.

Q. We just don't know?

A. That's correct. But I think certainly having a hypothetical that assumes it's going to continue in some linear direction toward a neutral or a pro-Democratic efficiency gap isn't supported by the historical evidence.

Q. Why don't we turn to the historical evidence. If you could turn to page 17.

A. Yes.

Q. See the efficiency gap in the 2000s?

A. Yes.

Q. And the best I can tell in 2006, it was around 14.5 percent?

A. The chart is a little grainy, but that looks roughly right.

C. WARSHAW

Q. What was it in 2010?

A. Again, I don't have an exact number in my head. The chart is a little grainy, but it looks like around 5 percent, negative 5 percent.

Q. 5?

A. 4 percent. Negative 4 or 5 percent. I don't know.

Q. Is the line halfway between 5 percent? Is the line halfway between 10 percent and 0 percent 5 percent?

A. Fair. It's probably negative 4 percent.

Q. 4? Try again. In 2008 it was 4, right?

A. Sorry. That's what I'm looking at.

Q. And then what was it in 2010?

A. Oh, in -- Yeah. I may have misheard you. My reading of the chart is a negative -- yeah, in 2008, I think I had originally said negative 5, but in looking at it, maybe it's negative 4. And then in 2010, negative 2 percent, maybe.

But I think what -- to me, speaking at a little higher level of abstraction, the

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efficiency gap is going to bounce around a little bit. But in the pre-2011 period, if anything, the efficiency gap was trending -- to the extent there was a trend, it was a trend toward Democrats which was -- that trend was broken after the 2011 plan went into place. So the plan immediately after the 2011 plan went into place became dramatically more pro-Republican.

Q. Dramatically more pro-Republican?

A. Yeah, going from whatever the 2010 number is, maybe negative 2 to the negative 20.

Q. You keep looking at the first year. Right? But if you look at the midpoint year, 2006 relative to 2016, which gap is more pro-Republican?

A. Can you repeat the question?

Q. Which is more pro-Republican, the efficiency gap in 2006 or the efficiency gap in 2016?

A. It looks like the efficiency gap in 2006. But I couldn't say for sure.

Q. Okay. Then you have this analysis of durability, right?

A. What page are you on?

C. WARSHAW

Q. 16.

A. Great.

Q. Okay. And you say that this line here somehow shows that the efficiency gap is durable.

A. I --

MR. YEAGER: Wait. That's not a question.

BY MR. CARVIN:

Q. That's the purpose of this chart? And then I'm going to ask more questions.

A. Yes, the purpose of this chart is to show the durability of the efficiency gaps between 2012 and 2016.

Q. Okay. And that's where I'm confused. I see "MI" on the line that looks to me like it's around, I don't know, 13 percent. But I don't see -- I only see one "MI" dot on this line.

A. Got it. Its position on the x-axis shows it's the efficiency gap in 2012 and the position on the y-axis shows the efficiency gap in 2016.

Q. So what are you --

A. So the fact --

MR. YEAGER: Let him ask a question.

<p style="text-align: right;">Page 102</p> <p>1 C. WARSHAW</p> <p>2 Okay?</p> <p>3 BY MR. CARVIN:</p> <p>4 Q. I'm just trying to figure out what</p> <p>5 this number means.</p> <p>6 MR. YEAGER: I'm sorry. Is that a</p> <p>7 question?</p> <p>8 MR. CARVIN: Yeah.</p> <p>9 MR. YEAGER: Do you understand that</p> <p>10 question?</p> <p>11 THE WITNESS: Which number are you</p> <p>12 referring to?</p> <p>13 BY MR. CARVIN:</p> <p>14 Q. You have "MI." You don't have a</p> <p>15 number assigned to it. I'm going to guess it's</p> <p>16 around 12.5 percent.</p> <p>17 A. Well, I think I state that elsewhere.</p> <p>18 I say on page 18 that in 2014 the efficiency gap</p> <p>19 was negative 16 percent.</p> <p>20 Q. Right. In 2016?</p> <p>21 A. Uh-huh.</p> <p>22 Q. I think it was 13.2 percent in --</p> <p>23 A. I'm sorry. That was 2014 I'm talking</p> <p>24 about. You're right. In 2016, I say negative</p> <p>25 13.2. You're right.</p>	<p style="text-align: right;">Page 103</p> <p>1 C. WARSHAW</p> <p>2 Q. Is that that number?</p> <p>3 A. Yes. The position on the y-axis here</p> <p>4 is negative 13.2, the y-axis being the left-hand</p> <p>5 axis is negative 13.2.</p> <p>6 Q. Right. And there's no number for the</p> <p>7 efficiency gap or dot for the efficiency gap in</p> <p>8 2012?</p> <p>9 A. No, that's not correct. The</p> <p>10 efficiency gap in 2012 is shown on the x-axis,</p> <p>11 which is the bottom axis. So if you go up from</p> <p>12 the bottom axis where it says negative 20 percent</p> <p>13 pro-Republican, the Michigan dot or abbreviation</p> <p>14 lies just to the right of the negative</p> <p>15 20 percent, which in fact is I think negative</p> <p>16 19.7 percent precisely.</p> <p>17 Q. So all this chart is showing me is</p> <p>18 that the efficiency gap in 2016 was 13.2 percent</p> <p>19 and it was almost 20 percent in 2012?</p> <p>20 A. Well, it's showing you that. But it's</p> <p>21 also showing you across all of the states in the</p> <p>22 country the efficiency gap in 2016 was extremely</p> <p>23 closely related to the efficiency gap in 2012.</p> <p>24 The correlation, as I say -- in this version I</p> <p>25 said .82. I think in my errata I corrected that</p>
<p style="text-align: right;">Page 104</p> <p>1 C. WARSHAW</p> <p>2 to .78. But that's not a consequential</p> <p>3 difference.</p> <p>4 Q. So maybe I'm misunderstanding this.</p> <p>5 New Jersey had a, what, 11 percent pro-Republican</p> <p>6 efficiency gap in 2012?</p> <p>7 A. I haven't looked at New Jersey in</p> <p>8 detail, but I believe that's true based on the</p> <p>9 chart.</p> <p>10 Q. And it had zero in 2016?</p> <p>11 A. That's what the chart implies. So</p> <p>12 that implies that New Jersey -- the efficiency</p> <p>13 gap in New Jersey, changed more than it did in</p> <p>14 other states. So it's perhaps less durable in</p> <p>15 New Jersey than in other states.</p> <p>16 Q. Okay. Maybe I'm misunderstanding</p> <p>17 this. Then you've got, like, a 19 percent</p> <p>18 efficiency gap in Indiana in 2012 and a</p> <p>19 5.5 percent in 2016?</p> <p>20 A. Sure. There's always going to be</p> <p>21 observations that are outlier observations. But</p> <p>22 the general trend here shows that looking across</p> <p>23 all the states, most of which or many of which, I</p> <p>24 think most, lie within the confidence interval of</p> <p>25 the smooth line, essentially the smooth line.</p>	<p style="text-align: right;">Page 105</p> <p>1 C. WARSHAW</p> <p>2 You know, most -- most of these states are</p> <p>3 similar -- the 2012 and 2016 efficiency gaps are</p> <p>4 similar, and the 2016 efficiency gap is -- you</p> <p>5 know, could be predicted based on the 2012</p> <p>6 efficiency gap.</p> <p>7 Q. All right.</p> <p>8 A. And that's certainly the case in</p> <p>9 Michigan. It's the case in states like</p> <p>10 Pennsylvania or Ohio or North Carolina or</p> <p>11 Alabama. On the Democratic side, Massachusetts,</p> <p>12 which had nearly -- you know, roughly identical</p> <p>13 efficiency gaps, pro-Democratic efficiency gaps</p> <p>14 in 2012 and 2016.</p> <p>15 Q. Are you suggesting that the efficiency</p> <p>16 gap in Michigan was roughly identical in 2012 and</p> <p>17 2016?</p> <p>18 A. I'm not, but it's similar.</p> <p>19 Q. The 6.5 percent conversation we've</p> <p>20 already had?</p> <p>21 A. Yes.</p> <p>22 Q. And you put R equals 0.82. You now</p> <p>23 think it's 0.78?</p> <p>24 A. I believe that's true. I think that's</p> <p>25 in my errata report.</p>

<p style="text-align: right;">Page 106</p> <p>1 C. WARSHAW</p> <p>2 Q. Okay.</p> <p>3 A. That was based on the methodological</p> <p>4 discussion in that report.</p> <p>5 Q. What does that mean?</p> <p>6 A. It means that what the correlation is</p> <p>7 telling us is how much of the variation in the</p> <p>8 2016 efficiency gaps can be predicted by the 2012</p> <p>9 efficiency gaps. So if -- I mean, if we take --</p> <p>10 suppose the correlation is .82 as it is here.</p> <p>11 That implies that 67 percent of the variation</p> <p>12 could be predicted four years later which is --</p> <p>13 you know, it's a large -- a large, not</p> <p>14 overwhelming, share of the variation.</p> <p>15 Q. Does that mean that there will be a</p> <p>16 67 percent variation between 2012 and 2016? What</p> <p>17 do you mean, it can predict 67 percent of the</p> <p>18 variation?</p> <p>19 MR. YEAGER: Objection; misstates the</p> <p>20 testimony.</p> <p>21 MR. CARVIN: I'm just trying to</p> <p>22 straighten this out.</p> <p>23 THE WITNESS: Well, the statistical --</p> <p>24 It suggests that it -- or it shows that the</p> <p>25 efficiency gaps in 2012 statistically</p>	<p style="text-align: right;">Page 107</p> <p>1 C. WARSHAW</p> <p>2 predict 67 percent of the variation four</p> <p>3 years later if the correlation was .82.</p> <p>4 BY MR. CARVIN:</p> <p>5 Q. So it's off by a third?</p> <p>6 A. No. It's saying that a third of the</p> <p>7 variation -- roughly a third of the variation</p> <p>8 cannot be predicted. It's not saying it's off by</p> <p>9 a third.</p> <p>10 Q. Okay. And that would be true --</p> <p>11 that's true for all states?</p> <p>12 A. That's true --</p> <p>13 Q. For all the states on your chart?</p> <p>14 A. On average, that's true. It's not</p> <p>15 true for all states individually because</p> <p>16 obviously there's going to be state-specific</p> <p>17 errors. But on average, that's true.</p> <p>18 Q. And you can't calculate Michigan</p> <p>19 individually? You need all these states to do</p> <p>20 that?</p> <p>21 A. Well, what I was trying to</p> <p>22 characterize here was the general statistical</p> <p>23 relationship, so on average how good a predictor</p> <p>24 is 2012 of the efficiency gap halfway through a</p> <p>25 plan, which is, you know, the most recent plan we</p>
<p style="text-align: right;">Page 108</p> <p>1 C. WARSHAW</p> <p>2 have data for. If I had 2018 elections, I would</p> <p>3 have analyzed that.</p> <p>4 But I think obviously Michigan -- the</p> <p>5 number for Michigan is the most probative here.</p> <p>6 But I think that it's important to also establish</p> <p>7 the general statistical relationship as well.</p> <p>8 Q. Okay. You say that the 2011</p> <p>9 efficiency gap has been durable. Did you look at</p> <p>10 whether it was durable prior to 2011 in Michigan?</p> <p>11 A. I did not specifically. I guess I'll</p> <p>12 say I do think the -- I couldn't put a precise</p> <p>13 number on it, but the stability of the efficiency</p> <p>14 gaps has increased over time which is probably</p> <p>15 why there's such a strong relationship today, in</p> <p>16 part because elections are more predictable. So</p> <p>17 as elections are -- particularly the distribution</p> <p>18 of voters across districts. You may have uniform</p> <p>19 swings, but, you know, we sort of -- it's more</p> <p>20 predictable which voter -- which districts are</p> <p>21 going to be more Republican or more Democratic</p> <p>22 than other districts.</p> <p>23 Q. And there's a strong correlation</p> <p>24 between the presidential vote and the</p> <p>25 congressional vote?</p>	<p style="text-align: right;">Page 109</p> <p>1 C. WARSHAW</p> <p>2 A. Precisely.</p> <p>3 Q. And people predicted in Michigan</p> <p>4 Trump's victory pretty solidly because it's so</p> <p>5 predictable these days?</p> <p>6 A. The predictability of elections is</p> <p>7 much greater than it used to be. Certainly at</p> <p>8 the individual level, the vast majority of people</p> <p>9 vote with their party. And even in Michigan, you</p> <p>10 know, sure there was a swing, but it was a</p> <p>11 relatively small swing compared to earlier eras</p> <p>12 where you might have had Reagan won all but one</p> <p>13 or two states in the 1984 election.</p> <p>14 Nixon won an overwhelming victory in</p> <p>15 1972. You don't see those kinds of huge swings</p> <p>16 across elections that we used to. Elections</p> <p>17 today are much more stable.</p> <p>18 Q. But again, you haven't analyzed how</p> <p>19 many ticket-splitters there are in Michigan or</p> <p>20 how many independent voters there are in</p> <p>21 Michigan, right?</p> <p>22 A. No.</p> <p>23 Q. All right. So on page 19 you say that</p> <p>24 "Michigan had more extreme pro-Republican</p> <p>25 efficiency gaps than it has ever had before in</p>

<p style="text-align: right;">Page 110</p> <p>1 C. WARSHAW</p> <p>2 Congress." Right?</p> <p>3 MR. YEAGER: I'm sorry. Could you</p> <p>4 point to where that is?</p> <p>5 MR. CARVIN: I apologize. It's the</p> <p>6 first full sentence at the top of page 19.</p> <p>7 THE WITNESS: Yes.</p> <p>8 BY MR. CARVIN:</p> <p>9 Q. And then you say: "This further</p> <p>10 suggests that the geographic factors are unlikely</p> <p>11 to be the root cause of the large efficiency gaps</p> <p>12 in Michigan in recent elections." Right?</p> <p>13 A. Yes.</p> <p>14 Q. So if the pro-Republican efficiency</p> <p>15 gaps in this decade are in fact similar to the</p> <p>16 pro-Republican efficiency gaps in the prior</p> <p>17 decade, that would suggest that geographic</p> <p>18 factors are likely to be the root cause of a</p> <p>19 large efficiency gap, correct?</p> <p>20 A. Not necessarily. In 2001, there is --</p> <p>21 I believe there was Republican -- unified</p> <p>22 Republican control of government. So there could</p> <p>23 have been a Republican gerrymander in the 2000s</p> <p>24 as well, although I haven't analyzed that in</p> <p>25 depth. So I think that the statement -- the</p>	<p style="text-align: right;">Page 111</p> <p>1 C. WARSHAW</p> <p>2 negative of the statement here I make is not</p> <p>3 true. Like the --</p> <p>4 Q. All right. Let me restate it.</p> <p>5 A. I think the greater efficiency -- the</p> <p>6 greater efficiency gaps in Michigan reduced the</p> <p>7 likelihood that geography is the root cause. But</p> <p>8 the -- the earlier -- if there were</p> <p>9 pro-Republican efficiency gaps earlier, I would</p> <p>10 not say those were necessarily due to geography.</p> <p>11 I just don't know.</p> <p>12 Q. But if the efficiency gaps for this</p> <p>13 decade or the prior decade were similar, that</p> <p>14 would not provide evidence that the geographic</p> <p>15 factors are likely to be the root cause of a</p> <p>16 large efficiency gap in this decade, right?</p> <p>17 A. Correct. It wouldn't necessarily</p> <p>18 suggest that geography is the root cause, but it</p> <p>19 would be less probative of the argument -- the</p> <p>20 conclusion that intentional gerrymandering is the</p> <p>21 cause.</p> <p>22 Q. And if neutral plans drawn according</p> <p>23 to traditional districting principles and without</p> <p>24 any partisan considerations produced efficiency</p> <p>25 gaps similar to the enacted plan's efficiency</p>
<p style="text-align: right;">Page 112</p> <p>1 C. WARSHAW</p> <p>2 gap, this would be evidence that geographic</p> <p>3 factors are a root cause of the large efficiency</p> <p>4 gaps in the plan, right?</p> <p>5 MR. YEAGER: Objection; vague and</p> <p>6 ambiguous. Incomplete hypothetical.</p> <p>7 You may answer.</p> <p>8 THE WITNESS: In general, I haven't --</p> <p>9 obviously I didn't look at any kind of</p> <p>10 simulated plans in my report. You know, if</p> <p>11 you were to look at simulated plans based on</p> <p>12 some sort of random algorithm and find they</p> <p>13 were the same as the enacted plan, then I</p> <p>14 think that would suggest the enacted plan</p> <p>15 may be due to factors aside from intentional</p> <p>16 gerrymander.</p> <p>17 BY MR. CARVIN:</p> <p>18 Q. Well, what if you used a benchmark</p> <p>19 plan that was clearly drawn without partisan</p> <p>20 intent and strictly adhering to traditional</p> <p>21 districting principles and that resulted in an</p> <p>22 efficiency gap similar to that in the enacted</p> <p>23 plan? Wouldn't that be strong evidence that</p> <p>24 geographic, demographic factors are the root</p> <p>25 cause of the efficiency gap in this plan?</p>	<p style="text-align: right;">Page 113</p> <p>1 C. WARSHAW</p> <p>2 MR. YEAGER: Same objection.</p> <p>3 THE WITNESS: If the -- I mean, in my</p> <p>4 view, to evaluate a simulated plan, you</p> <p>5 should look at a range of simulations rather</p> <p>6 than one demonstration plan as sort of the</p> <p>7 arbiter of the one -- the one and only fair</p> <p>8 and neutrally drawn plan.</p> <p>9 But certainly if the distribution of</p> <p>10 simulated plans, as I said, was the same as</p> <p>11 the enacted plan or nearly the same, then</p> <p>12 that would weigh against intentional</p> <p>13 gerrymandering, or weigh against the</p> <p>14 conclusion that there had been intentional</p> <p>15 gerrymandering.</p> <p>16 BY MR. CARVIN:</p> <p>17 Q. If you could turn to page 32 of your</p> <p>18 report, please. You say that "The efficiency</p> <p>19 gaps in state legislative lower chambers have</p> <p>20 been quite durable though somewhat" --</p> <p>21 A. May --</p> <p>22 Q. I apologize.</p> <p>23 A. My earlier answer, I think the only</p> <p>24 thing I would add is I do think that, you know,</p> <p>25 it's useful to look at the totality of the</p>

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evidence. And the totality of the evidence here, the extremity of Michigan's plan compared to both other states as well as its own efficiency gaps historically, even without any comparison to some simulated plan, for me strongly suggests -- makes me -- makes me feel very confident that there's a large partisan bias in these plans.

And that was why I focused on the historical analysis rather than some comparison to simulated plans. So I think that a comparison to some simulated benchmark is not the only way to evaluate gerrymandering, though it's certainly an indicator.

Q. If you turn to page 32, right underneath your heading "Durability of the Efficiency Gap in State Legislatures," you show that "The efficiency gaps in state legislative lower chambers stemming from the 2011 redistricting have been quite durable, though somewhat less durable than congressional plans." Right?

A. Yes, that's what I say here.

Q. And overall there is a 0.68 correlation nationwide. Right?

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A. Correct.

Q. And what percentage of the variation is predicted by a 0.68 correlation?

A. I'm not sure I can do the math in my head. It would be -- it would be the square of .68.

Q. The square of --

A. You have to square .68. It would be about half the variation.

Q. About 50 percent?

A. Yes.

Q. Okay. And the same question I guess I have here, on Figure 14, you've already explained in connection with the congressional map what these dots mean. And you would give me the same answer with respect to Figure 14?

A. Correct. This is exactly the same. The style of this chart, the presentation of this chart is exactly the same as the congressional chart. The numbers obviously differ but -- So Michigan here, I believe this suggests has a pro-Republican efficiency gap of whatever I said, negative -- well, I wouldn't want to put numbers on it. But it shows they're highly -- closely

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related to each other in 2012 and 2016. They're right on the regression -- the regression line.

Q. Okay. And in -- So we had in 2012 an efficiency gap of 12.3 percent in the state house?

A. I don't have a number in my head. I'll take it as a hypothetical that's what I said in my report.

Q. No, no. Turn to page 34.

A. Great.

Q. 12.3 percent?

A. Uh-huh.

Q. And that's unacceptably large both in historical and relative terms?

MR. YEAGER: Objection; calls for a legal conclusion.

You may answer.

THE WITNESS: Yeah, I have no legal view of the legal benchmark. But I think in historical terms what I show here is that's large.

BY MR. CARVIN:

Q. Excuse me?

A. I said I have no legal -- I have no

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view of the legal standard.

Q. I'm not asking you for legal. I'm asking for your view as a political scientist who characterized this as extreme partisan gerrymandering.

A. Yes. I think that's larger than, as I show in my report, the vast majority, overwhelming majority of previous congressional -- or previous state legislative plans.

Q. And therefore is unacceptable?

A. I wouldn't use the word -- "unacceptable" suggests a normative benchmark that, you know, as a social scientist I couldn't say what's acceptable or unacceptable. But I think it's very large and I think has pernicious consequences for our democracy.

Q. And the pernicious consequences for democracy, that's not a normative judgment?

A. It is a normative judgment. But I think to say something is acceptable or unacceptable implies having some normative or legal benchmark, and I don't have that in my head.

<p style="text-align: right;">Page 118</p> <p>1 C. WARSHAW</p> <p>2 Q. Or anywhere else? You don't have</p> <p>3 anything that distinguishes an extreme partisan</p> <p>4 gerrymander from a non-extreme partisan</p> <p>5 gerrymander in terms of the percentage of the</p> <p>6 efficiency gap, right?</p> <p>7 A. There's no bright line benchmark where</p> <p>8 I would say if it's more than 7 or 8 percent that</p> <p>9 it is a -- you know, definitely a partisan</p> <p>10 gerrymander.</p> <p>11 Q. Is there a range?</p> <p>12 A. I don't know that I have a precise</p> <p>13 range. But I think what I could say is looking</p> <p>14 at the totality of the circumstances where, you</p> <p>15 know, the efficiency gap is large relative to</p> <p>16 other states historically as here and large</p> <p>17 relative to other states in the current era as</p> <p>18 here, when the other gerrymandering metrics all</p> <p>19 show very similar statistics for extremity. When</p> <p>20 there's unified control of state government, that</p> <p>21 suggests, you know, intent to maximize its seat</p> <p>22 share. I think all of those are factors for me</p> <p>23 in making an evaluation.</p> <p>24 Q. And I'm --</p> <p>25 A. And then I should say also, you know,</p>	<p style="text-align: right;">Page 119</p> <p>1 C. WARSHAW</p> <p>2 as here, I don't know that it's dispositive on</p> <p>3 its own, but when the efficiency gap increases</p> <p>4 dramatically when the new gerrymander goes into</p> <p>5 place I think is also a useful data point.</p> <p>6 Q. And just so I'm clear, if we're</p> <p>7 focusing exclusively on the efficiency gap, there</p> <p>8 is no consensus or near consensus in the</p> <p>9 political science community about either a bright</p> <p>10 line or a range of percentages that crosses some</p> <p>11 threshold, right?</p> <p>12 A. There's not. But I think this is a</p> <p>13 case that I don't view as a close call. I think</p> <p>14 it's a --</p> <p>15 Q. I know you've given your opinion. I'm</p> <p>16 just asking you for the political science</p> <p>17 consensus --</p> <p>18 A. There's no --</p> <p>19 Q. -- without looking at this case.</p> <p>20 A. Correct. Stephanopoulos and McGhee</p> <p>21 obviously propose -- what they would propose is</p> <p>22 some legal standard. But I don't think there's</p> <p>23 any bright line in the literature of what --</p> <p>24 Q. No. And you make it clear in your</p> <p>25 rebuttal report, don't you, that nobody has</p>
<p style="text-align: right;">Page 120</p> <p>1 C. WARSHAW</p> <p>2 accepted Stephanopoulos and McGhee's threshold,</p> <p>3 correct?</p> <p>4 A. Yeah. To my knowledge, there's no</p> <p>5 other article that has -- you know, that we</p> <p>6 should accept, we should -- there's some social</p> <p>7 science reason to say that should be a bright</p> <p>8 line threshold.</p> <p>9 Q. And so to get back to our point. In</p> <p>10 2012 there was an efficiency gap of approximately</p> <p>11 12.3 percent, right, in the state house? Do you</p> <p>12 see that?</p> <p>13 A. Yes, that's what I stated here.</p> <p>14 Q. Okay. Now, you don't provide the</p> <p>15 numbers for the efficiency gap for 2014 or 2016</p> <p>16 in your report, is that correct, for the state</p> <p>17 house?</p> <p>18 A. It would appear so. I don't remember.</p> <p>19 Q. So we have to guess. Let's look at</p> <p>20 the chart and guess. Okay?</p> <p>21 What is the efficiency gap in 2014 for</p> <p>22 the state house?</p> <p>23 MR. YEAGER: Object to the</p> <p>24 characterization.</p> <p>25 THE WITNESS: I couldn't say based on</p>	<p style="text-align: right;">Page 121</p> <p>1 C. WARSHAW</p> <p>2 this printout. It looks like maybe around</p> <p>3 negative 10, negative --</p> <p>4 BY MR. CARVIN:</p> <p>5 Q. A little under 10?</p> <p>6 A. The chart is too grainy. I couldn't</p> <p>7 say for sure, but it's in the ballpark of</p> <p>8 negative 10.</p> <p>9 Q. Okay. And how about 2016?</p> <p>10 A. A little bit less than that.</p> <p>11 Q. Isn't it about halfway between 5 and</p> <p>12 10, about 7 percent?</p> <p>13 A. I don't know. I wouldn't want to make</p> <p>14 a characterization.</p> <p>15 Q. Well, you did characterize them as</p> <p>16 similar.</p> <p>17 A. Yeah, I know. I think they are</p> <p>18 similar. I think that -- I think they're</p> <p>19 within two or three. I think the 2016 one is</p> <p>20 certainly within two or three points of the 2008</p> <p>21 one, which is in turn within two or three points</p> <p>22 of the 2012 one.</p> <p>23 Q. You said 2008?</p> <p>24 A. The 2016 one is within three or four</p> <p>25 points of 2014. I apologize if I misspoke. And</p>

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the 2014 one is within two, probably two points of the 2012 one.

Q. All right. And so you're saying that efficiency gaps of 12.3 percent and for discussion sake we'll say 6.5 percent are similar?

A. I wouldn't want to place a number on it. I just don't know what the number is.

Q. Well, I'm asking.

A. Because I wouldn't want to --

Q. Since you haven't provided the number, we have to look at your chart, and your charts suggest, I'll say, 7 percent.

Are you saying that efficiency gaps of 12.3 percent and 7 percent are similar?

MR. YEAGER: Objection. The question misrepresents the fact, which is that the witness has provided all that data. Defense counsel has had that data for weeks. If you would like him to refer to that data, you can show it to him. But please don't represent that he has not provided it.

You may answer.

THE WITNESS: Yeah, that's correct. I

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mean, the exact estimate is in the data I provided. But the -- and I think we stated earlier, I think elections are going to change year to year. So I think a 4 or 5 or even 6 percent change, I think those are still similar to each other.

BY MR. CARVIN:

Q. So you do think an efficiency gap of 12.3 percent is similar to an efficiency gap of 7 percent?

MR. YEAGER: Asked and answered.

THE WITNESS: Yes.

BY MR. CARVIN:

Q. And you think that the efficiency gap in Michigan, until the most recent redistricting, was similar to that in other states?

A. I think it was a little bit more pro-Republican than some other states, but not as much as it is today.

Q. Turn to page 18, please.

A. Page 18, you said?

Q. Yes. It says: "The chart shows the efficiency gap in Michigan was generally similar to that of other states until the most recent

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redistricting."

Do you stand by that assertion?

A. I think in 2008 and '10 it was right in the middle of the distribution of other states. In 2002, '04 and '06 it was more pro-Republican than other states. So in that statement I was referring to the years immediately before the redistricting.

Q. So for those purposes you were looking at the efficiency gap at the end of the decade, not the efficiency gap at the beginning of the decade?

A. Can you point me exactly to where you're looking? Sorry. On page --

Q. 18, at the bottom. "The chart shows that the efficiency gap in Michigan was generally similar to that of other states until the most recent redistricting."

A. Yes. The statement was based on the totality of the evidence over the past five decades where Michigan is on average in the middle of distribution of other states, with a couple of exceptions in the early 2000s. And it's especially true in 2008 and 2010 when

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Michigan was right in the middle.

Q. So for purposes of that statement, you're looking at the efficiency gaps at the end of the decade, not the efficiency gap immediately following the decennial redistricting, right?

A. Yes. I mean, in part because I think the 2002 efficiency gaps could have been pro-Republican because of gerrymandering in 2001.

Q. Right.

A. So I think certainly for any kind of, like, long-term geographic kind of assessment it's useful both to look at the long-term -- the long-term average as well as the average right before the new plan went into place.

I think looking at the 2002 efficiency gap, just like so too -- just like the 2012 efficiency gap, is going to be the one that's most affected by intentional gerrymandering.

Q. And that will wane over time?

A. Yes. The literature -- I think the consensus in the literature is that the effects of gerrymandering decay somewhat over time. They do not decay away completely. They are still, in general, consequential, as I show, six years

<p style="text-align: right;">Page 126</p> <p>1 C. WARSHAW</p> <p>2 later, and as previous literature shows eight or</p> <p>3 ten years later.</p> <p>4 Q. What previous literature is that?</p> <p>5 A. Gelman and King in their 1994 article</p> <p>6 they show the effects of gerrymandering on</p> <p>7 symmetry, which is a slightly different metric</p> <p>8 than the efficiency gap, but they show that it is</p> <p>9 persistent across the entire decade but it decays</p> <p>10 over time.</p> <p>11 MR. CARVIN: Go off the record.</p> <p>12 (Recess taken.)</p> <p>13</p> <p>14</p> <p>15</p> <p>16</p> <p>17</p> <p>18</p> <p>19</p> <p>20</p> <p>21</p> <p>22</p> <p>23</p> <p>24</p> <p>25</p>	<p style="text-align: right;">Page 127</p> <p>1 C. WARSHAW</p> <p>2 -----</p> <p>3 AFTERNOON SESSION</p> <p>4 12:52 p.m.</p> <p>5 -----</p> <p>6 BY MR. CARVIN:</p> <p>7 Q. Welcome back, Professor. If you could</p> <p>8 turn to page 6 of your report, please.</p> <p>9 A. Sure.</p> <p>10 Q. And in the second sentence in the</p> <p>11 first full paragraph you say: "In a packed</p> <p>12 district the disadvantaged party wins</p> <p>13 overwhelmingly, wasting a large number of votes</p> <p>14 above the 50 percent plus one needed to win."</p> <p>15 Correct?</p> <p>16 A. Yes.</p> <p>17 Q. That's sort of Political Science 101,</p> <p>18 right, that a packed district wastes a lot of</p> <p>19 votes of the packed party?</p> <p>20 A. I don't know if I would say Political</p> <p>21 Science 101, but yeah, I think that's a</p> <p>22 well-understood concept.</p> <p>23 Q. If you could turn to page 7 of your</p> <p>24 report, please.</p> <p>25 A. Uh-huh.</p>
<p style="text-align: right;">Page 128</p> <p>1 C. WARSHAW</p> <p>2 Q. And on that, in Table 1 you provide an</p> <p>3 illustrative example of how the efficiency gap</p> <p>4 works, right?</p> <p>5 A. Yes.</p> <p>6 Q. And the way you figure out the</p> <p>7 efficiency gap is you look at how many votes are</p> <p>8 wasted in each district and then you add them up</p> <p>9 at the end and you come up with an overall</p> <p>10 efficiency gap score. Is that how that works?</p> <p>11 A. I'm sorry. Can you repeat the</p> <p>12 question?</p> <p>13 Q. You look at the district, figure out</p> <p>14 how many votes are wasted in those districts in</p> <p>15 relative terms, and then you add it up for each</p> <p>16 of the districts and come up with an overall</p> <p>17 efficiency gap score?</p> <p>18 A. Yes. The final equation -- That's</p> <p>19 essentially right. If you do that, you're not</p> <p>20 adjusting for turnout. So the way I do it, in</p> <p>21 equation two it adjusts for turnout. But if</p> <p>22 there's equal turnout across districts, then the</p> <p>23 approach where you add the wasted votes in each</p> <p>24 district yields exactly the same result as</p> <p>25 focusing on the statewide vote.</p>	<p style="text-align: right;">Page 129</p> <p>1 C. WARSHAW</p> <p>2 Q. And even when you adjust for turnout,</p> <p>3 the way you do it is add up each of the</p> <p>4 district's wasted votes and then compare one</p> <p>5 party to the other?</p> <p>6 A. You add up the vote share. No, you</p> <p>7 calculate a statewide vote share and a seat share</p> <p>8 because that enables you to account for the</p> <p>9 unequal turnout, as I show in equation two.</p> <p>10 Q. So on Table 1 here in your</p> <p>11 illustration of how the efficiency gap works,</p> <p>12 District 1 is 75 percent Democratic, 25</p> <p>13 Republican, right? That's a classic packed</p> <p>14 district?</p> <p>15 A. Yes.</p> <p>16 Q. And that would waste a lot of</p> <p>17 Democratic votes?</p> <p>18 A. Yes.</p> <p>19 Q. But under the efficiency gap, as you</p> <p>20 point out, the Republicans waste all 25 of their</p> <p>21 votes and the Democrats waste 24 of their votes.</p> <p>22 So it either shows no wasted votes or that in</p> <p>23 fact Republicans have wasted votes in District 1,</p> <p>24 right?</p> <p>25 A. Yes. In the first district,</p>

<p style="text-align: right;">Page 130</p> <p>1 C. WARSHAW</p> <p>2 Republicans have wasted more votes than</p> <p>3 Democrats.</p> <p>4 Q. So under the efficiency gap, a</p> <p>5 district where Democratic votes have classically</p> <p>6 been wasted suggests that actually Republican</p> <p>7 votes are wasted in District 1, right?</p> <p>8 A. Yes.</p> <p>9 Q. And that's contrary to common sense</p> <p>10 and political science consensus, isn't it?</p> <p>11 A. No. I think the people that are in</p> <p>12 that district, their votes don't contribute</p> <p>13 to a -- the way here the wasted votes -- the</p> <p>14 definition of wasted votes is votes that don't</p> <p>15 contribute to a victory.</p> <p>16 Q. Right.</p> <p>17 A. And Republican votes in this seat</p> <p>18 don't contribute to a Republican victory, and so</p> <p>19 therefore they're wasted.</p> <p>20 Q. But on page 6 you said that the votes</p> <p>21 that were wasted were the voters of the packed</p> <p>22 party, the 75 percent.</p> <p>23 Would you say that a 75 percent</p> <p>24 Democratic district wastes more Republican votes</p> <p>25 than Democratic votes?</p>	<p style="text-align: right;">Page 131</p> <p>1 C. WARSHAW</p> <p>2 A. A 75 percent district -- Sorry, I want</p> <p>3 to think about it.</p> <p>4 Well, the math of it is in a 75/25</p> <p>5 district, here the losing party wastes more votes</p> <p>6 than the winning party.</p> <p>7 Q. That's the math of the efficiency gap.</p> <p>8 A. Yes.</p> <p>9 Q. What I'm asking you is: Does the math</p> <p>10 of the efficiency gap comport with common sense?</p> <p>11 Would any political scientist say that a 75</p> <p>12 percent packed Democratic district doesn't waste</p> <p>13 any Democratic votes; it in fact wastes</p> <p>14 Republican votes, in relative terms?</p> <p>15 A. I don't think there -- I don't have a</p> <p>16 view on what political scientists would say to</p> <p>17 that. I don't think -- I think political</p> <p>18 scientists would focus -- I mean, I think the</p> <p>19 reality is political scientists would focus on</p> <p>20 the statewide number of wasted votes.</p> <p>21 Q. We're going to get to that. But the</p> <p>22 way you get to the statewide is by adding up each</p> <p>23 individual district?</p> <p>24 A. Roughly speaking, yes.</p> <p>25 Q. So if I asked you whether a 75 percent</p>
<p style="text-align: right;">Page 132</p> <p>1 C. WARSHAW</p> <p>2 Democratic district wastes more Democratic votes</p> <p>3 than Republican votes, what would your answer be?</p> <p>4 A. Yeah, the 75/25 district here wastes</p> <p>5 more Republican votes. But I think that's an</p> <p>6 arbitrary feature of, you know, of the precise</p> <p>7 vote share that you picked here. But sure.</p> <p>8 Q. Wholly apart from the efficiency gap,</p> <p>9 you would never offer the opinion that a packed</p> <p>10 75 percent Democratic district doesn't waste</p> <p>11 Democratic votes relative to Republican votes,</p> <p>12 right?</p> <p>13 A. At -- I don't think I thought about</p> <p>14 it, to be honest, in those terms.</p> <p>15 Q. Please think about it.</p> <p>16 MR. YEAGER: Could you read the</p> <p>17 question back, please, sir?</p> <p>18 (The record was read back by the</p> <p>19 reporter as follows:</p> <p>20 "Question: Wholly apart from the</p> <p>21 efficiency gap, you would never offer the</p> <p>22 opinion that a packed 75 percent Democratic</p> <p>23 district doesn't waste Democratic votes</p> <p>24 relative to Republican votes, right?")</p> <p>25 THE WITNESS: I think in general, a</p>	<p style="text-align: right;">Page 133</p> <p>1 C. WARSHAW</p> <p>2 packed district wastes more of the party</p> <p>3 that's being packed votes. And I think that</p> <p>4 if instead of 75/25 you had had a -- you had</p> <p>5 drawn a hypothetical that was 80/20, I</p> <p>6 think -- you know, so for instance, in</p> <p>7 Michigan, two of the seats that are most</p> <p>8 packed are 84 percent Democratic and</p> <p>9 86 percent Democratic. So those seats have</p> <p>10 more wasted Democratic votes than wasted</p> <p>11 Republican votes.</p> <p>12 BY MR. CARVIN:</p> <p>13 Q. Right.</p> <p>14 A. But I think, you know -- like I said,</p> <p>15 I haven't thought about it in quite these terms.</p> <p>16 But I do think this is the quirk of thinking</p> <p>17 about things district by district, is -- you</p> <p>18 know, there's no metric, and the efficiency gap</p> <p>19 included, that is going to be perfect at trying</p> <p>20 to characterize individual districts and -- you</p> <p>21 know, for every imaginable vote share, the</p> <p>22 relative advantaging of each party.</p> <p>23 Q. Does a packed 75 percent district</p> <p>24 waste Democratic votes relative to Republican?</p> <p>25 MR. YEAGER: Asked and answered.</p>

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THE WITNESS: The efficiency gap says that if it's roughly equal or it wastes slightly more Republican votes. I think in general packed votes waste more of the party that's being packed voters.

BY MR. CARVIN:

Q. And that's just as true at 75 percent as it is at 70 percent, right?

A. I don't think -- yeah, I don't think I would try to argue there's a big difference theoretically between 70/30 and 75/25 districts or 80/20 districts.

Q. In fact, a 75 percent Democratic district wastes more Democratic votes than a 70 percent Democratic district, right?

A. Correct. As you pack more, it's going to waste more of the party's voters that are packed. So the efficiency gap, if there's a 90/10 district, that would show that the party that wins 90 percent of the vote is wasting, you know, 39 percent or so of its -- of the vote.

Q. So under the illustrative example you propose, the efficiency gap produces a result contrary to reality, which it suggests no

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wasted votes when in fact Democrats have wasted votes at 75 percent, correct?

MR. YEAGER: Objection; misstates the evidence.

You may answer.

THE WITNESS: The illustrative example that I provided I think accurately represents that at the statewide level across these three -- and this is obviously a very stylized three-district system where Democrats win a bare majority of the vote but only win a third of the seats. I think it illustrates the partisan bias in this plan through the negative 20 percent efficiency gap.

BY MR. CARVIN:

Q. Right. But with respect to District 1, it produces a result that is contrary to your view of which party's votes are wasted, right?

MR. YEAGER: Asked and answered.

You may answer.

THE WITNESS: I think in general, in a packed district, the party being packed is disadvantaged.

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BY MR. CARVIN:

Q. And yet in this illustrative example, it shows that the Democrats didn't waste any votes. So in that district it produces a result contrary to your general understanding?

MR. YEAGER: Objection; misstates the evidence.

THE WITNESS: I mean, I think here it's not dispositive either way. I mean, this suggests that each party is wasting roughly 25 voters.

BY MR. CARVIN:

Q. Oh, then maybe I misunderstood your prior answer.

A. Right.

Q. You think in a 75 percent Democratic district and a 25 percent Republican district, Republicans have wasted just as many votes as Democrats? Is that your testimony?

A. No. I think I -- as I said -- as I stated a couple of times, that's what the efficiency gap says. I don't have a precise view about the magnitude.

This is where I said earlier, that I

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think all of these metrics are simplifications of reality. And at the statewide level, the efficiency gap characterizes a legislative map that is a partisan bias fairly well -- or very well. But none of these are perfect, and I think the goal of the efficiency gap is not to, you know, precisely characterize which districts are packed.

Instead, the goal is to make sure that the metric of gerrymandering at the statewide level comports with what McGhee and Stephanopoulos call the efficiency principle.

Q. Right.

A. Which is that if you win -- you shouldn't win more seats in the legislature without winning more votes. And if you win more seats in the legislature without winning more votes, then you should have a metric that reflects that. And the efficiency gap does.

Q. You think the efficiency gap corresponds to a rough equivalence between statewide vote and statewide seat share?

A. No, I do not. It's not a proportional metric.

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Q. Okay. Or even a rough equivalence metric?

A. No. The mathematical, you know, equation in equation two suggests that having an efficiency gap of zero, you should get -- the seat margin should increase at twice the rate of the vote margin, which is in consort with the historical evidence in the United States as well as the math of the wasted vote equation. But I think at a broader level it reflects the historical elections of the United States. I would not characterize that as proportional representation.

Q. And one of the imperfections in the efficiency gap is the fact that it would characterize a 75 percent Democratic district as not wasting Democratic votes, correct?

MR. YEAGER: Objection; asked and answered. Misstates the record.

You may answer.

THE WITNESS: I don't have an answer to that beyond what I stated previously. I think the efficiency gap implies in this particular case that there would be

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infinitesimally, you know, .1 or something more wasted Republican votes there than wasted Democratic votes.

I don't have -- personally, I don't have a view of exactly what the threshold is for a packed district. Probably I would say 75/25 would be above that threshold. So it seems that this is a little idiosyncratic as to the efficiency gap in this case. But the goal of the efficiency gap is to capture gerrymandering at a statewide level; it's not to give a precise characterization of individual districts that are packed or cracked.

BY MR. CARVIN:

Q. All right. Now, in a 51 percent Republican and 49 percent Democratic district, the Democrats have wasted 49 percent of the votes, right?

A. Yes.

Q. And the Republicans have wasted 1 percent of the votes?

A. Right.

Q. So the efficiency gap in a 51/49

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district is 48, right?

A. Yes.

Q. Okay. And the efficiency gap in a 75 --

A. Well, the difference in wasted votes, to be more precise. That's not the efficiency gap, but the difference in wasted votes would be 48.

Q. And that's an extraordinarily high amount of wasted votes in a district, right?

A. Correct. Well, it's an extraordinarily high differential in the wasted votes.

Q. And so a 51/49 gets a worse efficiency gap or wasted votes measure than a 75/25 district. Is that right?

A. Well, it certainly suggests that when one party would be much more disadvantaged -- there's much more of a differential in the wasted votes than in a 75/25.

Q. Okay. So let's go back to your example. The efficiency gap in this plan right now is 20 percent pro-Republican?

A. In this illustrative plan in Table 1,

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yes.

Q. What I would like you to do is change the Republican vote shares from 60/40 to 51 Republican, 49 Democrat for Districts 2 and 3. Okay? And just before we calculate the efficiency gap, a 51 Republican, 49 Democratic district is better for Democrats than a 60 percent Republican, 40 percent Democratic district, right?

A. Well, it leads to a more competitive district. But in the end the outcome here is the same, that there's -- if anything now, Democrats have achieved more of the statewide vote without achieving any more seats. So they would be --

Q. I'm just asking you generally. Obviously, Democrats would prefer in all circumstances a 51 percent Republican district to a 49 percent Democratic district over a 60 percent Republican district to a 40 percent Democratic district, right?

MR. YEAGER: Objection; incomplete hypothetical.

You may answer.

THE WITNESS: I mean, I think that if

<p style="text-align: right;">Page 142</p> <p>1 C. WARSHAW</p> <p>2 they knew it was going to be 51/49, then</p> <p>3 they would have no strong preference. But I</p> <p>4 think that if you thought that 51/49 might</p> <p>5 give you a better chance of crossing</p> <p>6 50 percent, then sure, they would prefer</p> <p>7 that if they thought there's variation</p> <p>8 across election cycles, things like that.</p> <p>9 BY MR. CARVIN:</p> <p>10 Q. And a 51/49 district will always give</p> <p>11 you a better chance of crossing the 50 percent</p> <p>12 threshold than a 60/40 district, right?</p> <p>13 A. I would say in that extreme -- kind of</p> <p>14 extreme example, yes. I mean, if it was 55/45 I</p> <p>15 wouldn't necessarily say that.</p> <p>16 Q. So can you do what I asked you to do?</p> <p>17 Calculate the efficiency gap if you changed 60</p> <p>18 Republican to 51 Republican, and 60 Republican to</p> <p>19 51 Republican, and change 40 Democrat to 49</p> <p>20 Democrat in both of those districts.</p> <p>21 I'll give you this.</p> <p>22 MR. YEAGER: Don't write on the</p> <p>23 exhibit.</p> <p>24 THE WITNESS: Just kidding.</p> <p>25 MR. YEAGER: Is it okay if I give the</p>	<p style="text-align: right;">Page 143</p> <p>1 C. WARSHAW</p> <p>2 witness a piece of paper?</p> <p>3 MR. CARVIN: Sure.</p> <p>4 THE WITNESS: So it's 51 votes in each</p> <p>5 district for the Republicans now?</p> <p>6 BY MR. CARVIN:</p> <p>7 Q. Yes. And 49 for the Democrats.</p> <p>8 A. Sure.</p> <p>9 Q. Calculate the efficiency gap for the</p> <p>10 entire, all three districts.</p> <p>11 A. This is testing me without a</p> <p>12 calculator.</p> <p>13 If my math is right, I think it's more</p> <p>14 around negative 32 percent.</p> <p>15 Q. That's right. So it's gone from</p> <p>16 20 percent to negative 33 percent, correct? The</p> <p>17 efficiency gap has increased in a pro-Republican</p> <p>18 biased way, right?</p> <p>19 A. Yes.</p> <p>20 Q. As the districts become more</p> <p>21 competitive and more accessible to Democratic</p> <p>22 victories, the efficiency gap does not decrease.</p> <p>23 It increases, correct?</p> <p>24 MR. YEAGER: Objection; incomplete</p> <p>25 hypothetical.</p>
<p style="text-align: right;">Page 144</p> <p>1 C. WARSHAW</p> <p>2 You may answer.</p> <p>3 THE WITNESS: As I said before, I</p> <p>4 think the --</p> <p>5 BY MR. CARVIN:</p> <p>6 Q. Just answer it and then please --</p> <p>7 MR. YEAGER: Excuse me. I instruct</p> <p>8 the witness that you may answer the question</p> <p>9 as you wish in order to truthfully testify.</p> <p>10 You don't have to take directions from</p> <p>11 counsel on how to give a truthful answer.</p> <p>12 Please answer the question. You may</p> <p>13 hear it back if you would like to have it</p> <p>14 put to you again.</p> <p>15 MR. CARVIN: Why don't you read it</p> <p>16 back to him.</p> <p>17 (The record was read back by the</p> <p>18 reporter as follows:</p> <p>19 "Question: As the districts become</p> <p>20 more competitive and more accessible to</p> <p>21 Democratic victories, the efficiency gap</p> <p>22 does not decrease. It increases, correct?")</p> <p>23 THE WITNESS: In this hypothetical</p> <p>24 example, that's true. And I think that in</p> <p>25 general, as I said before, there's no</p>	<p style="text-align: right;">Page 145</p> <p>1 C. WARSHAW</p> <p>2 necessary relationship or clear empirical</p> <p>3 relationship between the competitiveness of</p> <p>4 elections and the efficiency gap. But</p> <p>5 surely we could draw hypothetical examples</p> <p>6 where more competitive elections also</p> <p>7 correspond to an efficiency gap that's more</p> <p>8 penalized if it's -- that disfavors the</p> <p>9 party that gains more competitive elections.</p> <p>10 And as I said before, I think those</p> <p>11 are two different metrics for</p> <p>12 gerrymandering. In this case, if the</p> <p>13 Democrats received -- you know, 173 divided</p> <p>14 by 300 is 58 percent of the statewide vote,</p> <p>15 and they only received a third of the seats,</p> <p>16 then I think that would be -- there would be</p> <p>17 a strong partisan bias in those results</p> <p>18 against the Democrats.</p> <p>19 BY MR. CARVIN:</p> <p>20 Q. But you would know that without</p> <p>21 calculating the efficiency, correct? The</p> <p>22 percentage of statewide vote needed to capture</p> <p>23 the majority of the seats. That's not what the</p> <p>24 efficiency gap measures, right?</p> <p>25 A. Well, there's a mathematical</p>

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correspondence. If you assume equal turnout across districts, then the number of wasted votes exactly equals the equation -- the math in equation two in my report.

Q. Right. But what I'm trying to figure out is in my hypothetical where there is a 51 Republican -- two 51 Republican districts as opposed to two 60 percent districts, that gerrymander would be less durable than the 60/40 districts, right?

A. Yes.

Q. Okay.

A. Yes.

Q. Now I'm going to ask you to do one other thing. I want --

A. I would just expand upon that. I think neither in my report -- I mean, I haven't analyzed this, but I don't think in my report I've ever stated that there's a necessary correspondence between the magnitude of the efficiency gap and its durability.

Q. And in fact --

A. There could be -- I haven't analyzed this, but I take it there could be tradeoffs that

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a party could make.

Q. Right. In fact, there is no connection between the magnitude of the efficiency gap and the durability of the gerrymander, correct?

A. There is not a necessary theoretical correspondence. Empirically we know that efficiency gaps are relatively consistent over time, particularly in the modern era, as I show in my report. But at a hypothetical level, or even theoretical level, there could be tradeoffs that a party would make. They would sacrifice -- you know, as in the example here, they might -- they might not want to risk cracked districts that are 51/49. They might go for 60/40 or 56/44 as we see in other states.

Q. All right. Well, let's figure out the durability. I'm going to give you another hypothetical. Okay?

Now I want District 3 in your thing to be 51 Democratic, 49 Republican. Keep District 2 as 51 Republican and 49 Democratic and keep District 1 as it is. What would be the efficiency gap in that plan?

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A. So in this scenario there would be a -- well, the efficiency gap would be essentially zero.

Q. Essentially zero?

A. Yeah. And the reason for that, the reason we can see that intuitively is that in this scenario the Democrats would get two-thirds of the seats and something close to -- not two-thirds of the vote but 59 percent of the vote or something like that. 58 or 59 percent of the vote.

Q. Right. But the efficiency gap goes from about 33 percent to 0 percent when Democrats increase their vote share by 2 percent in District 3, right?

A. Sure. I mean, as I said earlier, I think that's a weakness of the efficiency gap, is that when there's a small number of seats, it's subject to big changes when there's, you know, small changes in election results. That's why in my analysis I don't use any states with only three congressional districts.

Q. It's also true that the efficiency gap does change quite substantially if the party that

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had been winning 49 percent of the vote in a subsequent election wins 51 percent of the vote, correct?

MR. YEAGER: Objection; incomplete hypothetical.

You may answer.

THE WITNESS: It depends on the number of seats, but certainly it's going to change. In a state house with many seats, that wouldn't affect the efficiency gap very much. In a congressional plan with, you know, three seats, obviously it affects it quite a bit. And with 14 seats it will affect it somewhat but not nearly as much as with three seats.

BY MR. CARVIN:

Q. Well, if two competitive districts went Democratic in 2018, they would win seven of 14 seats. You wouldn't consider that a disproportion between seats and votes in Michigan, would you?

A. No, you might. Because if Democrats were to win two competitive districts this year, it's probably because they increased their

<p style="text-align: right;">Page 150</p> <p>1 C. WARSHAW</p> <p>2 statewide vote share. If they were to win 57</p> <p>3 percent of the statewide vote but only half of</p> <p>4 the seats.</p> <p>5 Q. What if they won 52 percent of the</p> <p>6 statewide vote and half the seats?</p> <p>7 A. I think that -- well, it's certainly</p> <p>8 possible. It's not likely.</p> <p>9 Q. But if it did happen, you wouldn't</p> <p>10 view that as --</p> <p>11 A. But I think --</p> <p>12 MR. YEAGER: Wait. Let him ask a</p> <p>13 question.</p> <p>14 BY MR. CARVIN:</p> <p>15 Q. -- an unfair gerrymandering?</p> <p>16 MR. YEAGER: Objection; incomplete</p> <p>17 hypothetical.</p> <p>18 You may answer.</p> <p>19 THE WITNESS: If Democrats were to win</p> <p>20 52 percent of the statewide vote and half</p> <p>21 the seats, then that would be a negative</p> <p>22 efficiency gap of roughly 4 percent. So</p> <p>23 that would not be evidence on its own of an</p> <p>24 indicator of a gerrymander.</p> <p>25 BY MR. CARVIN:</p>	<p style="text-align: right;">Page 151</p> <p>1 C. WARSHAW</p> <p>2 Q. Okay. So switches in competitive</p> <p>3 districts can have a large effect on the</p> <p>4 efficiency gap, not as extreme as this three-seat</p> <p>5 example, but obviously they can have an effect on</p> <p>6 it, right?</p> <p>7 A. They can. That's why in my report I</p> <p>8 didn't rely on just the efficiency gap but I</p> <p>9 relied on both looking at, say, presidential vote</p> <p>10 with the efficiency gap, looking at the</p> <p>11 mean-median difference and declination, both of</p> <p>12 which are arguably less subject to variation due</p> <p>13 to this 50 percent.</p> <p>14 Q. Well, we'll come back to that.</p> <p>15 A. Certainly the mean-median I think is</p> <p>16 substantially less subject to this kind of</p> <p>17 variation.</p> <p>18 Q. Okay. But in all events, with respect</p> <p>19 to the efficiency gap, this is what we were</p> <p>20 talking about before about the volatility of the</p> <p>21 efficiency gap and what Stephanopoulos and McGhee</p> <p>22 agreed was the instability was attributable to</p> <p>23 the fact that changes in the outcomes in</p> <p>24 competitive districts can have a profound effect</p> <p>25 on the overall efficiency gap. Correct?</p>
<p style="text-align: right;">Page 152</p> <p>1 C. WARSHAW</p> <p>2 A. I would disagree with the "profound"</p> <p>3 part of that statement. But certainly it can</p> <p>4 have an effect in a three-district election.</p> <p>5 Then it can have a profound effect.</p> <p>6 Q. If you can turn back to the Stanford</p> <p>7 Law Review article by Stephanopoulos and McGhee,</p> <p>8 which I believe is Exhibit 2.</p> <p>9 A. The 2018 article. Sure.</p> <p>10 Q. Do you have that in front of you? If</p> <p>11 you could turn to page 1512. Under "B.</p> <p>12 Distinctness," they're discussing their criterion</p> <p>13 for proper measures and they state, do they not:</p> <p>14 "Our second criterion is that a gerrymandering</p> <p>15 metric should capture efficiency and only</p> <p>16 efficiency. It should not try to gauge other</p> <p>17 electoral values, nor should it be (in part or in</p> <p>18 full) a function of those values. Here the</p> <p>19 values we have in mind are goals that</p> <p>20 redistricting plans may be able to promote or</p> <p>21 impede: electoral competitiveness (or how</p> <p>22 close races tend to be), proportional</p> <p>23 representation (or whether parties' vote shares</p> <p>24 equal their seat shares)," and then it goes on.</p> <p>25 Then it says: "We consider a metric</p>	<p style="text-align: right;">Page 153</p> <p>1 C. WARSHAW</p> <p>2 to be flawed to the extent it reflects these</p> <p>3 values in addition to, or instead of,</p> <p>4 efficiency."</p> <p>5 Correct, that's what it says?</p> <p>6 A. Yes.</p> <p>7 Q. So they deliberately avoid factoring</p> <p>8 in electoral competitiveness of their seats into</p> <p>9 the efficiency gap, right?</p> <p>10 A. That's correct.</p> <p>11 Q. And they deliberately avoid figuring</p> <p>12 out whether parties' vote shares are equal to</p> <p>13 their seat shares as part of the efficiency gap?</p> <p>14 A. Correct.</p> <p>15 Q. If you turn to page 1513, it says at</p> <p>16 the bottom, the third paragraph: "In the</p> <p>17 academy, scholars appear to be split as to</p> <p>18 whether a measure should incorporate values other</p> <p>19 than efficiency," such as competitiveness and</p> <p>20 equivalence between seats and votes.</p> <p>21 Do you agree that the academy appears</p> <p>22 to be split on this question?</p> <p>23 A. I think the scholars they quote are</p> <p>24 split. I don't know that the people that -- I</p> <p>25 guess I'm not sure the split is as large as they</p>

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imply it is. I think they're -- they're trying to distinguish themselves from these scholars that have criticized the efficiency gap. But I think, you know, most political scientists would say that a measure that purports to be about one thing should be about that thing rather than other things.

Q. Right.

A. So to the extent we're trying -- you know, I would put it a little differently. I would say to the extent we're trying to capture partisan bias, which I would call partisan bias rather than efficiency, but we're talking about very similar things. So to the extent we're trying to capture that, I think -- I agree that we should capture that rather than other things. I think most political scientists would agree with that general -- the general concept.

Q. But if someone analyzing a redistricting plan was interested in how many competitive seats it presents, it wouldn't look at the efficiency gap?

A. That's correct.

Q. And if it was considered about the

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equivalence between a party's vote share and their seat shares, it wouldn't look at the efficiency gap for that reason either?

A. That's correct.

Q. If you would turn to page 1524.

They're now discussing Cover's objection to the efficiency gap. They quote Cover as saying "A plan may 'achieve' the ideal of equal wasted votes at the expense of...seats-votes proportionality."

I read that correctly, right?

A. Yes.

Q. And do you agree that a plan may achieve the idea of equal wasted votes at the expense of seats-votes proportionality?

A. Yes.

Q. And do you agree with Stephanopoulos and McGhee that a partisan gerrymander measure that is wholly indifferent to whether vote shares are roughly equivalent to seat shares is a good partisan gerrymander measure?

MR. YEAGER: I'm sorry. Could you point out where you're reading?

BY MR. CARVIN:

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Q. Go ahead.

MR. YEAGER: Objection.

THE WITNESS: Can you repeat it, please?

BY MR. CARVIN:

Q. Do you agree with Stephanopoulos and McGhee that a partisan gerrymander measure that is totally indifferent to whether vote shares are roughly equivalent to seat shares is a proper partisan gerrymander measure?

MR. YEAGER: I'm sorry. Can just tell me where that is that you're reading from?

MR. CARVIN: I just read it.

MR. YEAGER: Well, I object. I would like to know where in the report so I can determine whether you're accurately reflecting what the report says or not.

THE WITNESS: Well, in my view, I think you are overstating it. I don't think -- I think their view is that a proportional representation doesn't represent the empirical reality of American elections, which I share. There has been a wide body of political science that shows

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that there's not a proportional one-to-one relationship between seats and votes in single-member-district legislative elections. If you wanted to have strict proportionality, you know, probably you would need to have a different system than single-member elections with first-past-the-post.

But the empirical reality of American elections over the past 50 years and more is that you tend to have about a two-to-one relationship between the number of seats that you get and the number of -- and the number of seat margin and the vote margin.

BY MR. CARVIN:

Q. Just to be clear, you're not suggesting that -- you're suggesting that every percentage over 50 that you get, you roughly get a two percentage point increase in your seat share, right?

A. Exactly. It's a longstanding -- it's a well-known fact of legislative elections that there tends to be a winner's bonus.

Q. So if you get 53 percent of the vote,

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you would roughly expect 59 percent of the seats, right?

A. No. You would expect 56 percent of the seats with a two-to-one margin.

Q. Fair point.

A. Correct. There are legal points as well that I don't have a view on, about whether the Supreme Court favors or disfavors proportional representation. That's outside my purview of expertise.

Q. Right. So we don't expect proportional representation, but we do expect a party that gets a majority of the votes to get a majority of the seats under this well-documented expectation, right?

A. Correct.

Q. So if a districting plan violates that majoritarian principle, than it is suggestive of a gerrymander?

A. It's suggestive. Although if you had a 50 -- you know, if you had 50.1 percent of the statewide vote and 49 percent of the seats, then, you know, obviously there could be idiosyncratic factors that affect that.

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But clearly if you had, you know, as in Michigan, 52, 53, 54 percent of the statewide vote and you get five out of 14 seats, that's a much larger discrepancy.

Q. Right. And would that be measured directly by the efficiency gap, that equivalence between seats and votes?

A. Yeah. Yes, the efficiency gap captures that.

Q. Okay. So is it at the expense of seats-votes proportionality, the efficiency gap? You can have equal wasted votes at the expense of seats-votes proportionality or equivalent?

A. The only place -- the only value where you will have an exactly proportional seats and votes in the efficiency gap and also a zero no efficiency gap is at 50 percent, where they're both 50 percent. Any other value, you will not have a zero -- a no efficiency gap you will by definition have a two-to-one relationship between the vote margin and the seat margin.

Q. So should we care about the relationship between -- equivalence between seats and votes in analyzing gerrymandering?

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A. I think if you get -- I mean, I don't have a view about whether we should have exact proportional representation. As I said, as a scholar of elections, I know that that's unrealistic in single-member districts and you're not going to have proportional representation.

Would exact proportional representation be better from a normative sense? I don't know. But in single-member districts, that's not a reality. What is a reality is in single-member districts over the past 50 years is having about a two-to-one relationship between the number of seats you get and the number of votes that you get, or the margin of seats and votes.

Q. Should we be worried about departures from that two-to-one relationship?

A. I think that we shouldn't worry about modest departures from it. Obviously there's lots of things that could lead to modest departures. But where you have large departures such as that when you get a majority of the statewide vote and a small minority of the seats, or even, you know, you get close to a majority of

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the statewide vote and a very small minority of the seats as in the Michigan state senate, then I think that's problematic.

Q. So you are not advocating proportional representation, but you think it's problematic if a party captures a majority of the vote and a minority of the seats? Do I understand that correctly?

A. In general, yes. With the caveat being that if it's a very small majority in a -- you know, a bare majority and a bare minority, then I wouldn't find that problematic. But certainly if it's a large majority of the vote and a small minority of the seats, I think that would be problematic.

MR. CARVIN: If we could mark this as Exhibit 8.

(Exhibit 8 marked for identification and attached hereto.)

BY MR. CARVIN:

Q. I've handed you Exhibit 8. This is the Krasno article. Have you seen this?

A. I've seen this article. I haven't read this article closely.

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Q. I gave you the draft before, the predecessor to this. You reference a forthcoming Krasno article in your --

A. I think that's the other article you mentioned.

Q. Yeah. I'm going to represent to you that this is a refinement or continuation of that.

A. Okay.

Q. In all events, I'm trying to speed this up as much as I can.

If you turn to page 27, they're talking about the Wisconsin redistricting.

A. Uh-huh.

Q. And I'm going to give one example that they give. It's describing the efficiency gap, and in the second sentence in the second full paragraph it says: "For example, in a contrast where the Democrat wins 50 votes and Republicans winning 45, the disparity in wasted votes is enormously favorable to the Democrats, one wasted Democratic vote versus 49 wasted Republican ones."

That's true, isn't it?

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A. Yes.

Q. And then as we've discussed previously, if two Democratic voters change their minds and support the Republicans, the disparity is now equally favorable to the Republicans. Correct?

A. Yes.

Q. Okay. And then if you could turn to the top of page 28. It's discussing another measure but in addition to the efficiency gap. It says: "Both measures are susceptible to big changes from small movements in the vote near 50 percent in a district, suggesting that any map with a number of competitive districts will produce unstable results under the efficiency gap."

Do you agree with that statement?

A. Can you read it back to me?

Q. "Both measures are susceptible to big changes from small movements in the vote near 50 percent in the district, suggesting that any map with a number of competitive districts will produce unstable results."

Do you agree with that?

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A. Again, I think it depends how many districts there are. If there's three districts and two of the three are competitive or one of the three is very close, then results will bounce around. As the number of districts increases, unless the number of competitive districts increase correspondingly, and even then if -- even if there's a larger number of competitive districts, they're not all likely to kind of swing around each election. You know, there's still going to be a bias toward one party or the other.

So in general, as there's more districts, I would expect the efficiency gap to be more stable.

Q. But, say, if there's only 14 seats, then it will be relatively unstable?

A. I think clearly it will be less stable with 14 districts than with 100 or 200 or a thousand. But I would view 14 districts as providing, you know, reasonable estimates that I think are reliable.

Q. All right. They're making the point that in Wisconsin there was enough districts

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close enough to the 50/50 tipping point that a small shift in the vote moves a relatively large number of districts to the other party's column. Consequently, beyond capturing vote dilution, it's clear that efficiency gap and the other measure also pick up electoral performance rendering them unreliable at detecting gerrymanders.

Do you agree with that statement?

A. No.

Q. "Given these measurement properties, we would not use either metric to argue for or against a gerrymander."

Do you disagree with that statement?

A. Yes.

Q. "Other scholars have raised similar doubts about the EG in particular," and then it cites some other scholars.

Do you agree that scholars have raised similar doubts about the efficiency gap for the reason that I just articulated?

A. Yes. I think -- I'll state two things. One is that the Stephanopoulos and McGhee 2018 article largely addresses the

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concerns of those scholars. But at a more general level, I view -- you know, the goal of a gerrymander is to win seats.

Q. Right.

A. So I think having a measure that takes account of actually winning seats, which at the end of the day is what a gerrymander is trying to do, is a feature, not a bug, of the efficiency gap.

Q. Right.

A. I think to the extent that other measures like the mean-median don't actually directly incorporate information about the seats the parties win, I think that's not ideal from a theoretical point of view.

And I think the small variation -- the noise in the efficiency gap due to the changes in these competitive elections is a weakness, but I think it is based on the idea that the efficiency gap is actually incorporating the number of seats that parties win. Which I think is an important thing to incorporate into a measurement of gerrymandering.

I'll also say that empirically in

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general the -- I think it's important to remember that the measure you use is relatively unimportant. You know, scholars -- my assessment of this literature -- and as you said earlier, I will readily admit that I am not one who has tried to promote a particular measure of gerrymandering. You know, I think none of these are perfect. But at the end of the day all these measures of gerrymandering are extremely highly correlated, particularly in states like Michigan with competitive elections. And so in general they give you very similar answers. You can pick around the margin and find one metric in one case gives you slightly different answers.

But, you know, in Michigan -- certainly in Michigan where I looked the most closely, all of these metrics give you substantively identical answers.

Q. That's, of course, preordained, right? If a party captures more seats with a minority of the votes, the mean-median, the declination, and the efficiency gap are going to give you very similar scores if they're biased against the party with a majority of the statewide votes.

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Isn't that true?

A. Yes.

Q. So it hardly reinforces the robustness of the efficiency gap. It just reflects the fact that all these measures will condemn any plan where a party receives less than 50 percent of the statewide vote and receives more than 50 percent of the statewide seats, correct?

A. Yes. But the magnitude of how much they'll condemn it is going to vary across metrics and across -- well, mostly importantly, it will vary based on the disparity between the number of seats and votes. And in a state like Michigan where there's a large disparity between the statewide votes and seats, then all of the measures will find an extreme gerrymander.

Q. Can you give me an example of where there is a large efficiency gap and not a large mean-median difference or a large declination anywhere?

A. Yes, I can. So in the -- I haven't looked closely at why this is, but in the one-party South, in the Democratic South in the 1970s and '80s, the efficiency gap -- and I

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assume declination although I haven't looked at this -- show that there are very large Democratic gerrymanders. Which in fact they were. There's lots of qualitative evidence on this. Cox and Katz's I think 2002 book which I cite in my report focuses on this at length. So we know from a large body of evidence that there is certainly an intent to gerrymander by Democrats in the South in the 1970s and '80s, and it appears to have had that effect. And the efficiency gap captures that extremely well.

Q. And the declination does as well?

A. I believe the declination does as well, whereas the mean-median does not capture that.

Q. So that's a deficiency in the mean-median score? It doesn't capture what's a well-acknowledged and obvious gerrymander.

A. As I said earlier, I think none of these metrics are perfect. But in general for -- and those are -- and that's partly because those are uncompetitive elections.

So what Stephanopoulos and McGhee point out is that in the case of an uncompetitive

<p style="text-align: right;">Page 170</p> <p>1 C. WARSHAW</p> <p>2 election where one party gets 60 or 65 percent,</p> <p>3 say, of the statewide vote, you know, then the</p> <p>4 different metrics will diverge a little bit more.</p> <p>5 But we don't observe that very much in the modern</p> <p>6 U.S., and we certainly don't observe it in</p> <p>7 Michigan.</p> <p>8 Q. Right. So in the modern era, when</p> <p>9 there are competitive elections, there's</p> <p>10 virtually never or very rarely any divergence</p> <p>11 between efficiency gap, mean-median, and</p> <p>12 declination, correct?</p> <p>13 A. I would agree with that.</p> <p>14 Q. Okay. Why don't we discuss the</p> <p>15 mean-median. That was first proposed in a 2015</p> <p>16 Election Law Journal by Best and McDonald?</p> <p>17 A. I believe that's true.</p> <p>18 Q. And the Election Law Journal, is that</p> <p>19 a peer-reviewed journal?</p> <p>20 A. Generally speaking, it is. I think</p> <p>21 they do publish occasionally doctrinal articles</p> <p>22 that might not be peer-reviewed, but their social</p> <p>23 science articles are peer-reviewed.</p> <p>24 Q. Okay. There's certainly no wide</p> <p>25 scholarly acceptance of mean-median as the best</p>	<p style="text-align: right;">Page 171</p> <p>1 C. WARSHAW</p> <p>2 or proper measure of partisan gerrymanders,</p> <p>3 correct?</p> <p>4 A. Correct.</p> <p>5 Q. And it's been subject to serious</p> <p>6 criticism by respected political scientists?</p> <p>7 A. Correct.</p> <p>8 Q. Including Stephanopoulos and McGhee?</p> <p>9 A. Correct. I think I discuss some of</p> <p>10 those criticisms in my report.</p> <p>11 Q. Yeah, why don't we turn to that. If</p> <p>12 you could turn to page 9 of your report.</p> <p>13 A. Yes.</p> <p>14 Q. One problem with it is it is possible</p> <p>15 for packing and cracking to occur without any</p> <p>16 change in the mean-median difference, right?</p> <p>17 A. Correct. I think McGhee in his 2017</p> <p>18 article shows this, demonstrates this empirically</p> <p>19 with simulations.</p> <p>20 Q. And therefore a party could gain seats</p> <p>21 in the legislature without the mean-median gap</p> <p>22 changing, correct?</p> <p>23 A. Correct.</p> <p>24 Q. Another problem with it is it's</p> <p>25 sensitive to the outcome in the median districts?</p>
<p style="text-align: right;">Page 172</p> <p>1 C. WARSHAW</p> <p>2 A. Correct.</p> <p>3 Q. And it lacks an obvious interpretation</p> <p>4 in terms of the number of seats that a party</p> <p>5 gains through gerrymandering, correct?</p> <p>6 A. Correct.</p> <p>7 Q. So I just want to understand how we</p> <p>8 compute the mean-median difference. I think you</p> <p>9 outline that on page 10 of your report.</p> <p>10 A. Yeah, I believe that's true.</p> <p>11 Q. And this is for Congress, so there's</p> <p>12 14 seats, right?</p> <p>13 A. Correct.</p> <p>14 Q. And so the median is seven seats?</p> <p>15 A. It's between the seventh and eighth</p> <p>16 seat.</p> <p>17 Q. Okay.</p> <p>18 A. That's why it's 46.1.</p> <p>19 Q. And just so I understand, in going</p> <p>20 from top to bottom in Democratic vote share,</p> <p>21 District 3 is the seventh district?</p> <p>22 A. I'm sorry, District 3 --</p> <p>23 Q. Bottom to top, if you want to look at</p> <p>24 it that way.</p> <p>25 A. It's the eighth most</p>	<p style="text-align: right;">Page 173</p> <p>1 C. WARSHAW</p> <p>2 Democratic-performing district, right,</p> <p>3 District 3.</p> <p>4 Q. Well, it depends how you measure it.</p> <p>5 Eighth most --</p> <p>6 A. Democratic. It's the seventh --</p> <p>7 Q. It's the seventh from the bottom?</p> <p>8 A. Well, as I count up, there's seven</p> <p>9 districts below it.</p> <p>10 Q. Okay. Then I may be misunderstanding</p> <p>11 it. I thought you were splitting the difference</p> <p>12 between 45.6 and 46.6.</p> <p>13 A. Correct, between the seventh and</p> <p>14 eighth districts.</p> <p>15 Q. Right. So 3 would be the seventh at</p> <p>16 45.6?</p> <p>17 A. Sure, okay. I understand what you're</p> <p>18 saying now. Sure. Yes.</p> <p>19 Q. And 46.6 is the eighth?</p> <p>20 A. It's the eighth district from the top,</p> <p>21 not from the bottom.</p> <p>22 Q. Oh, you're right. We do have</p> <p>23 rhetorical confusion. You're saying eighth</p> <p>24 district from the top on your chart. I meant</p> <p>25 eighth district from the bottom of Democratic</p>

<p style="text-align: right;">Page 174</p> <p>1 C. WARSHAW</p> <p>2 vote share because you --</p> <p>3 A. Yes, fair.</p> <p>4 Q. You go from lowest to highest on your</p> <p>5 chart?</p> <p>6 A. Yes. I think we're in agreement.</p> <p>7 Q. Okay. So what you do is find the</p> <p>8 seventh and eighth districts in terms of</p> <p>9 Democratic vote share and you split the</p> <p>10 percentage difference between them and say that's</p> <p>11 the median score?</p> <p>12 A. Yes.</p> <p>13 Q. Okay. And the mean is 53 percent. Is</p> <p>14 that correct?</p> <p>15 A. Yes, I believe that's true.</p> <p>16 Q. And just so I understand it, that mean</p> <p>17 is just averaging up the numbers above. It's not</p> <p>18 necessarily the Democratic statewide vote share</p> <p>19 in those districts, correct?</p> <p>20 A. Correct. I think that's the way it's</p> <p>21 typically done in this literature.</p> <p>22 Q. Okay. And that means, to be specific,</p> <p>23 you don't factor turnout differentials among the</p> <p>24 districts?</p> <p>25 A. Correct. The mean-median metric does</p>	<p style="text-align: right;">Page 175</p> <p>1 C. WARSHAW</p> <p>2 not explicitly factor in -- it does not factor in</p> <p>3 turnout as is typically done. I'm not sure</p> <p>4 theoretically the reason for that, that it</p> <p>5 necessarily needs to be that way. It seems to me</p> <p>6 there's no reason you couldn't do it using a</p> <p>7 statewide vote. But I think the way it's been</p> <p>8 proposed, it's looking at the average across the</p> <p>9 districts, which is what I tried to do here.</p> <p>10 Q. So every time the statewide average is</p> <p>11 above 50 percent and the party has less than</p> <p>12 50 percent of the vote, there's always going to</p> <p>13 be a mean-median difference, right?</p> <p>14 A. Yes. There will always be a</p> <p>15 mean-median difference that disadvantages the</p> <p>16 party that doesn't get half the seats if they get</p> <p>17 more than half the votes. I mean, there also</p> <p>18 could be a mean-median difference, though, in</p> <p>19 other values where they could get a majority of</p> <p>20 the vote and the seats and still have a</p> <p>21 mean-median difference that disadvantages them.</p> <p>22 So it doesn't uniquely identify the situation,</p> <p>23 this hypothetical that you've identified.</p> <p>24 Q. But it always identifies -- that will</p> <p>25 always be condemned by the mean-median difference</p>
<p style="text-align: right;">Page 176</p> <p>1 C. WARSHAW</p> <p>2 by definition because the median has to be less</p> <p>3 than 50 percent?</p> <p>4 A. I think that's true in a situation</p> <p>5 with equal turnout.</p> <p>6 Q. And how about if a party got</p> <p>7 53 percent of the statewide vote and won all ten</p> <p>8 of the seats, so 53/47. 53 percent of the vote</p> <p>9 would capture 100 percent of the seats but the</p> <p>10 mean-median difference would be zero, correct?</p> <p>11 A. If they got -- if the median -- if all</p> <p>12 of the seats were 53 percent and they had a mean</p> <p>13 across them of 53 percent, then yes, I believe in</p> <p>14 that case -- I haven't thought about this before</p> <p>15 this conversation. But I believe in that case</p> <p>16 that would be a mean-median of zero.</p> <p>17 Q. Okay. And this declination theory,</p> <p>18 this was published this year by this fellow named</p> <p>19 Warrington?</p> <p>20 A. Correct.</p> <p>21 Q. Has there been any scholarly</p> <p>22 commentary on this?</p> <p>23 A. No. I think it's a new metric and</p> <p>24 there's been -- to my knowledge, there's been no</p> <p>25 explicit response or critique, although I'm not</p>	<p style="text-align: right;">Page 177</p> <p>1 C. WARSHAW</p> <p>2 100 percent sure of that.</p> <p>3 Q. So obviously there hasn't been wide</p> <p>4 acceptance of this measure in the political</p> <p>5 science community as a proper measure of partisan</p> <p>6 bias or gerrymander?</p> <p>7 A. Just because it's so new. I don't</p> <p>8 think there's a consensus either way.</p> <p>9 Q. Okay. Again, to the extent I can</p> <p>10 understand this thing, it's you look at the</p> <p>11 difference between the two vote lines or</p> <p>12 something like that?</p> <p>13 A. Yeah, correct.</p> <p>14 Q. All right. My first question is: Do</p> <p>15 you really expect the judges to understand this</p> <p>16 thing?</p> <p>17 But in any event, again, if you're</p> <p>18 getting a minority of the vote and a majority of</p> <p>19 the seats, this declination is going to be -- is</p> <p>20 going to show a problem, right?</p> <p>21 MR. YEAGER: Object to the prior</p> <p>22 comment which is part of the question I</p> <p>23 think. I'm sure the judges can understand</p> <p>24 this perfectly well.</p> <p>25 You can answer.</p>

<p style="text-align: right;">Page 178</p> <p>1 C. WARSHAW</p> <p>2 BY MR. CARVIN:</p> <p>3 Q. Absent your counsel's suck-up, if you</p> <p>4 want to go ahead and answer the question.</p> <p>5 A. I haven't thought about it explicitly.</p> <p>6 I believe that's true, but I'm not 100 percent</p> <p>7 sure.</p> <p>8 Q. And if you could turn to page 12 of</p> <p>9 your --</p> <p>10 A. Actually, I'm not 100 sure that's true</p> <p>11 of declination, that there's a necessary</p> <p>12 correspondence between a majority of the votes</p> <p>13 and the minority along the lines you laid out.</p> <p>14 My guess is it would pick it up. I would have to</p> <p>15 think about it more. I'm not 100 percent sure</p> <p>16 about that.</p> <p>17 Q. You don't know one way or the other?</p> <p>18 A. Yeah, I don't know.</p> <p>19 Q. Because it's not intuitively obvious</p> <p>20 to me how you could ever not have this --</p> <p>21 A. I mean, I think in general the</p> <p>22 declination is not picking up the number of seats</p> <p>23 that each party holds. That's not -- that's why</p> <p>24 I think it's not a necessary condition that it</p> <p>25 would necessarily show a bias if you had a</p>	<p style="text-align: right;">Page 179</p> <p>1 C. WARSHAW</p> <p>2 minority of the votes and a majority of the</p> <p>3 seats.</p> <p>4 Q. Okay. All right. Well, why don't you</p> <p>5 think about that some more.</p> <p>6 And then you describe candidly the</p> <p>7 weakness in the declination approach at the top</p> <p>8 of page 12. You say: "A weakness of the</p> <p>9 declination approach vis-a-vis the efficiency gap</p> <p>10 is that declination lacks a clear interpretation</p> <p>11 in terms of the number of seats that a party</p> <p>12 gains through gerrymandering."</p> <p>13 Is that correct?</p> <p>14 A. Yes.</p> <p>15 Q. "It also is somewhat unstable when a</p> <p>16 party holds a very small number of seats in the</p> <p>17 legislature."</p> <p>18 A. Yes.</p> <p>19 Q. Why is that?</p> <p>20 A. Well, if you had a very small number</p> <p>21 of seats, then the center of mass for each party</p> <p>22 could change substantially election to election.</p> <p>23 Q. Okay. And would five be considered a</p> <p>24 small number of seats?</p> <p>25 A. I don't have a -- I'm not sure that's</p>
<p style="text-align: right;">Page 180</p> <p>1 C. WARSHAW</p> <p>2 in his article, and I haven't analyzed that</p> <p>3 myself, how unstable it is, the different values.</p> <p>4 Q. You say: "Some scholars have claimed</p> <p>5 that it represents a better measure of intent in</p> <p>6 the gerrymandering process than the efficiency</p> <p>7 gap." Correct?</p> <p>8 A. Yes.</p> <p>9 Q. Can you explain why it would be a</p> <p>10 better measure of intent?</p> <p>11 A. I think the argument is that what the</p> <p>12 declination is trying to capture is whether the</p> <p>13 50 percent line of winning a seat is privileged;</p> <p>14 so in other words, the distribution of vote</p> <p>15 shares look different above and below the</p> <p>16 50 percent mark. And they argue that to the</p> <p>17 extent they look different, as in Figure 1, that</p> <p>18 would only happen through intentional</p> <p>19 gerrymandering rather than through by accident.</p> <p>20 You know, I'm --</p> <p>21 Q. Sorry, go ahead.</p> <p>22 A. I mean, I was careful in that</p> <p>23 statement I think. I don't have a strong</p> <p>24 personal view that it is necessarily capturing</p> <p>25 intent better, but this is -- both Professor</p>	<p style="text-align: right;">Page 181</p> <p>1 C. WARSHAW</p> <p>2 Warrington and McGhee I think make that</p> <p>3 assertion.</p> <p>4 Q. Well, let's assume you did have this</p> <p>5 distribution that looked bad under declination.</p> <p>6 Does declination tell you the cause of that? If,</p> <p>7 for example, a state had a large concentration of</p> <p>8 Democrats in certain areas and a large</p> <p>9 concentration of Democrats in certain districts</p> <p>10 because of the Voting Rights Act you would get</p> <p>11 this gap even if a line drawer with no partisan</p> <p>12 attempt through the lines, correct?</p> <p>13 A. I haven't personally analyzed -- I</p> <p>14 haven't analyzed that. I couldn't say for sure.</p> <p>15 I couldn't say.</p> <p>16 Q. Okay. Now, you did an analysis, a</p> <p>17 historical analysis of the efficiency gap</p> <p>18 throughout the last -- I think it was the last 40</p> <p>19 or 50 years, correct, in various states?</p> <p>20 A. Yeah, roughly the last 45 years.</p> <p>21 Q. And did you do a similar analysis for</p> <p>22 mean-median scores?</p> <p>23 A. I did.</p> <p>24 Q. Is there a graph showing that?</p> <p>25 A. No, there isn't. I think if I were to</p>

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do this again, maybe I would put it in. I wasn't trying to hide the eight ball. I wanted to go for succinctness in the report. What I note, though, on page 19 is the percentage of time that the absolute -- both the absolute mean-median -- you know, the absolute mean-median in Michigan is larger than, you know, X percentage historically and then how -- what percentage of the time it's more pro-Republican than other plans.

Q. You say that it's more extreme than the mean-median difference at 78 percent of previous elections, correct?

A. Correct.

Q. And these are the same elections that you looked at relative to the efficiency gap?

A. Correct.

Q. In both congressional and state legislative districts?

A. Yes. I use the same data to calculate all the metrics.

Q. Okay. But you didn't either produce a graph or identify the states that constitute either the 78 percent or 22 percent?

A. Well, I think I implied -- I think I

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stated, and if I didn't, I certainly implied that I had done it using the same data as for the efficiency gap.

Q. No, I get that.

A. And if that's ambiguous, I apologize for the ambiguity.

Q. And the reason you didn't produce a graph showing this was you ran out of time? Ran out of space?

A. No. I just wanted -- I wanted the report to be succinct. There's no conceptual reason I couldn't -- I didn't or couldn't. It would show very similar -- I believe it would show very similar patterns as what's in the efficiency gap graph, but I can't say for sure.

Q. Well, with the efficiency gap graph you could tell the extent of the difference because you created this bell curve and showed where Michigan ranked.

A. Right.

Q. You don't represent that either pictorially or verbally. You just say it's more extreme than 78 percent of previous elections, correct? But it could be more extreme by

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0.1 percent of that 78 percent of previous elections, right?

A. I don't present any numbers, anything in the report that would enable us to -- I'm fairly confident that it is not only marginally more larger. But I don't have the numbers in the report to show that. It would be in my data that I provided to the other side.

Q. And I think you've answered this, and I apologize. I just want to clarify the record. This comparison for the mean-median difference relates to the same elections as what you did for the efficiency gap?

A. Correct.

Q. Okay. All right. And with respect to prior Michigan redistrictings, do you compare the mean-median difference in the 2011 redistricting cycle to the mean-median difference in the 2001 Michigan cycle?

A. I am not. That would be in the data that I have, but I have not looked at that and I said I don't present it in the report.

Q. And --

A. I think I should just state for the

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record, it's not that I did so and intentionally decided not to present it or something. I just didn't look at that, didn't focus on that.

Q. Okay. Now, for the efficiency gaps, you looked at the results for 2014 and 2016 as well as 2012, right?

A. Correct.

Q. On page 19 you just give us the mean and median score for the 2012 elections.

A. I believe that's true.

Q. Is there anywhere in the report that we can see the mean-median difference for 2014 and 2016?

A. I don't believe so.

Q. Okay. Now, you have previously opined that the similar results for the efficiency gap numbers between 2012 and 2016 suggested that this was a durable gerrymander. You didn't do any such analysis for the mean-median difference, correct?

A. Correct.

Q. Now, for the house, did you give the scores for the mean-median and declination?

A. I don't know whether I gave -- it

<p style="text-align: right;">Page 186</p> <p>1 C. WARSHAW</p> <p>2 would be on page -- Sorry, the state house or</p> <p>3 Congress?</p> <p>4 Q. State house.</p> <p>5 A. My analysis there would be on page 36,</p> <p>6 I believe.</p> <p>7 Q. Right. And I didn't see any --</p> <p>8 A. So --</p> <p>9 Q. Sorry. Go ahead.</p> <p>10 A. Correct. I don't think there's a</p> <p>11 number. I didn't provide a number in the report,</p> <p>12 and I don't have it in my head either. It's in</p> <p>13 my data. I could have provided it, but I just --</p> <p>14 again, I was trying to keep this part of the</p> <p>15 analysis succinct and not get bogged down in the</p> <p>16 details of all these different metrics.</p> <p>17 Q. Can you give me a rough estimate of</p> <p>18 what the mean-median score was in 2012?</p> <p>19 A. I don't have that in my head for the</p> <p>20 state house districts.</p> <p>21 Q. Okay. Same question for the</p> <p>22 declination score. I don't believe you put that</p> <p>23 forward in your report.</p> <p>24 A. I don't have it in my head. It's in</p> <p>25 my data. I could find it or one could find it,</p>	<p style="text-align: right;">Page 187</p> <p>1 C. WARSHAW</p> <p>2 but it's not in my head.</p> <p>3 Q. Okay. Same question for declination.</p> <p>4 I don't believe you did any analysis of the</p> <p>5 declination score for the 2014 and 2016</p> <p>6 elections. Is that correct?</p> <p>7 A. I did do the analysis. As I said,</p> <p>8 it's in my data but it's not in -- I didn't put</p> <p>9 it in the report.</p> <p>10 Q. And you didn't draw any conclusions</p> <p>11 about whether the 2014 and 2016 declination and</p> <p>12 mean-median scores were similar to the one that</p> <p>13 you did report for 2012, correct?</p> <p>14 A. That's correct. Although the</p> <p>15 declination in particular is extremely highly</p> <p>16 correlated with the efficiency gap over the past</p> <p>17 45 years. So given that the efficiency gap</p> <p>18 estimate is durable, I would expect that the same</p> <p>19 would be true of declination.</p> <p>20 Q. Okay. I'm going to ask you</p> <p>21 essentially the same questions on declination</p> <p>22 that I just asked you about mean-median.</p> <p>23 THE WITNESS: Could we do a short</p> <p>24 break?</p> <p>25 MR. CARVIN: Sure. Don't worry about</p>
<p style="text-align: right;">Page 188</p> <p>1 C. WARSHAW</p> <p>2 it.</p> <p>3 (Recess taken.)</p> <p>4 BY MR. CARVIN:</p> <p>5 Q. So just to finish up, I think where we</p> <p>6 left off, the same questions I think I just asked</p> <p>7 you about the mean-median I'm going to ask you</p> <p>8 about the declination.</p> <p>9 There is no chart in here like that</p> <p>10 for the efficiency gap showing past declination</p> <p>11 scores in the nation for Congress or state</p> <p>12 legislators, correct?</p> <p>13 A. That's correct. And I'll say the</p> <p>14 reason for that is, again, I wanted the report to</p> <p>15 be succinct and readable, but I also viewed these</p> <p>16 measures as robust. And given the results --</p> <p>17 really I wanted to show the results are similar,</p> <p>18 especially for 2012, and that's what I focused</p> <p>19 on.</p> <p>20 Q. And you say at the top of page 20 that</p> <p>21 the declination scores are more extreme than</p> <p>22 91 percent of previous elections, right?</p> <p>23 A. Correct.</p> <p>24 Q. Again, it's the same elections that</p> <p>25 you referenced in the efficiency gap?</p>	<p style="text-align: right;">Page 189</p> <p>1 C. WARSHAW</p> <p>2 A. Correct.</p> <p>3 Q. And you don't report, however, the</p> <p>4 difference between 0.56 and these other</p> <p>5 declination scores?</p> <p>6 A. Correct. No, I don't.</p> <p>7 Q. And you didn't look at the prior</p> <p>8 declination scores for Michigan congressional or</p> <p>9 Michigan state legislative plans?</p> <p>10 A. I didn't. It's all in the data that I</p> <p>11 provided to your side, but I didn't reference it</p> <p>12 in the report.</p> <p>13 Q. Okay. All right. Let's switch</p> <p>14 topics. You go on in this report about the</p> <p>15 effect of -- well, about roll call votes and the</p> <p>16 efficiency gap and roll call voting in Congress.</p> <p>17 If you could turn to page 24 of your</p> <p>18 report.</p> <p>19 A. Uh-huh.</p> <p>20 Q. "To be clear, I do not argue that</p> <p>21 gerrymandering causes more polarization in</p> <p>22 Congress." That's what you say on page 24?</p> <p>23 A. That's correct.</p> <p>24 Q. So you're making no argument that</p> <p>25 gerrymandering contributes to or causes</p>

<p style="text-align: right;">Page 190</p> <p>1 C. WARSHAW</p> <p>2 polarization in Congress?</p> <p>3 A. That's correct.</p> <p>4 Q. It's pretty obvious, right, because</p> <p>5 isn't the U.S. Senate just as polarized as the</p> <p>6 House of Representatives?</p> <p>7 A. It's roughly the same. I don't know</p> <p>8 that it's exactly the same. Yeah, they're very</p> <p>9 similar, which is one of the pieces of evidence</p> <p>10 people point to for the lack of a relationship</p> <p>11 between gerrymandering and polarization.</p> <p>12 Q. And then on page 23 --</p> <p>13 A. 23, so going backwards?</p> <p>14 Q. Yeah.</p> <p>15 A. Okay.</p> <p>16 Q. You say with respect to Michigan</p> <p>17 specifically that "there has never been any</p> <p>18 overlap in Congress between the ideology scores</p> <p>19 of Democrats and Republicans from Michigan."</p> <p>20 Right?</p> <p>21 A. Yes, I believe that's true.</p> <p>22 Q. "Republicans are always substantially</p> <p>23 more conservative than Democrats from Michigan."</p> <p>24 A. Yes. That's in Figure 9A.</p> <p>25 Q. And then Figure-- well, let's look at</p>	<p style="text-align: right;">Page 191</p> <p>1 C. WARSHAW</p> <p>2 Figure 8 first, polarization in the U.S. House.</p> <p>3 Right?</p> <p>4 A. Uh-huh.</p> <p>5 Q. You say that there's been an increase</p> <p>6 in partisan polarization in the U.S. House,</p> <p>7 right?</p> <p>8 A. That's correct.</p> <p>9 Q. And that occurred -- a lot of that</p> <p>10 occurred well in advance of 2011?</p> <p>11 A. Correct.</p> <p>12 Q. And during those years the efficiency</p> <p>13 gap was not clearly favoring one party over</p> <p>14 another, and it bounced around a lot, right?</p> <p>15 A. Well, as I stated, during the 1970s</p> <p>16 and '80s the efficiency gap generally favored</p> <p>17 Democrats actually on average. During the 1990s</p> <p>18 there is really no national bias in either</p> <p>19 direction.</p> <p>20 Q. Nonetheless, throughout that time the</p> <p>21 partisan polarization increased even though there</p> <p>22 was no favoritism in the efficiency gap?</p> <p>23 A. Correct. But again, I'm not making</p> <p>24 any assertion that gerrymandering causes</p> <p>25 polarization.</p>
<p style="text-align: right;">Page 192</p> <p>1 C. WARSHAW</p> <p>2 Q. Right. And if you look at Figure 9,</p> <p>3 the same thing. The polarization in Michigan has</p> <p>4 increased from 1975 through the present, and at</p> <p>5 least for most of that term Michigan's efficiency</p> <p>6 gap prior to 2011 largely didn't favor one party</p> <p>7 or another?</p> <p>8 A. That's correct.</p> <p>9 Q. So then you have this other point</p> <p>10 which I guess is relatively obvious at the bottom</p> <p>11 of page 25, which is: "Citizens are much more</p> <p>12 likely to agree with the roll call votes of</p> <p>13 same-party legislators than opposite-party</p> <p>14 legislators on important policy issues." Right?</p> <p>15 A. They are. Although you skipped</p> <p>16 over -- I want to back up. I think what's</p> <p>17 important to be clear about is that, no,</p> <p>18 gerrymandering does not cause polarization. But</p> <p>19 the polarization that has happened for a number</p> <p>20 of reasons in Congress exacerbates the effects of</p> <p>21 gerrymandering on representation. And that's</p> <p>22 really what this section of the report is about.</p> <p>23 Q. Right.</p> <p>24 A. So you had some questions about</p> <p>25 whether, you know, I sort of tried to look at the</p>	<p style="text-align: right;">Page 193</p> <p>1 C. WARSHAW</p> <p>2 relationship or lack thereof between</p> <p>3 gerrymandering and polarization. But I think</p> <p>4 that's -- you know, regardless of whether there</p> <p>5 is or isn't such a relationship, I don't have</p> <p>6 any -- I don't make any assertions about that in</p> <p>7 the report. But I think what is clear is that</p> <p>8 the growing polarization has magnified the</p> <p>9 effects of polarization -- or has magnified the</p> <p>10 effects of gerrymandering. I'm sorry.</p> <p>11 Q. Because now citizens really disagree</p> <p>12 with opposite-party legislators?</p> <p>13 A. To a certain degree. But I think what</p> <p>14 I showed in this section of the report is that</p> <p>15 the ideological views of Democratic and</p> <p>16 Republican elected officials in particular are</p> <p>17 much more divergent than they were a generation</p> <p>18 ago.</p> <p>19 Q. Right.</p> <p>20 A. So a generation ago it might not have</p> <p>21 mattered very much whether you got a Republican</p> <p>22 or Democratic legislator. Regardless that that</p> <p>23 was true a generation ago, today there's just a</p> <p>24 vast difference between electing a Democrat and a</p> <p>25 Republican.</p>

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Q. Right.

A. So if you bias the maps so that you get more of one party or another compared to what voters want, then that's going to really skew the ideological distribution of the legislature and the policies the legislature produces.

Q. Right. And that's the point you're making at the top of 26, right?

A. Yes. I mean, page 26 looks directly, sort of squarely at the congruence between the views of voters and legislators.

Q. And you say it's the voters in the districts where their votes are wasted don't have representatives with whom they agree?

A. Correct. Well --

Q. What you say, to be precise, is: "People whose votes are artificially wasted due to gerrymandering are deprived of having legislatures that agree with their views." Right?

A. Where are you reading?

Q. The top of 26.

A. Yes.

Q. Okay. But if they're artificially

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wasted through packing, then they do have legislatures that agree with their views, right?

A. Looking across the legislative plan, they're more likely to have a legislator -- if there's more wasted votes, then they are more likely to have a legislator that disagrees with them, is what Figure 10 shows.

Q. A legislator that disagrees with them from a different district? The legislator that they're voting for is very likely to agree with their views in a packed district, right?

A. That is true.

Q. Some other legislators that they don't vote for might disagree them, but that's not their representative. Right?

A. Correct. I do think it's true empirically that the majority, if not the vast majority, of wasted votes are in cracked districts. So to some extent this focus on packed districts is a little bit sideways.

Q. Okay. Are you excluding packed districts from your analysis of people who have their votes wasted?

A. I'm not. I'm just saying on average

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in a state where one party or another is more likely to have wasted votes, they're less likely to have views that are congruent with their legislator.

Q. Well, in Michigan you claim there were five Democratic packed districts in Congress, right?

A. I believe that's true.

Q. Okay. And there was only two or three cracked districts because under any seats-votes analysis they would only be expected to get seven or eight seats, right?

MR. YEAGER: Objection; misstates the record.

You may answer.

THE WITNESS: I don't think I made a characterization in my report of which districts precisely were packed and cracked, and I'm reluctant to do so now.

BY MR. CARVIN:

Q. So we don't know which districts they are, but whichever they are, there can only be three cracked districts where there's five packed districts, right? You're not expecting Democrats

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to get nine of the 14 seats, right?

A. No, I'm not sure I would -- I'd have to think about it more. But Districts 9 and 5, I'm not sure I would assert that those are packed.

Q. 9 and 5?

A. Yeah. I would have to think about it more, but I think I would want to look more at the evidence. Just based on the numbers here, I'm not sure I would assert that. I would want to think about that more based on the totality of the evidence. I just don't know either way.

Q. Do you think those are safe Democratic districts?

A. I think they're not -- I think very likely the Democrats would win a district that looks like that.

Q. Okay. But just to get back to my point, Democratic voters in Democratic districts do agree with their elected representative's views?

A. Correct.

Q. And they're in a very good situation because the chances of their elected

<p style="text-align: right;">Page 198</p> <p>1 C. WARSHAW</p> <p>2 representative being defeated are extraordinarily</p> <p>3 small, right?</p> <p>4 A. I think that's true for their</p> <p>5 individual legislator. But I think what people</p> <p>6 care about is the ideological composition of the</p> <p>7 legislature.</p> <p>8 Q. Right. What we're really talking</p> <p>9 about is Democratic representation in the</p> <p>10 legislature as a whole?</p> <p>11 A. I think that's the object that most</p> <p>12 people care about. I mean, if you packed all of</p> <p>13 the Democrats into one district -- I mean, if we</p> <p>14 go down the hypothetical route, if you pack all</p> <p>15 Democrats into one malapportioned district and</p> <p>16 you say, well, now you're 100 percent likely to</p> <p>17 get a very Democratic legislator because this is</p> <p>18 a 100 percent Democratic district, surely that</p> <p>19 wouldn't be an outcome they would prefer, having</p> <p>20 just one legislator even if they were guaranteed</p> <p>21 it would be a Democrat.</p> <p>22 Q. Even though Democrats in the packed</p> <p>23 districts are benefited, Democrats in adjacent</p> <p>24 districts and statewide are hurt by the packing,</p> <p>25 correct?</p>	<p style="text-align: right;">Page 199</p> <p>1 C. WARSHAW</p> <p>2 A. Correct.</p> <p>3 Q. It's the Republicans in the packed</p> <p>4 district who are deprived of their voice and</p> <p>5 having a representative with whom they agree?</p> <p>6 A. They are advantaged at the statewide</p> <p>7 level, the packed Republicans.</p> <p>8 Q. But disadvantaged with respect to</p> <p>9 their own representative?</p> <p>10 A. You could argue that, yes. I would</p> <p>11 have to think about that more. But I think in</p> <p>12 general what people care about is the ideological</p> <p>13 composition of the legislature.</p> <p>14 Q. Okay. But if we're looking at it from</p> <p>15 the district-specific level, it's the Republicans</p> <p>16 in the Democratic packed districts who have been</p> <p>17 shut out of the process and don't have a voice</p> <p>18 because their legislator is never going to agree</p> <p>19 with them, right?</p> <p>20 A. So, too, the Democrats in cracked</p> <p>21 districts are -- lack of voice and the Democrats</p> <p>22 in the packed districts are disadvantaged in the</p> <p>23 statewide translation of seats to votes.</p> <p>24 Q. Right.</p> <p>25 A. And the statewide ideological</p>
<p style="text-align: right;">Page 200</p> <p>1 C. WARSHAW</p> <p>2 composition of the legislature and the policies</p> <p>3 that the legislature passes.</p> <p>4 Q. If you call a cracked district a</p> <p>5 48 percent Democratic district, those Democrats</p> <p>6 at least have the ability to get together,</p> <p>7 increase their vote share, and have a</p> <p>8 representative who expresses their views; whereas</p> <p>9 the Republicans in a packed district can never</p> <p>10 band together enough to get a representative who</p> <p>11 respects their views. Correct?</p> <p>12 A. I think it's unlikely that a</p> <p>13 Republican legislator would be elected in a</p> <p>14 district that is 75 percent or 80 percent</p> <p>15 Democratic.</p> <p>16 Q. But it's not implausible that a</p> <p>17 Democrat could get elected in a district that's</p> <p>18 produced 48 percent Democratic vote in the past?</p> <p>19 A. Sure. I think it's certainly more</p> <p>20 likely than the first scenario.</p> <p>21 Q. Particularly in an off-year election</p> <p>22 following a presidential election where the</p> <p>23 non-presidential party does quite well?</p> <p>24 A. Yes. I mean, as we talked about,</p> <p>25 individual seats could certainly shift in a</p>	<p style="text-align: right;">Page 201</p> <p>1 C. WARSHAW</p> <p>2 midterm year like in 2018. But if that were to</p> <p>3 happen, the Democratic statewide vote share would</p> <p>4 also go way up. So I think thinking about seat</p> <p>5 shifting and absence of thinking about changes in</p> <p>6 the statewide vote, you know, is misleading.</p> <p>7 Q. So we're looking at the representation</p> <p>8 of the legislature as a whole, right? So in</p> <p>9 Congress, this would mean representatives</p> <p>10 scattered throughout the nation, right?</p> <p>11 A. I think that's the target people care</p> <p>12 about the most. I think that in characterizing</p> <p>13 the effects of the efficiency gap, I focused on</p> <p>14 the median legislator in each state. But I think</p> <p>15 ultimately what we care about is the ideological</p> <p>16 composition of the chamber as a whole.</p> <p>17 Q. So if Democrats in Michigan lost two</p> <p>18 seats because of a gerrymander but picked up two</p> <p>19 seats in Massachusetts or California or Maryland,</p> <p>20 then there would be no effect on the national</p> <p>21 legislature as a whole?</p> <p>22 A. There might no effect on the national</p> <p>23 ideological composition. I do think there are</p> <p>24 reasons to think you want to have a legislator in</p> <p>25 your state that could represent you. I don't</p>

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think I would argue -- I don't think I would assert that, like, if you're a Democrat in Massachusetts having a Democrat from -- or a legislator in general from Michigan or from some other state or vice versa is the same thing.

Q. You're going to have a lot more in common with a Democrat from Michigan than you're going to have with a Republican from Massachusetts, right?

MR. YEAGER: Objection; vague and ambiguous.

THE WITNESS: I'm not sure who -- Who are we talking about here?

BY MR. CARVIN:

Q. Well, I thought you told me that there's such a wide ideological gulf between Democrats and Republicans in this highly polarized environment that Democrats will always associate more with Democrats, even those from other states, than they would with another-party representative from their own state. Is that correct?

A. I think that's true. But I think what I wouldn't say is that there's nothing lost by

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having, you know, nobody from your party or whatnot that represents you from your own state.

Q. What is the point of all this? I mean, if two seats are unacceptably gerrymandered, that would be true regardless of whether that makes Congress or the Michigan legislature more conservative, right?

A. Yes. But I think the point of this part of -- the point of this section of the report is that, you know, election results are not just about the party that represents you. They're about the ideological positions or just the policy positions -- maybe you should think even more about policy positions that your legislators take. And if the partisan consequences of elections and a partisan gerrymander had no policy consequences, then as a political scientist I would say, you know, maybe we shouldn't really care so much about it.

But the reality is that the partisan -- the partisan outcome of elections and disparities in the connection between votes and seats has large and substantial consequences on the political process. It affects the roll call

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positions that legislators take, and certainly in state governments it affects the policies that states produce.

Q. I don't think anybody would disagree that a Nancy Pelosi-led Congress is going to have different roll call votes and policies than one led by Paul Ryan or his successor.

But my question is why the federal court in Michigan should care about that. You're not suggesting that they should favor a Nancy Pelosi-led Democratic Congress over a Paul Ryan-led Republican Congress, right?

A. No. I think my point is not that they should -- certainly not that they should favor one party over another.

Q. Right.

A. My point, though, is that, you know, elsewhere in the report I state that the 2012 gerrymandering, what other people have estimated, and I think what you could use my numbers to estimate as well but I haven't done so, is that the gerrymandering in 2012 cost Democrats nationwide 16 or 17-ish seats in Congress. And if that was the difference between a Paul

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Ryan-led Congress and a Nancy Pelosi-led Congress, then certainly that had vast policy consequences both for voters in Michigan and for voters elsewhere in the country.

But even as we saw on the healthcare bill that happened earlier this year, even if it doesn't cost -- if gerrymandering doesn't affect the partisan majority in Congress, if it just affects the margin of each side and, as I said earlier, the ideological composition of the legislature, then it still has vast policy consequences.

You know, if Republicans had had five more seats in Congress -- or if Republicans had had five less seats in Congress, then the House wouldn't have passed the healthcare bill. I can't remember the exact margin of the tax bill, but a couple of seats would have affected that as well.

Q. You make the claim that The Brennan Center, not exactly a nonpartisan group, claimed 16, 17 seats in Congress were affected by gerrymanders in other states.

My question to you is: Why would the

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court in Michigan resolving this case care about that? If there had been no gerrymanders outside of Michigan, shouldn't they do exactly the same thing with respect to the gerrymander in Michigan?

MR. YEAGER: Objection; calls for a legal conclusion.

You may answer.

THE WITNESS: Yeah, I don't have a legal view, obviously, of how that should affect the court. But I think the couple seats in Michigan certainly affect the partisan and ideological composition of Congress. And as we've seen on these close votes, a couple of seats matters.

BY MR. CARVIN:

Q. Did it matter? Did the extra two Michigan congressmen that you claim were accomplished through gerrymander have any effect on either which votes were put to a roll call in Congress or the outcome of those roll call votes?

A. I don't show in this report but I -- I would have to look more specifically at that. But based on my experience as a political

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scientist and studying other levels of government, I think it would.

Q. But you don't --

A. I don't have quantitative evidence in this report on that question specifically.

Q. Or anywhere else. You can't give me an example now?

A. I can't now, no.

Q. And again, let's assume it's true that these congressmen made a difference in the ideological makeup of Congress. You don't think that the federal court should try and alter the ideological composition of Congress, right?

MR. YEAGER: Calls for a legal conclusion.

You may answer.

THE WITNESS: Yeah, I can't say what courts should do. Again, I have no view and no expertise on the appropriate legal standard. But speaking as a social scientist, I would come back to the point I made earlier. You look at election results and it's easy to think this is sort of like baseball and, oh, we're just rooting for the

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Phillies or the Mets or the Nationals or something else and it's all kind of fun and games. But at the end of the day these elections have real policy consequences, and I think it's important to show that.

BY MR. CARVIN:

Q. Do you think people are unaware of the fact that elections have consequences and majorities in state houses and federal legislatures have consequences?

A. I don't think the literature on -- I think at a -- in a colloquial sense probably people do have a sense of that, but I think it's not something that's been well studied in the literature, the precise policy consequences.

I think this is something that -- I'll just say that some of my academic work has focused on explicitly, and I do think that my work and others has made big strides on this in recent years, particularly looking at the policy consequences in ways that we didn't know before.

But I think we can identify now with a precision that we didn't have before that the partisan majority in legislatures has very large

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effects on policy. And to the extent that gerrymandering affects the partisan control of the legislature, my work has shown that there's large and growing policy consequences.

Q. Again, I'll ask you one last time. Do you think the federal judiciary should take a position on the policy consequences of partisan control or just analyze the fairness of the electoral system without regard to what policy positions are advocated by the competing parties?

MR. YEAGER: Objection; calls for a legal conclusion. Asked and answered.

You may answer.

THE WITNESS: Again, I can't say what I think the legal standard should be as a nonexpert on the law in this matter. But speaking as a social scientist and -- you know, my academic work focuses largely on the consequences of public opinion, elections and various electoral institutions for democratic performance in the United States. And speaking as a social scientist, I can say that if elections -- if elections had no policy consequences, then as a

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political scientist I would not be very concerned about them, or I would study other things. Maybe I would try to understand whether they had no policy consequences. That might be a reason for study. But I wouldn't -- you know, I would focus my work in different ways, and I think others should as well. But we know that elections, and the partisan consequences of elections, have large and growing consequences.

So I think what that means is that gerrymandering has large implications for our democracy. And that's said not because I necessarily think one side or the other, you know, the courts should try to favor. I certainly don't think anyone should favor one side versus the other. But regardless of who is doing the gerrymandering, I think it has pernicious effects on our democracy.

BY MR. CARVIN:

Q. Are you aware of any Democratic redistricting plans that have a large anti-Republican efficiency gap?

A. I know the plan in Maryland has been

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challenged and I certainly -- I haven't analyzed that explicitly here although -- Well, if you go back to my chart on page 16, certainly the 2016 efficiency gap in Maryland is quite large. It was smaller in 2012.

But if you look at a state like Massachusetts, it's not nearly as large as the efficiency gap in Michigan. But a state like Massachusetts sure looks like it has a large partisan bias in favor of Democrats in the legislature. And speaking as a social scientist, I think that's a bad thing.

Q. Does The Brennan Center identify any efficiency gaps, pro-Democratic efficiency gaps in its studies?

A. I think they certainly present graphs where you could identify it. I don't remember if the text -- how much the text focuses on it. But certainly McGhee and Stephanopoulos talk about pro-Democratic gerrymanders as well.

Q. Are you sure about that? In the University of Chicago Law Review, in Congress they identify pro-Republican efficiency gaps --

A. Pro-Democratic.

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Q. -- as too large? Pro-Democratic efficiency gaps as too large for --

A. I'm not 100 percent sure of that, but I would suspect that they do. I would assume that they do.

Q. Okay.

A. But I guess the only caveat I would say is that what these numbers show is that in the modern era the efficiency gaps in favor of Democrats are smaller so they are a little bit harder to show. There's nothing that looks anything like Michigan on the Democratic side.

Q. So if there are large pro-Democratic efficiency gaps, then that will balance out the pro-Republican efficiency gaps in Michigan with respect to congressional representation, right?

A. No. The graph -- For instance, on page 18 it illustrates this well. There are far more and larger pro-Republican efficiency gaps in recent elections than pro-Democratic efficiency gaps.

Q. So it's --

A. I think --

MR. YEAGER: Objection.

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Excuse me. Counsel, you keep interrupting him; you keep making faces at him; you keep rolling our eyes at him. I would appreciate it -- You want to engage him, I understand, but I would appreciate it if you would just let him answer the question, please.

Now finish your answer, Mr. Witness.

THE WITNESS: Certainly in the wake of the 2011 plans coming into place, there have been far larger and more numerous Republican gerrymanders, and I think that's largely because there are many more states where you had unified Republican control of state government in 2011.

You know, I don't think Democrats are saints with this either, and probably if there had been 30 states with unified Democratic control of state government you would have Democratic gerrymanders.

But the fact is that after the -- in the recent elections there have been far more and larger Republican gerrymanders than Democratic ones.

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BY MR. CARVIN:

Q. But again, you don't think the court should take into account whether it's a pro-Republican or pro-Democratic gerrymander? They should be neutral on that intensely partisan question?

MR. YEAGER: Objection; calls for a legal conclusion.

You may answer.

THE WITNESS: Again, I don't have a -- I couldn't say what I think a legal standard should be. But I think speaking as a social scientist, I think both Democratic and Republican gerrymanders have the same pernicious consequences for our democracy.

BY MR. CARVIN:

Q. And the pernicious consequence is that fewer voters will be in a district with a same-party legislator than if one party had not gotten more seats than votes, right? That's the pernicious consequence?

A. That the ideological composition of the legislature and the policies the legislature produces will be biased in favor of one party or

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another, which breaks the --

Q. Let's try again. What if it only affected the partisan composition of the legislature? Would that be of concern?

A. I think if we randomly assign party labels and party didn't really mean anything aside from whether you rooted for the Phillies or the Nationals, then as a social scientist -- again, I don't know what the legal standard would be, but as a social scientist I probably wouldn't be concerned about it. But what matters for me is that the partisan labels are not just rooting for the Nationals or the Phillies. They reflect serious ideological disagreements and policy disagreements, and whether we elect more Democrats or more Republicans has large and consequential policy consequences for the state of Michigan as well as for our country.

Q. So it's the change in the ideological partisan composition that should be of concern to the court, not the fact that the parties have different ideologies?

MR. YEAGER: Objection; calls for a legal conclusion.

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You may answer.

THE WITNESS: Well, it's the combination of the partisan bias in elections with the large and substantial consequences of that partisan bias. I mean, obviously the partisan bias is the first step in this representational chain.

But I think that what's important for me, again not speaking as a lawyer because I don't know what the legal standard should be, but as a political scientist, you know, what matters about gerrymandering and what's interesting about gerrymandering is not the partisan bias in and of itself but it is this first step in this representational chain that really matters.

BY MR. CARVIN:

Q. Right. So you're telling the court that there are ideological differences between Democrats and Republicans, right? That's the first thing you're contributing? But you're not suggesting the court should pay any attention to the fact that there's ideological differences between Democrats and Republicans in resolving

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this gerrymandering case, right?

A. I'm not making any legal assessment whatsoever of what -- I'm speaking in this report as a political scientist on what are the -- both how does the -- I mean, the crux of my report is how does Michigan compare to other states in its own efficiency gaps and other gerrymandering metrics over the past 45 years, and then how does it -- and then what are the consequences of the gerrymandering that we observe in Michigan on the political process.

Q. Okay. Let's go to page 24. "The right column shows" -- I'm reading from the last sentence -- "that in the most recent Congresses, a 10 percent pro-Republican shift in the efficiency gap is associated with a 0.9 shift to the right in DW-Nominate scores."

A. Uh-huh.

Q. Should the court be concerned about the fact that there is a 0.9 shift to the right in the DW-Nominate scores or should it be concerned with the 10 percent Republican shift regardless of whether it affects the ideology of the representatives?

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A. Again, I don't have a legal opinion. I think that's going to be a complicated assessment. Speaking as a political scientist, I think that the partisan consequences of a gerrymander are consequential because of the ideological bias it introduces into the legislature and the consequent effect on the roll call votes that are taken by the legislature and the policies the government produces.

Q. So you say in the most recent Congresses. What Congresses are those?

A. I don't know the Congress numbers off the top of my head but the --

Q. What years?

A. The 2013 through '16, I believe is what I analyze here.

Q. You mean the ones that were elected in 2012 through 2016?

A. Correct. That's the idea.

Q. And you did this study yourself?

A. Correct.

Q. And you say a 10 percent pro-Republican shift in the efficiency gap?

A. Correct.

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Q. Is that a 10 percent pro-Republican shift in all states?

A. That is the effect of a 10 percent shift in any state on average on the -- on the average ideological voting pattern or roll call voting pattern of legislators from that state, is what the regression here is telling us.

Q. Okay. So it would just be these five states that you've identified elsewhere?

A. Five states? Sorry?

Q. That have more than a 10 percent pro-Republican shift.

A. No, this is looking at all states over the past 45 years, or all states with more than six congressional districts.

Q. Then I'm not really following you. You say that in the most recent Congresses, which is 2012 through 2016, not the last 45.

A. Oh, correct. That's based on the regression coefficient in the bottom right of the regression table. It's the .0093. But the regression here includes all elections. I just vary -- I allow the effect of the efficiency gap to vary over time. But the regression model here

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includes all congressional elections in states with more than six congressional districts from 1972 to 2016.

Q. So you're telling me that --

A. And the point of this table is that the efficiency gap, changes in the efficiency gap within a state, have consequential effects on the ideological -- the roll call positions that legislators take and the effects are growing over time.

Q. All right.

A. That's the bottom line from this table.

Q. If there is a 10 percent pro-Republican shift in any election between 2012 and 2016, that will lead to a 0.9 shift to the right in representatives from that state, Republican legislators?

A. 0.09 I believe I said.

Q. In Republicans from that state?

A. Correct.

Q. And when are you measuring the 10 percent pro-Republican shift? Between 2010 and 2012?

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A. Correct. This is isolating it within time period.

Q. So what about -- Maybe I don't understand this chart, which I definitely don't. You're saying the efficiency gap -- the first thing you have is 0.073. What does that represent?

A. That's the average relationship between the efficiency gap and the voting patterns of members of Congress for the past 45 years. So on average it shows that a 10 percent pro-Republican shift in the efficiency gap would correspond with a DW-Nominate score of .7 units -- or .07 units to the right. I'm sorry, .07.

Q. You have the 1970s, and the 10 percent pro-Republican shift is between the 1970 and the 1972 elections because that's when they redistricted? What's the shift?

A. The shift here is just looking at variation in the efficiency gap. It's not just isolating the redistricting years. You could do this differently and I suspect the results would be the same. But it's not just isolating the

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redistricting years. It's averaging across all years.

Q. So you're saying a 10 percent pro-Republican shift, meaning that in the 1970s the pro-Republican bias was 10 percent more than the 1960s?

A. No. This is not making any characterization of the average value of the efficiency gap. What it's describing is the link between the efficiency gap and the roll call voting in Congress.

Q. Yeah. But I'm trying to figure out what the word "shift" means. You say a 10 percent pro-Republican shift in the efficiency gap. A shift from what to what?

A. It's averaging across all values of the efficiency gap. To make it simple, what it's suggesting is that the efficiency gap went from zero to a 10 percent pro-Republican efficiency gap.

Q. Right.

A. Then the average Nominate score, which is a summary measure of the roll call behavior of legislators, would shift on average .07 to the

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right.

Q. And the zero would be in what year for the 1970s? Where do I start?

A. Oh, that's -- the left-hand column here is averaging across 1972 to 2016.

Q. Are you telling me that you're not analyzing it for decades?

A. The right-hand column breaks the analysis down by decades and looks at how that relationship varies by decade.

Q. And it's 0.068 in the '70s?

A. Correct.

Q. And there was a 10 percent pro-Republican shift from what year to when?

A. So between 1972 and I think it must have been 1980, although I don't know -- even though I said 1970s, my guess is I did that redistricting cycle. That would imply that a 10 percent change in the efficiency gap, or a ten percentage point change in the efficiency gap would correspond to about a .068 change in Nominate scores.

Q. A change in what efficiency gap?

A. It doesn't matter. It's assuming a

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linear effect. So it's assuming it's a --

Q. From when?

A. I'm sorry, what?

Q. You say there's 10 percent more Republican efficiency gap in 1972 and 1980.

MR. YEAGER: Objection; misstates the testimony.

BY MR. CARVIN:

Q. Is that not right?

A. No. There's nothing in this table about the levels of the efficiency gap. This table is about the relationship between variability in the efficiency gap.

Q. Variability?

A. Or variation in the efficiency gap, I should say, and variation in the roll call voting patterns of members of Congress.

Q. Okay. I'll try it one last time.

When you say a 10 percent pro-Republican shift in the efficiency gap, a shift from what to what and when to when?

A. It could be over any period of time or it could be -- and it could be between any two absolute values of the efficiency gap. So this

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regression model is assuming that there is a linear effect of changes in the efficiency gap, which means that a change from negative 30 percent to negative 20 percent is the same as a change from, like, 5 to 15 percent.

Q. I got all that. Where would you get the negative 30 percent?

A. If there was a value -- assuming there was an efficiency gap in the data that was negative 30 percent, that may be outside the range of the data. So that may be -- that particular example may not be totally reflecting the data. But this is all based on the data. I mean, this regression analysis is based on an empirical OLS, an ordinary least squares regression model, of the effect of variation in the efficiency gap on variation in the roll call voting behavior of members of Congress.

Q. Okay. And in the 2000s, this 10 percent pro-Republican shift led to 0.073 shift in the DW-Nominate scores?

MR. YEAGER: Objection; misstates the testimony and misstates the chart and the answer.

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THE WITNESS: Well, I think in this case it's a -- a 10 percent change in the efficiency gap during the 2000s would lead to a .073 change in Nominate scores, is what this chart is saying.

BY MR. CARVIN:

Q. And now it's gone up to .0093 change?

A. Right.

Q. In the -- is it .00?

A. Well, the .00 is for a 1 percent change. If you just multiply that by 10, you get a 10 percent change. That's what I meant by linear effect. It assumes that the effect of a 10 percent change is just ten times the effect of a 1 percent change.

Q. Okay. And that's the difference between the ideologies of Senator Cornyn and Lindsey Graham?

A. I believe that's what I stated in the report, yes, sir.

Q. And you say that Cornyn is more conservative than Graham?

A. You know, I'm not going to -- I haven't read every roll call vote he's ever

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taken. That's not my personal assessment. That's based on both the nonpartisan National Journal. But more relevantly -- and I think 538.com. But also more relevantly, based on their DW-Nominate scores which, as I said, are a summary of the ideological -- their ideological positioning based on all of the roll call votes over their entire congressional career.

Q. So we don't factor in Graham's conservative views on foreign policy, then?

A. No. This is not looking at different dimensions. But in general, congressional voting behavior is extremely one-dimensional in the modern Congress. So the vast majority of the time a one-dimensional estimate of their ideology would accurately predict their roll call positions. Surely there's going to be some idiosyncratic roll call positions, perhaps on foreign policy, where Graham is, you know, to the right of Cornyn. But on the vast majority of roll call votes where there's a difference in their positions, Cornyn is going to be to the right of Graham.

Q. Okay. If you would turn to page 28.

C. WARSHAW

A. Sure.

Q. "Citizens are about 25 percent less likely to believe that their representatives will do what is right in states with a large" -- and I think this was a typographical error.

A. Correct.

Q. You meant to say "absolute efficiency gap than in states with no efficiency gap." Is that correct?

A. That's correct. I think I stated that in my rebuttal report, that that was a typographical error.

Q. Okay. And this is based on the question: Do you trust your representative to do the right thing?

A. Correct. I also think, by the way, my rebuttal report provided a supplemental graph that showed all states as well as the confidence intervals around them.

Q. Right. I'm going to come back to that.

And this is based on -- the question was: Do you trust your district's representative in Congress to do what is right?

C. WARSHAW

A. Correct.

Q. So they were only being asked about their own representative?

A. Correct.

Q. And obviously if it was Democrats being asked of a Republican representative, then he probably won't answer yes. Right?

A. Probably not.

Q. Given the stark ideological composition.

A. It's true. Given only that 20 -- I think in Michigan only 23 percent said they trusted their representatives. It's clearly not just opposite-party people that are saying they don't trust their representatives.

Q. And the survey was conducted through the Internet by YouGov of Redwood City, California?

A. Yeah. YouGov is a widely used survey firm. They conduct a large number of both academic and commercial surveys. It uses the Internet but it's, I think, widely viewed as one of the most reputable survey firms.

Q. How do they conduct the surveys? How

1 C. WARSHAW

2 do they contact people over the Internet?

3 A. I believe they do it via a combination
4 of e-mail and text messages. They have what's
5 called a panel of respondents where people have
6 opted into their surveys, have essentially
7 volunteered to do surveys. They survey a large
8 number of people that match characteristics of
9 the population. And then after conducting the
10 survey they further weight the respondents to
11 make sure they're representative of the
12 population.

13 Q. So they weight the results?

14 A. Yes. All surveys weight their
15 results. There's no academic or government
16 survey that doesn't weight its results, including
17 the government products like the Current
18 Population Survey and the American Community
19 Survey. All surveys weight their results.

20 Q. But this one doesn't reach out for
21 people? They have to preselect? They have to
22 volunteer to be contacted?

23 A. Correct. It's an opt-in panel. So in
24 a world where everybody responded to surveys,
25 what's called a probability sample would be a

1 C. WARSHAW

2 better sampling technique. But in a world where
3 response rates are in the single digits for phone
4 surveys, I think there's a growing consensus that
5 Internet surveys are just as high quality as
6 phone surveys and yield very representative
7 results.

8 Q. That's not your area of expertise?

9 A. No, it is my area of expertise.

10 Q. Oh. So what is --

11 A. I do a lot of work with surveys and
12 survey design.

13 Q. Okay. And you looked at this survey
14 design --

15 A. I have.

16 Q. -- and you looked at the weighting?

17 A. I have. I've used the Cooperative
18 Congressional Election Study extensively in my
19 academic work.

20 Q. And you noted how they weighted it,
21 the YouGov people?

22 A. I do understand how they weight it,
23 yes.

24 Q. And who is YouGov?

25 A. As I said, YouGov is a commercial

1 C. WARSHAW

2 company that does both academic and commercial
3 surveys over the Internet.

4 Q. And who paid them?

5 A. In this case, the Cooperative
6 Congressional Election Study was funded by a
7 cooperative, which is the name of academic
8 organizations and colleges and universities. I
9 think around 50 or 60 colleges and universities
10 funded it. It also had a -- I believe it had a
11 grant from the National Science Foundation as
12 well that partially funded it.

13 Q. In your initial report you didn't
14 provide any margins of errors on your estimates,
15 right?

16 A. I didn't. Because to be frank, the
17 estimate -- the sample size here is enormous.
18 It's 55,000 or so people across the country. So
19 I think in Michigan there's a sample of 2,000
20 people. Which even when you incorporate the
21 design effect that inflates weights due to the
22 survey weighting, or inflates the standard errors
23 essentially, you still have a margin of error of
24 only three points. So it's a very small margin
25 of error on the estimates in Michigan.

1 C. WARSHAW

2 Q. Okay. If you could turn to your
3 rebuttal report, which I think is Exhibit 6.

4 A. But I think as I said in my rebuttal
5 report, I think, you know, that doesn't change my
6 results. But I think it's certainly reasonable
7 to include the confidence intervals, and it would
8 have been, you know, reasonable to include that.

9 Q. Do you have that in front of you?

10 A. My rebuttal report?

11 Q. Yeah. It's Exhibit 6.

12 A. I can find it. Sure. Looking at
13 page 9 and 10.

14 Q. And you claim that in Michigan there's
15 a 3 percent margin of error?

16 A. I think that's correct. That's what I
17 stated here.

18 Q. If you could look at this chart which
19 you've now provided to us.

20 A. Yes.

21 Q. What's the upper bound of the
22 confidence interval around Michigan?

23 A. I don't know. I can't tell based on
24 the printed version of the chart.

25 Q. You said the margin of error was about

<p style="text-align: right;">Page 234</p> <p>1 C. WARSHAW</p> <p>2 3 percent and you said your initial estimate was</p> <p>3 23 percent Michigan?</p> <p>4 A. Sure. So you can just add the two,</p> <p>5 and it would be about 26 percent would be the</p> <p>6 upper bound.</p> <p>7 Q. That doesn't seem to correlate to this</p> <p>8 chart. Where is the upper bound of the</p> <p>9 confidence interval?</p> <p>10 A. I think about 26 -- Again, it's hard</p> <p>11 to tell based on the chart, but the lower part of</p> <p>12 the confidence level is around 20 percent, so</p> <p>13 that's right.</p> <p>14 MR. YEAGER: Counsel, I have the</p> <p>15 electronic version if you would like me to</p> <p>16 show it to him. It will be a better copy.</p> <p>17 MR. CARVIN: I don't know. This is</p> <p>18 what I got.</p> <p>19 MR. YEAGER: This is not what we sent</p> <p>20 you. He's asking about the resolution.</p> <p>21 It's up to you. I'm just offering.</p> <p>22 THE WITNESS: It's hard to tell based</p> <p>23 on the printed copy, but I will assert that</p> <p>24 I believe it's true that the chart</p> <p>25 represents the confidence interval that I</p>	<p style="text-align: right;">Page 235</p> <p>1 C. WARSHAW</p> <p>2 cite in the text.</p> <p>3 BY MR. CARVIN:</p> <p>4 Q. I'm happy with that. So it could be</p> <p>5 26 percent in Michigan and nationwide it's</p> <p>6 30 percent?</p> <p>7 A. Yes. But the confidence interval is</p> <p>8 not saying it's equally likely that it would take</p> <p>9 any value within that confidence interval.</p> <p>10 Q. But you can't state to a reasonable</p> <p>11 degree of professional certainty that it's not</p> <p>12 26 percent, right?</p> <p>13 A. It's more likely that it's 23 percent</p> <p>14 than 26 percent.</p> <p>15 Q. That's true. But you can't state to a</p> <p>16 reasonable degree of professional certainty that</p> <p>17 it's not 26 percent, correct?</p> <p>18 A. There's a 95 percent chance that the</p> <p>19 true value is between 20 and 26 percent.</p> <p>20 Q. That's exactly right.</p> <p>21 And so there is a 4 percent difference</p> <p>22 between Michigan and the rest of the country.</p> <p>23 What's the confidence interval on the 30 percent?</p> <p>24 A. I didn't show that here, but it would</p> <p>25 be very, very small. Probably a percentage</p>
<p style="text-align: right;">Page 236</p> <p>1 C. WARSHAW</p> <p>2 point.</p> <p>3 Q. If it was two percentage points, then</p> <p>4 you couldn't rule out the possibility that it's</p> <p>5 28 percent nationwide, right?</p> <p>6 A. That would be true. Although I'm</p> <p>7 almost certain that it's less than 2 percent;</p> <p>8 that it would be closer to 1 percent than 2</p> <p>9 percent.</p> <p>10 Q. So you don't know if the confidence</p> <p>11 intervals overlap between Michigan and the</p> <p>12 nationwide average?</p> <p>13 A. No. With a reasonable degree of</p> <p>14 professional certainty I would be -- I'm</p> <p>15 comfortable saying that confidence intervals do</p> <p>16 not overlap between Michigan and the national</p> <p>17 average.</p> <p>18 Q. And you didn't do the margin of error</p> <p>19 for the national average?</p> <p>20 A. I didn't because again it's going to</p> <p>21 be very, very small. The survey sample here is</p> <p>22 56,000 people. So it will be a very small</p> <p>23 sampling error on the national level.</p> <p>24 Q. Why do you only list about, at most,</p> <p>25 20 states here?</p>	<p style="text-align: right;">Page 237</p> <p>1 C. WARSHAW</p> <p>2 A. Because as in elsewhere in my report,</p> <p>3 I focus on the states with an efficiency gap --</p> <p>4 or sorry, with more than six congressional</p> <p>5 districts because those are the ones where I</p> <p>6 think the efficiency gap can be estimated more</p> <p>7 reliably. So I take the exact same approach here</p> <p>8 that I take elsewhere in the report in terms of</p> <p>9 the states that I analyzed.</p> <p>10 Q. But you did all the state</p> <p>11 legislatures, right?</p> <p>12 A. Correct. Because all state</p> <p>13 legislatures have more than six seats.</p> <p>14 Q. Right. But you didn't look at the</p> <p>15 efficiency gap of state legislatures and that</p> <p>16 correlation to this 30 percent about whether you</p> <p>17 trust your representatives, right?</p> <p>18 A. That's true. This question was</p> <p>19 focused on congressional representatives. It was</p> <p>20 not referencing state representatives.</p> <p>21 And, you know, I would say as a</p> <p>22 political scientist, it's not clear to me</p> <p>23 that people are -- I do think people are very</p> <p>24 aware of what Congress is doing, at least at a</p> <p>25 general level, and certainly the partisanship of</p>

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their member of Congress. I think at the state legislative people are probably less aware of the details of what's happening.

Q. Right.

A. So I don't know that I would necessarily want to do this analysis at the state legislative level. I would have to think about that more.

Q. Where is New York on this map?

A. I don't know. It should be there, though. It probably looks like -- I see an N. It looks like a V, but that must be a Y, on the left.

Q. So that's not Nevada?

A. I don't think so, because Nevada only has I think -- it doesn't have seven congressional seats in Nevada, I don't believe.

Q. So you didn't do this analysis relative to efficiency gaps in state legislatures --

A. I did not.

Q. -- and its alleged correlation between trusting your representative?

A. I didn't. Because, again, the

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question asked about trust in your representative, which referred to the U.S. House. It wasn't asking about the state legislature. You know, if I had had data on the state legislature, I could have looked at that. But I think probably there's going to be a smaller relationship just because people in general are not as aware of what their state governments are doing as they are of Congress.

Q. And then on page 40 of your report --

MR. YEAGER: 40?

MR. CARVIN: Yeah.

THE WITNESS: Sure.

BY MR. CARVIN:

Q. These estimates referenced in that table indicate that state years in which the efficiency gap was more pro-Republican than average for that state also tended to have more conservative roll call voting behavior in the state house?

A. Yes.

Q. What's a state year?

A. Oh, just Michigan, you know, in 2013. That's it. A state year is Michigan in -- So I

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measured -- the data here varies across -- includes both cross-sectional and temporal variation.

Q. What's a non-state year?

A. Well, I think what it's trying to make clear is that I'm not averaging across time. I'm not pooling, like, 1972 and 2016 within Michigan. I'm not saying that's all one row in my -- in a regression.

Q. So you're looking at the efficiency gap in Michigan in 2013?

A. Correct.

Q. How can there be an efficiency gap in 2013 when there were no elections in 2013?

A. I'm looking at the preceding year. Sorry. So for policy here, I lagged the efficiency gap. So it's looking at the effect of the efficiency gap in 2012 on the ideological composition of the legislature and the policies that it produces the following year.

Q. All right. So you would compare the efficiency gap in 2012 to the roll call votes in 2013?

A. Correct.

C. WARSHAW

Q. And you would compare -- What would you do with 2014?

A. I can't remember precisely what I did. I think that's probably not in the analysis for the reason that you suggested, that there's no efficiency gap to be calculated in 2013.

Q. Well, wouldn't it be the same legislators?

A. It would. So I probably could have done that and I wouldn't expect materially different results. But I don't think that's what I did. I think I did it the way you suggested initially, or you implicitly suggested, which is I only used the efficiency gap in years where there had been elections. But I could double-check that. It would be in my replication code.

Q. Okay. And you say that the median ideology of the Michigan state house which had a 12 percent pro-Republican efficiency gap in 2012 would shift nearly half a standard deviation to the left if it adopted a districting plan with no efficiency advantage to either party?

A. Correct. I believe that's what I say

<p style="text-align: right;">Page 242</p> <p>1 C. WARSHAW</p> <p>2 here.</p> <p>3 Q. What does that mean in the real world?</p> <p>4 What's half a standard deviation to the left in</p> <p>5 terms of policy?</p> <p>6 A. Well, I think here I'm focused on the</p> <p>7 roll call voting behavior, so it's not policy.</p> <p>8 But I think I do link it to policy in the next</p> <p>9 section where --</p> <p>10 Q. All right. Well, let's do this one</p> <p>11 first, though.</p> <p>12 A. Half a standard deviation is quite</p> <p>13 consequential. I mean, I think I show in</p> <p>14 Figure 17 --</p> <p>15 Q. Yeah.</p> <p>16 A. In Figure 17, it shows that the</p> <p>17 difference between Democrats and Republicans in</p> <p>18 Michigan is about two standard deviations.</p> <p>19 Q. What does that mean?</p> <p>20 A. It's summarizing the roll call voting</p> <p>21 behavior. So two standard deviations --</p> <p>22 Q. Does it mean taxes are going up? Pot</p> <p>23 is being legalized? Same-sex marriage?</p> <p>24 MR. YEAGER: Wait. Whoa, whoa.</p> <p>25 MR. CARVIN: I'm just trying to</p>	<p style="text-align: right;">Page 243</p> <p>1 C. WARSHAW</p> <p>2 explain what my question is.</p> <p>3 BY MR. CARVIN:</p> <p>4 Q. How do I know what a standard</p> <p>5 deviation in ideology means in the real world?</p> <p>6 MR. YEAGER: Objection. Which one of</p> <p>7 those questions do you want him to answer?</p> <p>8 You had a speech, then you had some</p> <p>9 questions, and then you had another</p> <p>10 question. It's compound. It's</p> <p>11 argumentative. If you want to tell him</p> <p>12 which question to answer, that's fine.</p> <p>13 Otherwise I object.</p> <p>14 BY MR. CARVIN:</p> <p>15 Q. Can you put some meat on the bones of</p> <p>16 what two standard deviations means in the real</p> <p>17 world so that I and the court can understand what</p> <p>18 you're talking about?</p> <p>19 A. Well, I don't have an analogous graph</p> <p>20 in the report for state legislatures. But for</p> <p>21 Congress, where I think the substantive meaning</p> <p>22 is similar, we can analogize it in Figure 7,</p> <p>23 which shows that in recent years in Congress</p> <p>24 Republicans are about 60 percent more likely at</p> <p>25 any given vote to vote in a conservative</p>
<p style="text-align: right;">Page 244</p> <p>1 C. WARSHAW</p> <p>2 direction than Democrats. There's a</p> <p>3 60 percentage point difference between the</p> <p>4 conservative voting behavior of Democrats and</p> <p>5 Republicans. Which means roughly that, like, a</p> <p>6 Republican might vote in a conservative</p> <p>7 direction -- actually, I think it's more like</p> <p>8 65 percent.</p> <p>9 So a Republican might vote in a</p> <p>10 conservative direction, you know, 85 percent of</p> <p>11 the time and a Democrat would only vote in a</p> <p>12 conservative direction 20 percent of the time.</p> <p>13 So I think that's one more intuitive</p> <p>14 way of putting it, which is why I like the Fowler</p> <p>15 and Hall approach. It's a little bit more</p> <p>16 understandable than the Nominate scores or the</p> <p>17 Shor-McCarty scores that I use for state</p> <p>18 legislators.</p> <p>19 But anyway, I think that's very</p> <p>20 similar for state legislatures. I will assert</p> <p>21 that two standard deviations for state</p> <p>22 legislatures means something similar to here,</p> <p>23 which is that Republican state legislators are</p> <p>24 about 60 percentage points or so more likely to</p> <p>25 cast a conservative vote than Democrats.</p>	<p style="text-align: right;">Page 245</p> <p>1 C. WARSHAW</p> <p>2 Q. And this is true in every state?</p> <p>3 A. That's true on average across states.</p> <p>4 To the extent there's differences across states</p> <p>5 it's going to be more so in Michigan because, as,</p> <p>6 I show in Figure 17, Michigan in fact is more</p> <p>7 polarized than the average state legislature. So</p> <p>8 the consequences in Michigan, to the extent they</p> <p>9 vary from the national average, are likely to be</p> <p>10 even larger.</p> <p>11 Q. But I'm talking about the connection</p> <p>12 between the pro-Republican shift and the</p> <p>13 efficiency gap. What if it was Massachusetts and</p> <p>14 there was a pro-Republican shift but the</p> <p>15 legislature still remained firmly in Democratic</p> <p>16 hands? Do you think there would be a change in</p> <p>17 the median ideology of the roll call votes?</p> <p>18 A. Yes, I do think there would be a</p> <p>19 change in the ideological composition of the</p> <p>20 legislature. And I also think there would be a</p> <p>21 change in the policies that government passes,</p> <p>22 although I do think that the change -- the policy</p> <p>23 effects are larger when it affects the partisan</p> <p>24 control of the legislature.</p> <p>25 So if there's no chance that it would</p>

<p style="text-align: right;">Page 246</p> <p>1 C. WARSHAW</p> <p>2 change the partisan control of the legislature,</p> <p>3 then the policy consequences will be smaller than</p> <p>4 in a situation where it changed the partisan</p> <p>5 control. And I show that in my 2017 Election Law</p> <p>6 Journal article.</p> <p>7 Q. But you're saying even if control of</p> <p>8 the legislature doesn't change hands, Democrats</p> <p>9 will be more conservative and Republicans will be</p> <p>10 more liberal?</p> <p>11 A. No, I'm not asserting that it's going</p> <p>12 to change within party composition.</p> <p>13 Q. The roll call votes will become more</p> <p>14 conservative in a liberal state and more liberal</p> <p>15 in a conservative state depending on the</p> <p>16 efficiency gap?</p> <p>17 A. Yes. Because what that means is if</p> <p>18 you have a more, say, pro-Democratic efficiency</p> <p>19 gap, that implies you're electing more Democrats.</p> <p>20 Q. Right.</p> <p>21 A. And the consequence of electing more</p> <p>22 Democrats is having a more liberal legislature</p> <p>23 which then leads to more liberal policies.</p> <p>24 Q. So what you're telling us is the more</p> <p>25 Democratic the legislature is the more liberal</p>	<p style="text-align: right;">Page 247</p> <p>1 C. WARSHAW</p> <p>2 they're going to be, and the more Republican the</p> <p>3 legislature is the more conservative they're</p> <p>4 going to be. And you reduced that to standard</p> <p>5 deviations?</p> <p>6 A. That's exactly right.</p> <p>7 Q. All right. Then you say, page 39, the</p> <p>8 fourth sentence in the first paragraph: "But the</p> <p>9 magnitude of changes in the position of the</p> <p>10 median voter is not clear a priori. That depends</p> <p>11 on whether additional members of the majority</p> <p>12 party tend to be moderate (because they are</p> <p>13 winning closer districts) or typical for their</p> <p>14 party (when parties are polarized)."</p> <p>15 So you do think that people tend to be</p> <p>16 more moderate when their districts are closer?</p> <p>17 A. I think that's possible theoretically,</p> <p>18 although empirically there's no evidence for</p> <p>19 that.</p> <p>20 Q. So why did you say "because they are</p> <p>21 winning closer districts"?</p> <p>22 MR. YEAGER: Objection. Completely</p> <p>23 and inaccurately summarizes the document.</p> <p>24 You may answer.</p> <p>25 THE WITNESS: What I'm stating here is</p>
<p style="text-align: right;">Page 248</p> <p>1 C. WARSHAW</p> <p>2 that we don't know a priori. So I think</p> <p>3 theoretically there's reasons to think, as</p> <p>4 perhaps you're suggesting that, you know,</p> <p>5 the median voter theorem certainly suggests</p> <p>6 that in a competitive district you should</p> <p>7 moderate your position in order to win</p> <p>8 elections.</p> <p>9 But in fact the empirical evidence</p> <p>10 suggests that that's generally not the case,</p> <p>11 particularly in the modern era, that</p> <p>12 legislators don't actually take much more</p> <p>13 moderate positions in moderate districts</p> <p>14 than they do in very extreme districts.</p> <p>15 BY MR. CARVIN:</p> <p>16 Q. You had said, I think in the beginning</p> <p>17 of your report, that while there was a slight</p> <p>18 Republican lean in the 2001 redistricting, it was</p> <p>19 not nearly as extreme as this efficiency gap</p> <p>20 after 2011?</p> <p>21 A. Can you repeat back the question to</p> <p>22 me?</p> <p>23 Q. At the beginning of your report you</p> <p>24 were comparing the --</p> <p>25 A. Where are you quoting from in my</p>	<p style="text-align: right;">Page 249</p> <p>1 C. WARSHAW</p> <p>2 report?</p> <p>3 Q. I'm not. I can go get it for you.</p> <p>4 A. I don't know that I state that.</p> <p>5 MR. YEAGER: Let him ask a question.</p> <p>6 MR. CARVIN: I just thought I would</p> <p>7 try and save some time.</p> <p>8 BY MR. CARVIN:</p> <p>9 Q. Is it not your opinion that the</p> <p>10 efficiency gap in 2001 in Michigan was not that</p> <p>11 extreme while it was extreme after 2011?</p> <p>12 A. I don't know that I formed a precise</p> <p>13 opinion about the 2000 -- the magnitude of the</p> <p>14 partisan bias in 2002. I haven't looked at all</p> <p>15 the different metrics, for instance, for 2002.</p> <p>16 But certainly the chart in Figure 5 and</p> <p>17 perhaps -- both Figure 5 and Figure 15 suggest</p> <p>18 that the partisan bias in 2012 is much larger</p> <p>19 than in 2002.</p> <p>20 Q. Go to page 17.</p> <p>21 A. Great.</p> <p>22 Q. You say: "From about 2002 through</p> <p>23 2010 Republicans had a modest advantage in the</p> <p>24 efficiency gap. However, the 2011 redistricting</p> <p>25 plan led to a large Republican advantage in</p>

<p style="text-align: right;">Page 250</p> <p>1 C. WARSHAW</p> <p>2 Michigan congressional elections." Correct?</p> <p>3 A. Yes.</p> <p>4 Q. And then what were you saying,</p> <p>5 Figure 9 has the similar analysis for the state?</p> <p>6 A. Figure 15 on page 34.</p> <p>7 Q. What was your conclusion about the</p> <p>8 2000 efficiency gap?</p> <p>9 A. Again, I don't state a conclusion</p> <p>10 about that in my report. But just eyeballing</p> <p>11 these numbers, certainly I don't think the</p> <p>12 efficiency gap on its own indicates any kind of a</p> <p>13 large partisan bias in the elections.</p> <p>14 Q. And you did an article analyzing</p> <p>15 Michigan policy initiatives in 2011 and 2012,</p> <p>16 right? In your article you discussed that issue</p> <p>17 specifically?</p> <p>18 A. Correct. I looked at -- Policy</p> <p>19 initiative is not exactly right. I looked at the</p> <p>20 policies that the Michigan state government</p> <p>21 passed.</p> <p>22 Q. And you pointed out that they had a</p> <p>23 large spending cut, higher taxes on pensions, and</p> <p>24 lower taxes on corporations. All those are</p> <p>25 conservative positions?</p>	<p style="text-align: right;">Page 251</p> <p>1 C. WARSHAW</p> <p>2 A. I believe that's true. I would have</p> <p>3 to look at the article to reference them for</p> <p>4 sure.</p> <p>5 Q. And that they banned benefits for</p> <p>6 same-sex partners of government employees, gay</p> <p>7 government employees?</p> <p>8 A. Again, I don't have the article in</p> <p>9 front of me. I would want to look at the article</p> <p>10 to see.</p> <p>11 Q. And it became a right-to-work state in</p> <p>12 2011 and 2012?</p> <p>13 A. That sounds right. I couldn't say for</p> <p>14 sure. I would want to look at the article.</p> <p>15 Q. I'm happy to show you the article.</p> <p>16 But didn't all those changes happen prior to the</p> <p>17 2012 redistricting and the people who were</p> <p>18 elected under that extreme pro-Republican bias,</p> <p>19 or do you recall?</p> <p>20 A. I would want to look at the article.</p> <p>21 I don't remember what I said precisely in the</p> <p>22 article.</p> <p>23 MR. CARVIN: Could you mark that,</p> <p>24 please.</p> <p>25 (Exhibit 9 marked for identification)</p>
<p style="text-align: right;">Page 252</p> <p>1 C. WARSHAW</p> <p>2 and attached hereto.)</p> <p>3 THE WITNESS: Yes. So I'm looking at</p> <p>4 page 467. We did talk about those being</p> <p>5 implemented in 2011 and '12.</p> <p>6 BY MR. CARVIN:</p> <p>7 Q. Has there been anything comparably</p> <p>8 conservative post the large increase in the</p> <p>9 efficiency gap in the years following 2012?</p> <p>10 A. I couldn't say for sure. I just don't</p> <p>11 remember. I would have to have my data in front</p> <p>12 of me. It's been a while since I've looked at</p> <p>13 it.</p> <p>14 Q. But it is true that the most</p> <p>15 conservative things happened in a legislature</p> <p>16 where the efficiency gap was not that different</p> <p>17 from the norm?</p> <p>18 A. I'm sorry. Repeat that.</p> <p>19 Q. It happened under the 2001</p> <p>20 redistricting, which we agreed was not nearly as</p> <p>21 extreme as the pro-Republican efficiency gap in</p> <p>22 2012 and not that different from the norm?</p> <p>23 A. Yes. In this case, the efficiency gap</p> <p>24 was pro-Republican but it was not as extreme as</p> <p>25 we've seen after the 2011 went into place.</p>	<p style="text-align: right;">Page 253</p> <p>1 C. WARSHAW</p> <p>2 Q. So you haven't asked or followed up on</p> <p>3 the question on whether the Michigan legislature</p> <p>4 has pursued more conservative policies after this</p> <p>5 dramatic shift in the pro-Republican efficiency</p> <p>6 gap since 2012 than they did prior to 2012?</p> <p>7 A. I have not. I think that would be a</p> <p>8 reasonable question to examine, but I have not</p> <p>9 looked at it.</p> <p>10 Q. And you have no reason to believe that</p> <p>11 they've enacted policies as conservative as were</p> <p>12 enacted in 2011 and 2012?</p> <p>13 A. I have no opinion either way. I just</p> <p>14 don't know.</p> <p>15 MR. CARVIN: I don't have any</p> <p>16 additional questions.</p> <p>17 MR. YEAGER: Let's take a break, and</p> <p>18 then we'll come back and tell you whether</p> <p>19 there's more or not.</p> <p>20 (Recess taken.)</p> <p>21 MR. YEAGER: We're done.</p> <p>22 (Deposition adjourned at 3:37 p.m.)</p> <p>23</p> <p>24</p> <p>25</p>

C. WARSHAW

ACKNOWLEDGMENT OF DEPONENT

I, CHRISTOPHER WARSHAW, Ph.D., have read or have had the foregoing testimony read to me and hereby certify that it is a true and correct transcription of my testimony with the exception of any attached corrections or changes.

CHRISTOPHER WARSHAW, Ph.D.

☐ No corrections

☐ Correction sheet(s) enclosed

SUBSCRIBED AND SWORN TO BEFORE ME, the undersigned authority, by the witness, CHRISTOPHER WARSHAW, Ph.D., on this the ____ day of _____, _____.

C. WARSHAW

C E R T I F I C A T E

DISTRICT OF COLUMBIA

I, JOHN L. HARMONSON, a Notary Public within and for the District of Columbia, do hereby certify:

That CHRISTOPHER WARSHAW, the witness whose deposition is hereinbefore set forth, was duly sworn or affirmed by me and that such deposition is a true record of the testimony given by such witness.

That if the foregoing pertains to a federal case, before completion of the proceedings, review and signature of the transcript [X] was ☐ was not requested.

I further certify that I am not related to any of the parties to this action by blood or marriage; and that I am in no way interested in the outcome of this matter.

IN WITNESS WHEREOF, I have hereunto set my hand this 15th day of August, 2018.

JOHN L. HARMONSON, RPR

C. WARSHAW

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